Measurement and Estimation of 3D Orientation using Magnetic and Inertial Sensors

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Abstract Magnetic and inertial sensors are becoming increasingly popular to measure three-dimensional (3D) orientation, because they are well suited to the ambulatory monitoring of posture and movements of subjects. This paper presents a complete implementation of the measurement and estimation of 3D orientation based on a magnetic and inertial measurement unit (MIMU) that we developed. The measurement unit was a combination of a 3D accelerometer, a 3D gyroscope, and a 3D magnetometer. A Kalman filter-based sensor fusion algorithm was proposed to implement the measurements and 3D orientation estimates. The accuracy of the orientation estimation, calculated by a sensor fusion algorithm, was assessed by comparison with a laboratory-bound optical measurement system. Several simulation experiments were executed to evaluate the performance of the measurement unit under various states, including static, periodically rotational, arbitrarily dynamic, and vibration states. Experimental results showed accurate and drift-free orientation estimates. The averaged root-mean-square errors (RMSE) of the roll, pitch, and yaw Euler angles in static state were \( \leq 0.6^\circ \). The averaged RMSE of the three angles in dynamic state or in dynamic tests at different angular velocities were \( \leq 2.1^\circ \), regardless of periodic rotations and arbitrary motions.

Keywords: Kalman filter, 3D orientation, inertial measurement unit, sensor fusion, magnetometer.

2.1 MIMU Measurement System

The wearable MIMU measurement system that we developed consists of a sensor module and a PC-based control program. The sensor module primarily consists of a triaxial accelerometer (LSM303D, STMicroelectronics Corp., Switzerland), a triaxial gyroscope (L3GD20, STMicroelectronics Corp., Switzerland), a triaxial magnetometer (LSM303D, STMicroelectronics Corp., Switzerland), and a Bluetooth communication module. All sensor signals are sampled at 200 Hz at 14-bit resolution. Using the Bluetooth module, sensor data are sent to the PC control program that automatically calibrates the collected data and displays them functionally for further analysis. The PC control program was developed using the Python programming language. Thus, it is a cross-platform application that can run on many OS platforms, including Windows and Linux. The appearance of the sensor module and the graphical user interface (GUI) of the control program are provided in Fig. 1.

2.2 Sensor Fusion using Adaptive Complementary Kalman Filter

A preliminary and important task for an MIMU sensor is to achieve sensor fusion for calculating the Euler angles consisting of three components: roll, pitch, and yaw, to describe the 3D orientation of a rigid body. Any orientation can be achieved by composing the three angle rotations. For an MIMU sensor, in fact, the Euler angles can be calculated using only gyroscope outputs, which provide integration of angular velocity over time. However, the computed results drift severely over time due to the effects of noise. Fortunately, this problem can be mitigated using complementary sensors along with sensor fusion schemes to obtain an optimal attitude estimate. Unlike gyroscopes, accelerometer and magnetometer outputs are generally stable and typically do not drift. They can be used as complementary sensors. Thus, the role of the gyroscope in the MIMU is to estimate the total roll, pitch, and yaw components of orientation. The role of the accelerometer is to compensate and correct the roll and pitch components obtained from the gyroscope. The role of the magnetometer is to compensate and correct the yaw component calculated from the gyroscope. Concretely speaking, measurement data from the accelerometer and magnetometer can be fused with the gyroscope output using a sensor fusion method, such as the Kalman filter. In this work, the roll, pitch, and yaw were estimated using an adaptive complementary Kalman filter [15, 16] that tracks the state of the system, including the Euler angles, acceleration, angular velocity, and sensor biases. In the experiments described below, we compare the results of MIMU with and without the Kalman filter in order to more clearly understand the role of the Kalman filter in the sensor fusion algorithm.

