Insulin Regulation of Myocardial Autophagy

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Autophagy is a conserved cellular process that plays an important role in cardiovascular homeostasis. Basal levels of autophagy are required for the maintenance of organellar quality control. Autophagy is dynamically regulated in the heart in the fasting to re-feeding transition. Insulin signaling plays an important role in the regulation of myocardial fuel metabolism, mitochondrial function and cellular growth. Recent studies have suggested an important role for insulin signaling in the regulation of myocardial autophagy. This dynamic regulation of autophagy induction during fasting may contribute to organellar homeostasis and if perturbed under conditions of hyperinsulinemia could contribute to accelerated cardiac aging. (Circ J 2014; 78: 2569–2576)

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The discovery of insulin in 1921 revolutionized the treatment and prognosis of individuals with diabetes mellitus (DM). Subsequent development of reliable radioimmunoassays for insulin increased our understanding of the diverse pathophysiology of DM, such as type 2, which is associated with insulin resistance, hyperinsulinemia and relative β-cell dysfunction, vs. type 1, which is characterized by absolute insulin deficiency. The identification of the insulin receptor (IR) and the critical components of the insulin signaling pathways significantly advanced our understanding of the molecular mechanisms that govern insulin signal transduction. Classically, studies of insulin signal transduction pathways have focused on its major role in metabolic regulation and nutrient homeostasis in the pathophysiology of insulin resistance and DM, focusing on insulin-responsive targets such as adipose tissue, liver, skeletal muscle and the brain. The ubiquitous expression of IRs has underscored the pleiotropic effects of insulin signaling in multiple organs, including those of the cardiovascular system.12 Studies of cardiovascular insulin signaling have provided novel insights, linking insulin signaling with cardiovascular physiology and cardiovascular complications of obesity and DM.1–5

Overview of Insulin Signaling in the Cardiovascular System

In endothelial cells, insulin signaling is an important regulator of the phosphorylation of endothelial nitric oxide, contributing to vasorelaxation.13,14 Not only does this contribute to the maintenance of blood pressure, but also enhances the delivery of substrates to classical metabolic targets of insulin action such as skeletal muscle.1,5 Impaired insulin action or nitric oxide bioavailability in the vasculature is believed to contribute to the pathophysiology of vascular dysfunction and hypertension that characterizes obesity, type 2 DM and other insulin-resistant states and may also contribute to the pathophysiology of atherosclerosis.4–6 Similarly, in vascular smooth muscle cells, hyperinsulinemia and increased insulin signaling have been implicated in vascular smooth muscle hypertrophy and intimal hyperplasia in response to vascular injury. The heart is also an important target for insulin action.7–9 Insulin signaling has been shown to play an important role in the modulation of myocardial substrate metabolism, cardiac structure and the response of the heart to various stressors such as altered workload or ischemia.9–15 Given the substantial and absolute requirement of the heart for ATP to sustain myocardial contraction, the heart maintains high levels of substrate utilization, deriving 50–70% of ATP from the metabolism of fatty acids and the remainder from the metabolism of glucose and lactate.16 Glucose enters cardiomyocytes predominantly via the glucose transporters GLUT4 and GLUT1.17,18,19 Myocardial contraction promotes translocation of GLUT4 transporters from intracellular compartments to the sarcolemma to sustain glucose uptake. Insulin stimulation further increases the trafficking of GLUT4 to the sarcolemma to further increase myocardial glucose uptake and subsequent glucose metabolism, which in turn reduces fatty acid metabolism via the Randle Cycle. Although insulin also increases the translocation of the fatty acid translocase CD36 and targets fatty acids to the triglyceride pool,20,21 the net effect of insulin on myocardial metabolism is to increase glucose metabolism (glycolysis and glucose oxidation) and to reduce fatty acid oxidation.13 These metabolic changes are associated with a reduction in myocardial oxygen consumption and provide the rationale for using glucose-potassium and insulin in the management of acute ischemia.22 In the diabetic or insulin-resistant state, the changes in myocardial substrate metabolism...
are largely the consequence not of direct effects of altered insulin signaling on cardiomyocytes but effects that are secondary to the effect of DM on the availability of metabolic substrates to the heart, specifically an increased delivery of fatty acids to the heart, which leads to increased fatty acid utilization and a reciprocal reduction in glucose utilization.13,27

