Heparin cofactor II (HCII), a serine protease inhibitor (serpin), inactivates thrombin action in the subendothelial layer of the vascular wall. Because a congenitally HCII-deficient patient has been shown to have multiple atherosclerotic lesions, it is hypothesized that HCII plays a pivotal role in the development of vascular remodeling, including atherosclerosis. To clarify this issue, 3 clinical studies concerning plasma HCII activity and atherosclerosis were carried out, and results demonstrated that a higher incidence of in-stent restenosis after percutaneous coronary intervention, maximum carotid arterial plaque thickness, and prevalence of peripheral arterial disease occurred in subjects with low plasma HCII activity. Furthermore, HCII-deficient mice were generated by a gene targeting method to determine the mechanism of the vascular protective action of HCII. Because HCII−/− mice were embryonically lethal, we used HCII+/− mice and found that they manifested augmentation of intimal hyperplasia and increased thrombosis after cuff or wire injury to the femoral arteries. HCII−/− mice with vascular injury showed augmentation of inflammatory cytokines and chemokines and oxidative stress. These abnormal phenotypes of vascular remodeling observed in HCII−/− mice were almost restored by human HCII protein supplementation. HCII protects against vascular remodeling, including atherosclerosis, in both humans and mice, and plasma HCII activity might be a predictive biomarker and novel therapeutic target for the prevention of cardiovascular diseases. (Circ J 2010; 74: 1518–1523)

**Key Words:** Heparin cofactor II; Protease-activated receptor-1; Thrombin; Vascular remodeling

Thrombin is a multipotent serine protease that strongly promotes the coagulation cascade pathway, and thrombin itself is generated at the site of vascular injury. It is well known that thrombin promotes blood clot formation by converting fibrinogen to fibrin; by stimulating platelet aggregation and secretion; and by activating coagulation factors, including factors V, VIII, and XI. Thrombin exerts various biological effects on many cell types, including endothelial cells, vascular smooth muscle cells (VSMCs), monocytes, lymphocytes, and fibroblasts. Thrombin actions are mediated by protease-activated receptors (PARs), members of a family of G-protein-coupled receptors. Because several studies have demonstrated that upregulation of these receptors is closely associated with the development of vascular lesion formation, including atherosclerosis and coronary artery disease, PARs have been recognized as playing a critical role in the process of cardiovascular remodeling. The PARs family comprises PAR-1 to PAR-4, and PAR-1 has a central role in thrombin receptor activation-induced vascular remodeling. Because PAR-1 activation accelerates contraction, migration, proliferation, hypertrophy, and production of extracellular matrix in VSMCs and contributes to the development of vascular lesions, such as atherosclerosis, PAR-1 has been recognized as the principal thrombin receptor in vascular remodeling in humans. In fact, PAR-1-deficient mice manifest partial lethality after birth, and surviving PAR-1-deficient mice show diminished neointimal hyperplasia after arterial injury compared with wild-type mice. Taking these findings together, thrombin has been shown to have numerous associations not only with thrombotic, but also with non-thrombotic, diseases, including coronary heart disease, stroke, and peripheral arterial disease (PAD). Thus, it is possible that inhibition of thrombin’s action will be effective for both the treatment and primary and secondary prevention of cardiovascular diseases. Because heparin cofactor II (HCII) and antithrombin (AT) are endogenous thrombin inhibitors in mammals, this review focuses on the role of HCII in protecting against vascular remodeling and atherosclerosis via its inhibition of thrombin in the vascular wall.

**HCII as a Serine Protease Inhibitor**

Acceleration of thrombin action at sites of vascular wall injury is effectively inactivated by HCII, which in humans is a single-chain glycoprotein containing 480 amino acid residues. In addition to AT, HCII is a serine protease inhibitor (serpin) with a molecular weight of 65.6 kDa. HCII is mainly...
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synthesized by hepatocytes and secreted into the systemic circulation at a concentration of approximately 1.0 μmol/L and it is distributed in both the subendothelial vascular wall of atherosclerotic lesions and the walls of normal arteries. In the intravascular lumen, AT inactivates several coagulation-related proteases, including thrombin, factor Xa, and factor IXa. In the extravascular lumen, HCII counteracts only thrombin without affecting the other proteases involved in the blood coagulation cascade. Inactivation of thrombin action by HCII is exerted to form a bimolecular complex with dermatan sulfate (DS), a glycosaminoglycan, which is synthesized and secreted by VSMCs and fibroblasts and is deposited in the matrix of the vascular wall, including the intima and media. In fact, Rau et al used immunohistochemistry to demonstrate the colocalization of HCII and thrombin in atherosclerotic plaques of the subendothelial layer of the left anterior descending coronary artery, but AT staining was less intense across all the arterial samples despite having comparable staining intensity to HCII and thrombin.