The following equations show how to calculate the roll and pitch to estimate 3D orientation using only accelerometer outputs:

\[
\begin{align*}
\alpha_c &= \arctan \left( \frac{a_x}{\sqrt{a_y^2 + a_z^2}} \right) \\
\beta_c &= \arctan \left( \frac{a_x}{\sqrt{a_y^2 + a_z^2}} \right)
\end{align*}
\]

where \(a_x, a_y, \) and \(a_z\) denote the accelerometer outputs for \(x, y, \) and \(z\) axes, respectively. Arctan( ) calculates the inverse tangent of an angle. \(\alpha_c\) and \(\beta_c\) are used to compensate and correct the roll and pitch calculated from gyroscope output, respectively. As compensations, the two angles are inputs for the adaptive Kalman filter (Fig. 2), described below, and fusion angles with gyroscope output can be calculated.

To compensate and correct the yaw, calculations require not only accelerometer output but also magnetometer output. The equation for calculating yaw from magnetometer output is as follows [17]:

\[
\begin{align*}
H_x &= m_x \cos(\beta) + m_y \sin(\beta) \sin(\alpha) + m_z \sin(\beta) \cos(\alpha) \\
H_y &= m_x \cos(\beta) - m_y \sin(\beta) \\
\gamma_c &= \arctan \left( \frac{H_x}{H_y} \right)
\end{align*}
\]

where \(H_x\) and \(H_y\) represent the horizontal components of the earth’s magnetic field; \(m_x, m_y, \) and \(m_z\) denote the magnetometer output for \(x, y, \) and \(z\) axes, respectively; \(\gamma_c\) represents the yaw calculated from magnetometer output. Also, \(\alpha\) and \(\beta\) represent the resulting roll and pitch, respectively, estimated with the adaptive Kalman filter. Figure 2 shows a brief description of the sensor fusion algorithm for calculating the Euler angles.

The adaptive complementary Kalman filter algorithm estimates the state of the system at time \(t\), based on the prior state at time \(t - 1\) [15, 16]. The algorithm involves two stages: prediction and measurement update. In the prediction stage, the anticipated state \(\hat{x}_s\), based on the linear mathematical model of the system, is represented as follows:

\[
\hat{x}_s = F_t \hat{x}_{s,t-1} + B_t u_t
\]

where \(\hat{x}\) is the state vector containing the current state (such as angle) of the system at time \(t\); \(u_t\) represents a control input; \(F_t\) is the state transition matrix, which applies the effect of each system state parameter at time \(t - 1\) on the system state at time \(t\); and \(B_t\)
is the control input matrix, which applies the effects of each control input parameter in the vector \( u \) on the state vector. The covariance matrix \( P_t \) associated with the prediction \( x_t^* \), of an unknown true value \( x_t \) is calculated as follows:

\[
P_t = P_{t-1}P_{t-1}^T + Q
\]

where \( Q \) is the process noise covariance matrix associated with noisy control inputs. In the measurement update stage, the Kalman filter gain is computed at \( t \) as follows:

\[
K_t = P_t^*H_t^T(HH_t^T + R)^{-1}
\]

where \( H \) is the transformation matrix used to map state vector parameters into the measurement domain; and \( R \) is the uncertainty matrix associated with a noisy set of measurements. The estimate of the state is then calculated as a function of the model prediction, measurement, and Kalman gain:

\[
\dot{x}_t = x_t^* + K_t(z_t - Hx_t^*)
\]

where \( z_t \) is the measurement vector. The error covariance matrix \( P_t \) is updated at each time step to determine how well the sensors are tracking the true value of an unknown system state. The measurement vector is the white noise associated with the measurement of the state vector at time step \( t \) (9).

The two processes of prediction and measurement update can be iterated traversing all \( t \). The adaptive Kalman filter we use assumes white Gaussian noise and a linear model of the system.