In addition to these direct metabolic actions, studies in gene-targeted mice have demonstrated an important role for insulin signaling in the constitutive regulation of myocardial mitochondrial oxidative capacity, and the mitochondrial adaptations to physiological cardiac hypertrophy.10,28-31 Moreover, insulin signaling is an important regulator of cardiomyocyte size by its effect on growth signaling pathways mediated by phosphoinositide-3-kinase (PI3K) and Akt/PKB, and is required for preserving myocardial function and structure in response to pressure overload hypertrophy and ischemia.12,14,15 Conversely, excessive insulin signaling has also been implicated in accelerating left ventricular remodeling in heart failure.33

**Molecular Architecture of Insulin Signaling**

As summarized in Figure 1, insulin catalyzes its pleiotropic effects following binding to its cognate receptor (IR) and increasing its phosphorylation. Activated IRs subsequently engage a network of intracellular signaling intermediates that are engaged by insulin receptor substrates (IRS), which act as scaffolds that facilitate activation of divergent branches of the insulin signal transduction pathways, such as activation of PI3K and Akt/PKB or the MAPK/ERK signaling pathways. The closely related insulin-like growth factor 1 (IGF-1) signaling pathway, which is activated by binding of its cognate receptor, shares many signaling characteristics with IRs and mediates partially overlapping but also distinct signaling outputs.34 Of relevance to cardiomyocytes is the observation that insulin and IGF-1 receptors exist as hybrid receptors that mediate significant crosstalk with their respective ligands.28,35 Indeed, genetic deletion of insulin or IGF-1 receptors reveals substantial crosstalk between insulin and IGF-1 signaling to activate intracellular signaling pathways.28 Although these signaling pathways are often depicted as linear, there are important nodes where crosstalk occurs between these branches of the insulin signaling pathway.36 In addition, recent studies have also underscored important interactions between insulin signal transduction pathways and other signaling pathways such as the β-adrenergic

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**Figure 1.** Schematic representation of insulin signaling pathways. Insulin receptors (IRs) and insulin-like growth factor 1 receptors (IGF1R) are tyrosine kinase cell surface receptors that exist as either homodimers or hybrid heterodimers. Activation of these receptors leads to association with insulin receptor substrate isoforms (IRS1/2), which are signaling scaffolds that facilitate activation of intracellular signaling molecules such as phosphoinositide-3-kinase (PI3K), which converts phosphatidylinositol (3,4)-biphosphate (PIP2) to phosphatidylinositol (3,4,5)-trisphosphate (PIP3) at the plasma membrane that interacts with the PH-domain-containing protein phosphoinositide-dependent kinase (PDK1) that initiates activating phosphorylation of the serine threonine kinase Akt. Akt phosphorylates many intracellular targets that include: forkhead box O (FOXO)1 transcription factor leading to its nuclear exclusion to reduce its transcriptional activity; tuberous sclerosis complex 2 (TSC2), which reverses its repression of Rheb, leading to activation of mechanistic target of rapamycin (mTOR); glycogen synthase kinase 3 β (GSK3β) removing the repression of glycogen synthase, thereby stimulating glycogen synthesis and by phosphorylating AS160 (not shown) leading to translocation of GLUT4 glucose transporters to increase glucose uptake. IRS activation also increases phosphorylation of the mitogen activated protein kinases (ERK1 and 2) that regulate gene expression. IRs and IRS proteins also forms complexes with other receptor families as exemplified by β-adrenergic receptors to modulate ERK and PI3K signaling.
Insulin and Cardiac Autophagy

Recent studies have underscored an important role for insulin signaling in the regulation of myocardial autophagy. These studies have not only provided insights into the physiological regulation of myocardial autophagy by insulin signaling, but have also revealed important consequences on autophagy by conditions such as DM and obesity, which are associated with altered insulin signaling in the myocardium. Before discussing these studies in detail, a brief overview of autophagy and its regulation is provided. Autophagy is a conserved cellular process characterized by the formation of double-membrane vesicular structures that engulf intracellular organelles. These vesicles are subsequently targeted to lysosomes for enzymatic degradation of their cargo. The autophagic process is orchestrated by a family of autophagy-regulating proteins (ATGs) that mediate specific steps (Figure 2). The non-specific or bulk engulfment of cellular cargo by autophagy is called macro-autophagy and in terms of insulin signaling represents the pathway that has been most widely studied and for the purpose of this review will be described as “autophagy”. In addition, defined signaling pathways leading to autophagic engulfment of specific subcellular components exist and include mitophagy (selective degradation of mitochondria), lipophagy (selective degradation of lipid droplets), glycophagy (specific degradation of glycogen), chaperone-mediated autophagy, which describes Lysosome-associated membrane protein 2 (LAMP2) mediated signaling that contributes to the lysosomal degradation of unfolded proteins, and macrophagy (autophagosomal degradation of peroxisomes).