Advanced Multiple Atherosclerotic Lesions in a Subject With Congenital HCII Deficiency

Congenital HCII deficiency is inherited in an autosomal dominant fashion, and several clinical reports have demonstrated that HCII deficiency is associated with venous thrombosis. In addition to AT, HCII has been recognized as a complementary anticoagulant against thrombin-induced thrombosis and hemostasis. A 66-year-old woman was incidentally found to have heterozygous HCII deficiency and we demonstrated that the molecular pathogenesis of reduced plasma HCII activity could be explained by impaired secretion of the mutant HCII molecules because of intracellular degradation. Although she did not suffer from any classical cardiovascular risk factors, except mild essential hypertension, the patient had multiple and advanced atherosclerotic lesions, including multiple coronary artery stenoses, bilateral carotid stenoses, right renal artery stenosis, and an abdominal aortic aneurysm. Because her plasma HCII activity and antigen were remarkably reduced to 41% and 44%, respectively, it raised the possibility that HCII deficiency is associated with acceleration of the development of atherosclerosis in elderly individuals with endothelial injury.

Inverse Association Between Plasma HCII Activity and Development of Atherosclerosis in Humans

Coronary Artery Disease

We estimated the relationship between plasma HCII activity and coronary artery disease in Japanese elderly subjects to clarify whether HCII is involved in the development of atherosclerosis. Sequential coronary arteries (n=166) in 134 patients with bare-metal stent implantation were evaluated by angiography before, immediately after, and at 6 months after percutaneous coronary intervention (PCI). This clinical study showed that the high plasma HCII activity group (≥110%) had a smaller percent diameter stenosis at 6 months after PCI than both the moderate (≥80% and <110%) and low plasma HCII activity groups (<80%). In addition, the high plasma HCII activity group demonstrated a lower in-stent

Figure 1. Advanced and multiple atherosclerotic lesions in a woman with congenital heparin cofactor II (HCII) deficiency. (A) Coronary angiography. (Upper) Multiple left anterior descending coronary artery stenoses (arrows). (Lower) Right coronary artery stenosis (arrow). (B) MRI angiography. (Left) Stenosis of the left internal carotid artery (arrow). (Right) Stenosis of the right internal carotid artery right carotid artery (arrow). (C) Abdominal aortography. Upper arrow indicates stenosis of the right renal artery and lower arrow indicates aneurysm of the abdominal aorta. (Reprinted with permission from Aihara et al.)
restenosis rate after PCI than the low plasma HCII activity group. When multivariate analysis was performed, a high level of plasma HCII activity was found to be inversely associated with angiographic restenosis independent of other confounding factors.

Huang et al examined whether plasma HCII activity can predict cardiovascular prognosis in patients with acute myocardial infarction (AMI). A total of 110 consecutive patients (63±11 years) with AMI were enrolled and divided into 3 groups: high HCII (>122%), normal HCII (>98% and ≤122%), and low HCII (<98%), all of which were followed up for 42±12 months. In their study, the high-HCII group had a tendency toward a reduced incidence of major adverse cardiovascular events (MACE), including rehospitalization because of unstable angina, nonfatal myocardial infarction (MI), revascularization with either PCI or coronary artery bypass grafting (CABG), ischemic stroke, and cardiovascular death compared with the other groups. Multivariate regression analysis confirmed that plasma HCII activity was an independent and significant predictor of future MACE.

**Carotid Arterial Plaque**

Because the patient with congenital HCII deficiency suffered from bilateral internal carotid arterial stenoses, we hypothesized that HCII also attenuates the development of carotid atherosclerosis and cerebrovascular events. To clarify this hypothesis, we measured plasma HCII activity and carotid arterial intima–media thickness by ultrasonography in 306 Japanese individuals (154 men and 152 women) over 40 years of age (68.9±11.1 years). In this study, the maximum carotid plaque thickness was inversely and significantly correlated with plasma HCII activity after adjustment for age and sex. Furthermore, multiple regression analysis confirmed that plasma HCII activity and high-density lipoprotein cholesterol were independent contributors to the suppression of carotid plaque thickness. Interestingly, we found that the statistical antiatherogenic efficacy of HCII was beyond that of high-density lipoprotein cholesterol.