According to the analysis above, the adaptive Kalman-based sensor fusion algorithm and its parameters used in our experiments are summarized in the algorithm as follows:

**Algorithm 1** Kalman-filter-based sensor fusion.

**Require:** sampling time \( \Delta t = 0.005 \text{s} \), the state transition matrix \( F = [1, \Delta t, 0, 0, 1] \), initial state vector \( x_0 = [0, 0]^T \), the control input matrix \( B = [\Delta t, 0]^T \), the control input vector \( u \in \mathbb{R}^{1 \times r} \), the initial error covariance matrix \( P_0 = [1, 0; 0, 0] \), the process noise covariance matrix \( Q = [0.3, 0.3; 0.3, 0.3] \), the measurement vector \( z \in \mathbb{R}^{1 \times r} \), the measurement vector \( z \in \mathbb{R}^{1 \times r} \) (the complementary angle \( \alpha, \beta, \) or \( \gamma \)).

**for** \( t = 1 \) to \( r \) **do**

\[
\begin{align*}
\dot{x}_t &= Fx_{t-1} + Bu_t \\
P_t &= FPF_t^T + Q \\
K_t &= P_t^*H_t^T(HH_t^T + R)^{-1} \\
\dot{x}_t &= x_t^* + K_t(z_t - Hx_t^*) \\
P_t &= P_t^* - K_tH_P_t
\end{align*}
\]

**end for**

Return the state vector \( \ddot{x} \) containing the estimated angles.

It is notable that the sampling time \( \Delta t \) was set as 0.005 s since the MIMU works at a sampling frequency of 200 Hz. The initial state vector \( \dot{x} \), i.e., the estimated angle, is 0. The control input matrix \( B \) applies the effect of each gyroscope measurement in the vector \( u \) on the state vector. \( P \) is an error covariance matrix and is initially set an identity matrix of size 2. \( P \) is updated at every time step to determine how well the sensors are tracking the actual state. The process noise covariance matrix \( Q \) was determined by repeating an experiment multiple times. \( R \) is the white Gaussian noise covariance matrix and was also tuned by repeating an experiment multiple times. The measurement vector \( z \) is the complementary angle, \( \alpha, \beta, \) or \( \gamma \).

2.3 Optical Reference System

The 3D optical tracking system (OptiTrack FLEX: V100R2, NaturalPoint, USA) consists of six cameras operating at 100 Hz. This system was used as the reference measurement system in this study. The MIMU and reference system data were collected at different sampling frequencies; 200 Hz and 100 Hz, respectively. Thus, the data collected from the MIMU were sampled at intervals to facilitate comparison with the reference system. In addition, the collected data was synchronized. The accuracy of the reference system was 0.1°.

2.4 Experimental Methods

The purpose of the following experiments was to investigate the accuracy, stability, and reproducibility of the 3D orientation estimates under various conditions. A calibration procedure to obtain the gains and offsets of the accelerometer, gyroscope, and magnetometer was performed to guarantee the accuracy of the Euler angles calculated. The magnetometer data were calibrated with an optimization algorithm so that raw data of an ellipsoid were converted into data in the form of a near-sphere [18]. In the experiments, all programs were coded in MATLAB, and were run within MATLAB 8.1 (R2013a) on a PC with a 1.7 GHz Intel Core i5 CPU and 4 G of memory.

To assess the accuracy of the 3D orientation estimation, we used the optical motion capture system as a reference. In most experiments, the surface of the test sensor had three reflective markers attached to form a rigid combination. While sensor data were collected, the corresponding reference data from the optical motion capture system were obtained for comparison. The accuracy and stability of the sensor were first investigated under static conditions. The sensor with markers attached was fixed on a gimbal and was then rotated through various angles such as 60°, 90°, and 180°. The sensor was kept in a static state for several seconds between any two successive rotations. We assessed the accuracy of the 3D orientation of the sensor only under the static stage, not considering the dynamic stage. Under static state conditions, the RMSE of the estimated roll, pitch, and yaw of the sensor unit compared to the references were calculated. The static state experiment was repeated five times for each axis, and the average RMSE were calculated.