Various tools are used to measure autophagy. Commonly used approaches include ultrastructural evaluation of tissues...
for autophagosome-like structures, and immunohistochemical approaches that detect specific proteins that insert into autophagosomes (particularly, microtubule-associated protein 1A/1B-light chain 3 (LC3II)), colocalization of these structures with lysosomes or increased levels of LC3II by immunoblot. Reporters that label LC3 with fluorescence proteins such as green or red fluorescence protein (GFP or RFP, respectively) can be transfected into cells or expressed in transgenic mice to provide a tool for quantifying autophagy by quantifying the number of labeled puncta that represent autophagosomes. An increase in the number of autophagosomes could be related to an increase in the generation of new autophagosomes or to a reduction in lysosomal clearance. To determine the mechanism for changes in autophagosome number, approaches to measure autophagic turnover or flux have been designed. Exposing cells or treating animals with inhibitors of autophagosome/lysosome fusion will further increase the content of autophagosomes if autophagy induction is increased, whereas if an increase in autophagosome number is secondary to a defect in autophagosome clearance, then no further increase would be observed. Likewise, the use of dual-labeled LC3 with GFP and RFP has been used to estimate autophagic turnover on the basis of the quenching of GFP fluorescence in the acidic environment of the lysosome, in which RFP remains stable. Thus increased flux will result in increased RFP fluorescence and decreased turnover will be associated with persistence and overlap of GFP and RFP fluorescence. Finally, an important autophagosome cargo p62 is degraded within the lysosome; thus, a reduction in p62 is also commonly used to indicate increased autophagic flux.

**Signaling Pathways That Regulate Autophagy**

Multiple upstream signaling pathways regulate the induction of autophagy in all cell types. As summarized in Figure 3, macro-autophagy is activated by diverse upstream signaling pathways that largely respond to nutrient availability. An important integrator of nutrient sensing and substrate availability is the mechanistic target of rapamycin (mTOR). Activation of mTOR potently suppresses autophagy, and in the face of nutrient deprivation or pharmacological inhibition, mTOR inhibition leads to the initiation of signaling pathways that promote autophagosome formation in part by reducing inhibitory phosphorylation of the autophagy regulator unc-51-like autophagy activating kinase 1 (ULK1). Under more severe conditions of nutrient stress, activation of the energy sensor AMP-activated

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**Figure 3.** Regulation of autophagy by nutrient status. Nutrients such as amino acids or glucose can directly activate the mechanistic target of rapamycin (mTOR), which represses autophagy. Under conditions of nutrient deficiency, there is activation of AMP-activated protein kinase (AMPk) by binding to AMP and promoting its phosphorylation by liver kinase B1 (LKB1). Activated AMPK may also directly activate autophagy by phosphorylating the autophagy mediator unc-51-like autophagy activating kinase 1 (ULK1, not shown). Nutrient deficiency increases NAD+ which activates NAD-dependent deacetylase sirtuin 1 (SIRT1), which will de-acetylate forkhead box O (FOXO1), which is preferentially retained in the nucleus to increase its transcriptional activity on the promoters of genes encoding proteins that regulate autophagy. Increased nutrient availability promotes insulin release and activation of insulin receptors, which as summarized in Figure 1 leads to activation of mTOR, which suppresses autophagy, and to phosphorylation of FOXO1, which excludes it from the nucleus, to lower its transcriptional activity on the promoters of genes that regulate autophagy.
protein kinase (AMPK) will lead to inhibitory phosphorylation of mTOR and stimulatory phosphorylation of ULK1, leading to autophagy activation.\(^{38,39}\) In addition to direct activation of signaling intermediates, nutrient deprivation can also modulate autophagy by transcriptional mechanisms that induce the expression of genes encoding for autophagy-inducing proteins. One such transcriptional mechanism is mediated by the forkhead box O1 transcription factor (FOXO1), which is reversibly deacetylated by sirtuin 1 in conditions of nutrient deprivation, leading to nuclear occupancy and transcriptional activation of autophagy-regulatory proteins that harbor FOXO binding sites.\(^{52}\) It is clear that growth factor signaling pathways, as exemplified by insulin signaling, interact importantly with many of these canonical autophagy-regulatory signals. Thus, by activating PI3K and Akt/PKB signaling, insulin will induce mTOR activation and autophagy inhibition. Similarly, insulin/Akt-mediated phosphorylation of FOXO1 may induce nuclear exclusion of FOXO1, leading to reduced expression of autophagy-related proteins. Thus insulin, which is an important sensor of systemic nutrient availability, will suppress autophagy via various molecular mechanisms. It is important to note that mTOR-independent regulation of autophagy has been described, and organellar-restricted autophagy, such as mitophagy, is regulated by signaling mechanisms that are in part distinct from signaling mechanisms that regulate macro-autophagy.\(^{40,45,53,54}\) The role of insulin signaling in the regulation of these novel alternate pathways is incompletely understood.