**PAD**

It has been well documented that having PAD is a strong predictor of future cardiovascular and cerebrovascular events such as MI, stroke, and death. Because the vascular risks are already considerably increased in patients with asymptomatic PAD, the clinical importance of early diagnosis during the subclinical stage, as well as subsequent management of vascular risks, is emphasized. Risk factors for the development of peripheral arterial atherosclerosis are almost similar to those for coronary artery disease, such as diabetes mellitus, hyperlipidemia, cigarette smoking, and hypertension. PAD has been also recognized as associated with chronic inflammation and endothelial dysfunction. Because the endogenous protective factors against progression of PAD are still unknown, we also speculated that HCII plays a protective role against the development of PAD and measured plasma HCII activity and the ankle–brachial pressure index (ABI) in 494 Japanese elderly subjects with cardiovascular risk factors. Diagnosis of PAD was made by ABI <0.9, and 62 subjects were determined as having PAD. Multivariate logistic regression analysis showed that age, current smoking, and the presence of diabetes mellitus were independently and positively associated with the prevalence of PAD. Conversely, HCII was independently and negatively associated with the prevalence of PAD. In addition, Schillinger et al studied 63 consecutive patients with PAD who underwent femoropopliteal stent implantation after initial failure of plain-balloon angioplasty. They measured plasma HCII activity in each patient before stenting and followed them for a median 10 months for the occurrence of the first in-stent restenosis. In that study, cumulative freedom from restenosis at 6 months and at 12 months in the low HCII activity group (≤100%, lower tertile) was 84% and 35%, respectively, whereas it was 93% and 72% in the high HCII activity group (>100%, higher tertile). After adjustment for the difference in the implanted stents and other confounding factors, high HCII activity was confirmed to have an antiatherogenic effect against in-stent restenosis in the femoropopliteal artery. Consequently, those results indicate that plasma HCII activity is a predictor not only for the prevalence of PAD but also for restenosis after femoropopliteal stent implantation.

**Pathophysiologic Roles of Thrombin and HCII on Endothelial Function**

The endothelium causes relaxation of the underlying vascular smooth muscle by releasing nitric oxide (NO). Vascular endothelial cells respond to shear stress, a fluid mechanical force, and the endothelial cells’ responses play an important role in the homeostasis of the circulatory system. In an in-vitro study, prolonged thrombin incubation was shown to inhibit endothelial NO synthase (eNOS) production in endothelial cells and increase arginine activity, leading to reduced NO production. On the other hand, high shear stress, which is recognized as a promoting factor of eNOS activation, attenuates PAR-1 expression in endothelial cells. Clinical evidence has shown that the vasodilator function of the vascular endothelium serves as an indicator of atherosclerotic risk. Recently, measurement of brachial artery flow-mediated vasodilation (FMD) has become the most widely used surrogate for evaluating endothelial function, and evidence for the significance of the relationship between FMD and cardiovascular events has been accumulating. Huang et al investigated the pathophysiological interplay between plasma HCII activity, FMD, and incidence of cardiovascular events. In their study, Cox regression analyses were conducted for the enrolled subjects (199 patients 63±14 years of age), with cardiovascular events being defined as MI, PCI, CABG, ischemic stroke, and peripheral artery revascularization, and endothelial function was assessed using percent FMD (%FMD). Multivariate analysis showed that age and the level of high-sensitivity C-reactive protein were inversely correlated with %FMD. On the other hand, it was shown that plasma HCII activity positively correlated with %FMD. In that study, Kaplan-Meier and Cox regression analyses indicated that low plasma HCII activity was able to predict a high incidence of future cardiovascular events.

**In Vitro Studies of HCII Action on Fibroblasts and VSMCs**

In vascular lesions, thrombin accelerates the pro-inflammatory response characterized by increased production of chemokines and cytokines, cell adhesion molecules, enhanced vascular permeability, migration and proliferation of VSMCs, wall thickening, and vasoconstriction. Hayakawa et al demonstrated that gene transfer-induced expression of HCII inhibited thrombin-induced interleukin-6 (IL-6) release from both fibroblasts and VSMCs. Because it has been well documented that IL-6, an inflammatory cytokine, has a crucial role in extracellular matrix deposition and reorganization leading to atherosclerosis, their study results suggest that...
HCII has protective potency against thrombin-induced vascular remodeling with medial thickening through inhibition of IL-6 production in fibroblasts and VSMCs.

**Animal Studies of Vascular Remodeling Using HCII-Deficient Mice**

Embryonic Lethality in Homozygous HCII-Deficient Mice

Kamp and Ragg demonstrated that the genomic structure of both murine and human HCII consists of 5 exons interrupted by 4 introns. In 2002, He et al (Washington University) generated and reported on homozygous HCII-deficient mice with deletion of 2 kb of the murine HCII gene, which encodes the N-terminal half of the protein, including thrombin and DS binding sites. The HCII−/− offspring were obtained at close to the expected Mendelian frequency by mating male and female HCII+/− mice (HCII+/− St Louis mice). The HCII-deficient mice appeared identical to their wild-type littermates and did not have spontaneous thrombosis or other baseline hemostatic abnormalities; however, accelerated thrombotic occlusion of the carotid artery after photochemically induced endothelial cell injury was observed in HCII−/− mice compared with HCII+/− mice. Enhanced blood coagulation by photochemical injury in the HCII−/− mice was corrected by intravenous infusion of purified human HCII protein.