Next, we assessed the performance of the sensor under periodic rotation conditions. In this experiment, the sensor was rotated continuously in the range of 180° around each axis to assess the accuracy of the estimated Euler angles. The sensor with three reflective markers attached was fixed on a 1 DOF gimbal. The gimbal was able to rotate in a range of 180°. With adjustments, the gimbal was able to produce 3DOF rotations. Because the gimbal used here could not rotate through 360°, no rotation test for the complete 360° was performed. We investigated the performance of the sensor unit under conditions of rotational angular velocities 40°/s, 60°/s, and 90°/s. The averaged RMSE of the results for the three angular velocities compared to the references were calculated. In addition, the closeness of the results compared to the reference was assessed by calculating CC using the MATLAB `corrcoef` function.

To assess the stability of the 3D orientation estimation, we used a vibration generator that can repeatedly provide reciprocating motion to drive the sensor up and down around the direction parallel to one axis of the sensor, in order to evaluate whether
abnormal output occurs. In the vibration experiment, the sensor with reflective markers attached was rigidly connected to the vibrator by a foam material measuring 120 cm × 10 cm × 10 cm in order to avoid magnetic interference between the vibrator and sensor. For the vibration test, a sinusoidal wave of frequency 10 Hz generated by a wave generator was input into the vibrator to output continuous vibration (up and down). During the experiment, the test sensor was not rotated, but a periodic external force (±1 g) from the vibration generator was applied to the sensor along only one axis, minimizing any effect on the two other axes. Obviously, the test sensor should not detect any change in the 3D orientation due to no rotation, although the position of the sensor was changing along the direction of the external force. Figure 3 shows the settings of the vibration experiment and the periodic rotation experiment. Under the conditions of vibration, the RMSE of the estimated roll, pitch, and yaw of the sensor unit compared to the references were calculated.

In addition to the experiments with regular and periodic motions, we also performed an experiment with arbitrary motion, because this is also necessary for the evaluation. At the beginning of the experiment, the sensor with three markers attached was held statically by hand. Then, combined rotations at arbitrary angles around each axis were made. Finally, we performed a set of experiments on a young subject to investigate the applicability of the sensor to real-world situations. The subject had a sensor fixed on the front of the shin, and was instructed to execute two sets of lunge actions. The subject first stood statically for 10 s at the beginning of the experiment. Then, the subject made a regular lunge, holding the action for 6 s, and returned to the original standing state. After 10 s, the subject repeated the same action again. This study was approved by the Ethics Committee of our university and written informed consent was given by the subject prior to enrolment. For the experiments, the RMSE and CC of the estimated roll, pitch, and yaw of the sensor unit compared to the references were also calculated.

### 3. Results

In this section, the overall estimation performance is described by comparing with the reference results obtained from the optical motion capture system and the estimation results without the Kalman filter (integration of gyroscope measurements over time). The static state experiments showed no drift or interference problems for 3D orientation estimates. As mentioned above, we only evaluated the accuracy of 3D orientation estimation for the static stage of the experiment. The averaged RMSE of roll, pitch, and yaw of the MIMU with Kalman filter compared to the reference system were 0.5°, 0.5°, and 0.6°, respectively.