### Regulation of Myocardial Autophagy by Insulin Signaling

Many studies have revealed that constitutive levels of autophagy in the heart may play an important role in organellar quality control and the control of the heart to hemodynamic stress.\(^{51,55–58}\) Moreover, suppression of cardiac autophagy has been associated with aging-associated cardiac dysfunction.\(^{55,59,60}\) Studies in rodents revealed that fasting or caloric restriction is associated with a profound induction of myocardial autophagy in the adult heart.\(^{51,62}\) Moreover, these changes are reversible upon re-feeding. Also, in the immediate perinatal period of starvation, before breast feeding is established, autophagy is markedly induced within the heart and prevention of this process limits the ability of the fetus to survive brief periods of starvation.\(^{63}\) Thus autophagy plays an essential role not only in maintaining cardiomyocyte viability during periods of nutrient deprivation, but also in organellar quality control. Short-term nutrient deprivation is associated with multiple changes in systemic metabolic homeostasis, which could affect autophagy. For example, nutrient deprivation can induce sirtuin activity or reduce the availability of substrates such as amino acids or glucose that could activate mTOR. In addition, protracted or more severe nutrient deprivation can also activate autophagy by increasing the activity of AMPK. However, an important mediator of the fasting-associated induction of autophagy in the heart could be the fall in circulating insulin concentration. To test this hypothesis, we completely disrupted insulin signaling in cardiomyocytes by deleting the IRS1 and IRS2 genes. Loss of IRS1 and IRS2 resulted in unrestrained autophagy within the heart, which ultimately led to significant myocyte loss, heart failure and early mortality. These changes were also accompanied by mitochondrial dysfunction and increased apoptosis. To prove that unrestrained autophagy could contribute to heart failure in this context, we genetically inhibited autophagy in IRS1-/-deficient animals and restored cardiac function and structure.\(^{39}\) Given that IRS1 and IRS2 mediate insulin and IGF-1 signaling, it is possible that the dramatic induction of autophagy in IRS-1/2-deficient hearts could be the consequence of loss of signaling via both hormones.

Single knockouts of the insulin or IGF-1 receptors do not lead to catastrophic phenotypes in non-stressed hearts and the effect of loss of these signaling pathways in cardiomyocytes on autophagy remains to be determined.\(^{9,22}\) However, it was observed that in mice with a deficiency of circulating IGF-1 on the basis of loss of hepatic IGF-1 synthesis, nutrient deprivation was associated with an increase in myocardial autophagy, relative to fasted wildtype mice.\(^{44}\) Conversely, acute insulin administration to rats rendered insulinoenpic with streptozotocin, and to cultured cardiomyocytes in vitro following nutrient withdrawal, acutely suppressed autophagic signaling.\(^{36,59}\) Changes in insulin concentrations in the fasting to re-feeding transition are more marked than are changes in the circulating concentration of IGF-1.\(^{38,64}\) As such, it is likely that insulin represents an important mediator of the physiological induction of autophagy following short-term nutrient deprivation, as occurs during overnight fasting or caloric restriction, but the autophagic set point is modulated by the ambient concentration of IGF-1.

Given the potential beneficial effects of modest caloric restriction on longevity and cardiovascular health, it is tempting to speculate that a modest increase in autophagy on the basis of reduced insulin signaling could increase basal autophagy sufficiently to enhance organellar quality control. Support for this notion comes from observations that systemic insulin resistance, particularly when mild, is associated with enhanced insulin signaling in the myocardium, which could lead to suppressed autophagy.\(^{22,33,59,60,62}\) Aging is associated with generalized insulin resistance and hyperinsulinemia, and studies in the hearts of aging rodents reveal that autophagy is suppressed.\(^{59,66}\) Indeed, the hearts of mice with reduced PI3K/Akt signaling on the basis of expression of a dominant negative PI3K transgene exhibited reduced cardiac aging in concert with increased incidences of autophagy and reduced accumulation of damaged organelles.\(^{39}\) The opposite was observed in the hearts of animals with constitutive activation of PI3K/Akt signaling, which exacerbated aging-related cardiac dysfunction in concert with inhibition of basal autophagic flux.\(^{59,60}\) Our studies in mice with complete deficiency of IRS1 and IRS2, and studies in mice with constitutive or inducible loss of mTOR signaling in cardiomyocytes in which autophagy is over-activated and that ultimately develop heart failure, underscores the delicate balance between modest increases in autophagy that may promote cellular homeostasis and excessive autophagy that may promote cell death.\(^{39,66,67}\)