In 2007, we independently generated, and reported, HCII-deficient mice by a gene targeting method. Unexpectedly, mice with homozygous deletion of HCII exhibited embryonic lethality even after careful backcrossing for 10 generations with a C57BL/6J strain. Normal karyotypes by chromosome analysis, and accuracy of homologous recombination of the targeting vector by Southern blotting analysis and FISH analysis were confirmed in our HCII+/− mice (HCII+/− Tokushima). In addition, we demonstrated the absence of truncated HCII transcripts and noninterference with mRNA levels of neighboring genes around the HCII genome after HCII targeting vector introduction in HCII+/− Tokushima mice. Because there is a strain difference between embryonic stem cells and the screened genome libraries in HCII+/− St Louis mice and HCII+/− Tokushima mice, we speculated that the discrepancy in results is related to a minor strain-dependent difference in genetic background even after backcrossing for 10 generations.

Exaggerated Vascular Remodeling in HCII-Deficient Mice

**Augmentation of Cuff and Wire Injury-Induced Vascular Remodeling**

Histopathologic examinations revealed no morphometric differences between the femoral arteries in HCII−/− and HCII+/− Tokushima mice; however, cuff-injured femoral arteries in the HCII−/− mice showed apparently exaggerated intimal and adventitial hyperplasia compared with the HCII+/− mice (Figure 2). A prominent increase in proliferating cell nuclear antigen and bromodeoxyuridine-stained cells suggesting enhanced cellular proliferation were observed in cuff-injured HCII−/− Tokushima mice compared with HCII+/− mice. Cuff injury caused robust increases in the expression level of vascular remodeling regulators, including PAR-1, IL-1β, IL-6, macrophage chemoattractant protein-1,
early growth response gene-1, and Krüppel-like zinc finger transcription factor 5 in HCII<sup>+/−</sup> Tokushima mice compared with HCII<sup>+/+</sup> mice. In addition to the cuff injury model, wire injury promoted much greater neointimal hyperplasia and a higher incidence of occlusive thrombosis in the femoral arteries of HCII<sup>+/−</sup> Tokushima mice than in those of HCII<sup>+/+</sup> mice (Figure 2). Because enhanced platelet aggregation was found in the HCII<sup>+/−</sup> Tokushima mice, reduced HCII activity may cause PAR activation in platelets, leading to increased thrombogenicity. All those aberrant phenotype were ameliorated by administration of human purified HCII protein.

Vicente et al also reported acceleration of neointimal formation in HCII<sup>−/−</sup> St Louis mice and HCII<sup>+/+</sup> mice 3 weeks after mechanical dilation of the common carotid artery, in agreement with our results.

**Advanced Atherosclerosis in HCII-Deficient Mice With Hypercholesterolemia** Because hypercholesterolemia is widely recognized as a major risk factor for the development of atherosclerosis and coronary artery disease, we evaluated whether HCII action is involved in the process of lipid disorder-induced atherosclerosis by comparing HCII<sup>+/−</sup> (Tokushima) apolipoprotein E (ApoE)<sup>−/−</sup> mice with HCII<sup>+/+</sup> ApoE<sup>−/−</sup> mice. The atherosclerotic plaque area in the aortic root of the HCII<sup>+/−</sup> St Louis mice and HCII<sup>+/+</sup> mice 3 weeks after mechanical dilation of the common carotid artery, in agreement with our results.

**Summary and Conclusions**

The thrombin–PAR-1 axis activation has a major impact on atherosclerotic development, and is a relatively novel topic. Clinical studies concerning the effects of HCII on atherosclerosis have demonstrated an inverse association between plasma HCII activity and incidence of in-stent restenosis after PCI, carotid atherosclerosis severity, PAD prevalence, endothelial dysfunction, and future cardiovascular events. In experiments using genetically engineered animal models, HCII-deficient mice have shown that HCII counteracts the vascular stress leading to thrombosis and development of vascular remodeling. Figure 3 shows a concept of the antiatherogenic action of HCII. Therefore, HCII might be both a novel predictive marker and a therapeutic target for the treatment of vascular remodeling, including atherosclerosis, in humans and mice.

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