Typical trials of static state experiments for roll, pitch, and yaw are shown in Figs. 4, 5, and 6, respectively. Note that green lines denote the results of MIMU without Kalman filter, i.e., the results were calculated using only gyroscope output. Obviously, these results without Kalman filter drifted seriously.
Results of the rotation experiments also showed high performance of the sensor unit. A typical example of the rotation experiments for roll is shown in Fig. 7, where the corresponding angular velocities from top to bottom are approximately 40°/s, 60°/s, and 90°/s, respectively. The RMSE of the estimated roll comparing to the references for the respective angular velocities were 1.0°, 1.1°, and 1.2°. The RMSE were by no means large, and the estimates (MIMU with Kalman filter) coincided well with the references even with increasing angular velocities. In addition, correlation coefficient was used to assess the linear relationship between the estimates and the references. For the data of Fig. 7, the CC between the estimates and the references was 1.00, indicating that they were very similar to each other. For pitch and yaw, the results similar to those for roll were obtained under the same conditions. Thus, the averaged RMSE of roll, pitch, and yaw of the MIMU with Kalman filter compared to the reference system were 1.1°, 1.5°, and 2.1°, respectively, for rotations at different angular velocities. Finally, the results of the MIMU without Kalman filter (greens lines in Fig. 7) also exhibited serious drifts.

For the vibration experiments, Fig. 8 shows some of the sensor data collected. In the figure, gx, gy, and gz denote the angular velocity measurements around x, y, and z axes, respectively, of the gyroscope; ax, ay, and az are the acceleration outputs at the three axes of the accelerometer; and mx, my, and mz correspond to the 3-axis outputs of the magnetometer. These data indicate that the sensor was vibrating up and down because the accelerometer output showed a sine wave only along the axis parallel to gravity due to the changing external force from the vibration generator, while the outputs at the other axes were almost zero. To assess the performance of the sensor under conditions of vibration, it is necessary to first determine the performance of sensor in a static state. Under the conditions of complete static state, the RMSE of the estimated roll, pitch, and yaw compared to the references were 0.05°, 0.06°, and 0.1°, respectively. Under conditions of vibration, the RMSE of the estimated roll, pitch, and yaw were 0.4°, 0.4°, and 0.3°, respectively. Therefore, the RMSE did not become particularly large in the vibration state. The differences between the
estimates (the MIMU with Kalman filter) and the references were mostly within the range of \(-1^\circ\) to \(1^\circ\) (lowest figure of Fig. 8).

Figure 9 shows a typical trial in the arbitrary motion experiment and the differences between the estimates and references for roll, pitch, and yaw. The RMSE of the estimated roll, pitch, and yaw were 1.0\(^\circ\), 1.2\(^\circ\), and 1.3\(^\circ\), respectively. The respective CC were 1.00, 0.99, and 0.99.

Figure 10 shows the Euler angles obtained from the experiment of executing lunge actions for a young subject. The RMSE of roll, pitch, and yaw between the estimates and the reference were 1.7\(^\circ\), 3.6\(^\circ\), and 4.8\(^\circ\), respectively. The respective CC were 0.94, 0.88, and 0.84. These results showed that the performance of the MIMU was better for roll and pitch than that for yaw, and that the MIMU responded relatively accurately to the action by wearing a sensor on the shin. The difference in result for yaw was larger. It is possible that yaw was interfered by some external magnetic sources such as ferromagnetic materials in the floor, when a lunge action was performed.

4. Discussion

The proposed sensor fusion algorithm for estimating 3D orientation was accurate compared to the reference measurement system, in several experiments including static, rotation, vibration, and arbitrary dynamic tests. As mentioned previously, orientation estimation can be obtained by integrating gyroscope measurements alone, but in general the estimation is accurate only at higher frequencies, and the estimation drifts over time due to the effects of noise [12, 13]. On the other hand, an accelerometer can be used to estimate the pitch and roll components of the orientation estimation, but the estimation is accurate only when the test subject is non-moving or rotating and moving slowly [12]. This can be considered as accurate in the lower frequency domain. Therefore, we considered fusing the higher frequency components of gyroscope measurements with the lower frequency components of accelerometer measurements using an adaptive complementary Kalman filter. Since an accelerometer cannot estimate the yaw component of the orientation estimation, we resorted to a magnetometer to compensate and correct the yaw component calculated from the gyroscope. In addition, the measurement unit we developed uses stable and high-performance accelerometer and magnetometer sensors so that it offers complementary roll, pitch, and yaw (\(\alpha, \beta, \gamma\)) with less drift and greater accuracy.