### Mitophagy

Whereas insulin signaling is likely an important physiological regulator of myocardial autophagy, a role for insulin in the regulation of mitophagy remains to be established. Studies in muscle cell lines have provided evidence that whereas nutrient deficiency might promote bulk autophagy, mitophagy might actually be decreased.\(^{68}\) Mitophagy is activated in part by mitochondrial depolarization, leading to stabilization and activation of PINK1 (phosphatase and tensin homolog-induced putative kinase) on the outer mitochondrial membrane. PINK1 phosphorylates various mitochondrial proteins, such as mitofusin2 (mfn2), which recruits the E3 ubiquitin ligase Parkin, which in turn is activated by PINK1. Parkin ubiquinates mitochondrial target proteins such as mfn1, mfn2, and the voltage-dependent anion channel (VDAC), leading to their interaction with the adaptin protein, which drives interaction with the autophagosome membrane via its LC3 binding domain. Other
signaling intermediates such as NIX, BNIP3 (BCL2/adenovirus E1B 19kDa interacting protein 3), FUNDCl (UN14 domain-containing protein 1), which may act as autophagy receptors, and the E3 ubiquitin ligase SMAD-specific E3 ubiquitin ligase 1(SMURF1) play important roles in promoting mitophagy and these processes have been reviewed in detail elsewhere. As recently reviewed, we recently observed that in cardiac muscle cells, insulin promotes mitochondrial fusion, which is required for its ability to activate mitochondrial substrate utilization. Whether or not the converse is true (ie, that reduced insulin signaling may lead to mitochondrial fission thereby potentially promoting mitophagy) remains to be established.

**Diabetes and Insulin Resistance**

Given the role of insulin signaling in the regulation of myocardial autophagy and the association of DM with increased propensity for left ventricular dysfunction, many investigators have evaluated the effect of DM on myocardial autophagy. DM is associated with complex systemic changes in the metabolic milieu. Type 1 DM is associated with insulinopenia and a relative paucity of insulin action in the myocardium. Type 2 DM, which is invariably associated with hyperinsulinemia and where this has been evaluated, is also associated with reduced myocardial insulin-stimulated glucose uptake, but in many cases with intact proximal insulin signaling to the level of Akt. As recently reviewed, it remains to be established if the repression of autophagy in the hearts of animal models of type 1 DM is an adaptive or a maladaptive response given the conflicting data. Pharmacological strategies that are associated with increased autophagy ameliorate cardiac dysfunction, whereas genetic strategies that may increase autophagy may worsen cardiac function, while genetically lowering autophagic flux could be beneficial. Data from animal models of type 2 DM, usually induced by high-fat or high-fructose feeding, are also variable, related in part to limitations in determining autophagic flux. Where autophagic flux has been determined in models of insulin resistance and type 2 DM, even in contexts where autophagosome number might be increased, there is supporting evidence that autophagic flux might be decreased. Indeed, in a rodent study in which obesity and DM were induced by high-fat feeding the repression of autophagy was associated with increased myocardial injury following ischemia and reperfusion, and treatment with rapamycin, which increased autophagic flux was associated with increased cardioprotection. Taken together, the evidence suggests DM leads to complex changes in autophagy in the heart that likely occur on the basis of the confluence of multiple inciting pathophysiological mechanisms, which may or not correlate with changes in myocardial insulin signaling. Additional studies are required to elucidate the pathophysiology and functional consequences of altered myocardial autophagy in DM and to identify targets that can be therapeutically modulated.

**Conclusions**

Autophagy is a dynamic process that is physiologically regulated in the heart. In the transition from the fed state to the fasting state, autophagy is activated within cardiac muscle and this might be related to falling concentrations of insulin. We posit that this dynamic regulation of autophagy might play an important role in organellar quality control. Aging is associated with generalized insulin resistance, and the associated persistent hyperinsulinemia might constitutively suppress myocardial autophagy, thereby contributing to age-related left ventricular dysfunction. Additional studies are therefore required to determine if caloric restriction or other approaches to reduce myocardial insulin signaling might ameliorate left ventricular dysfunction in the context of aging. The effect of DM on myocardial autophagy is complex and the specific contribution of insulin signaling is more difficult to discern. However, strategies to increase autophagic flux in type 2 DM might be of benefit in the context of ischemia and reperfusion injury. This raises important questions regarding the effect of the timing of insulin administration in susceptible patients with metabolic syndrome in the context of reperfusion, which remain to be resolved.

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**Disclosures**

The authors have no conflicts of interest to declare.

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