By fusing the complementary Euler angles with the measurements from the gyroscopes, the adaptive complementary Kalman filter that we optimized can estimate accurately and adaptively. This results in more accurate estimates of 3D orientation than previous research [5, 8, 12, 14]. Roetenberg et al. [5] showed
that the orientation error for the bench tests including slow movement and rotations along all axes was 3.0°. Roettenberg et al. [14] also claimed that under static and dynamic state conditions, the accuracy of their sensor was 1.4° and 2.6°, respectively. However, the accuracy of the sensor we developed was 0.6° in static states and 2.1° in dynamic states. Clearly, the performance of our sensor is superior. Madgwick et al. [19] proposed a sensor fusion algorithm using a gradient descent method and achieved orientation accuracy of less than 0.8° in static states and less than 1.7° in dynamic states. Sabatini [12] claimed that the accuracy of the MIMU used in their study was less than 1° in static states and less than 3° in dynamic states. Our results are comparable to those results. Note that in the rotation experiment, only results for angular velocities less than 90°/s were available because of the limitation of the simulation device. The gimbal used in the periodic rotation experiment generates mechanical disturbances when rotating at angular velocities faster than 90°/s, resulting in serious interference to the results of the sensor unit. On the other hand, the adaptive complementary Kalman filter used assumes white Gaussian noise in the sensor data and a linear model of the system. As real-world practical issues, the noise may not really be white, the model of the system may tend to be non-linear in certain states, and the filter equations may be of a higher order. Thus, there are many possibilities to improve the performance of the proposed system.

For the vibration test, we expected to obtain near-zero outputs at the three axes of the gyroscope. However, from the sensor data shown in Fig. 8, it can be seen that the gyroscope has slight non-zero outputs with time at the three axes, because it is difficult for the gyroscope to maintain almost zero outputs during vibration due to the high sensitivity and internal noise. Despite the small outputs at the three axes of the gyroscope, the sensor did not detect rotation at all. The outputs of the magnetometer also confirmed this. These results also demonstrate that the outputs of the sensor and the estimates of 3D orientation are stable, and are not disturbed by the external force of the vibration.

Several studies have reported the effects of surrounding conductive and ferromagnetic materials on the accuracy of 3D measurements using magnetic sensors [8, 14]. In this study, the measurement unit was tested without ferromagnetic materials in the vicinity. If magnetic materials are close to the test sensor, the effect on yaw results will be serious, similar to the result of yaw shown in Fig. 10, because the current sensor fusion algorithm does not consider compensation for interference with the magnetic fields. This issue will be addressed in future work.

Compared to a similar-level product, the ‘MTx’ of Xsens Technologies, which was claimed to have a dynamic accuracy1 of <2° depending on the type of motion [20], our MIMU appears to have a level of performance similar to the MTx.

5. Conclusions

In this paper, we present the complete implementation of a system for the measurement and estimation of 3D orientation based on a magnetic and inertial measurement unit (MIMU). We propose to use a Kalman filter-based sensor fusion algorithm to estimate 3D orientation. The accuracy of the 3D orientation estimates obtained from the sensor was assessed by comparing with the results obtained from an optical motion capture system. We evaluated the performance of the sensor in a series of experiments. The results showed that the averaged RMSE of roll, pitch, and yaw were ≤0.6° under static state conditions. Under dynamic conditions at angular velocities of 40°/s, 60°/s, and 90°/s, the averaged RMSE at the three angles were ≤2.1°, regardless of periodic rotations and arbitrary motions.

Conflict of Interest

We have no conflicts of interest relationship with any companies or commercial organizations based on the definition of Japanese Society of Medical and Biological Engineering.

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1 Dynamic accuracy is the measurement accuracy of the sensor system when it is being in dynamic condition or state and not exceeding the measurement range of the individual on-board sensors. It is generally measured with RMSE.


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