Modeling Spatial Variation in Stand Volume of *Acacia mangium* Plantations Using Geographically Weighted Regression

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Keywords: Geographically weighted regression (GWR), spatial variation, stand volume

Abstract: Stand volume can be estimated from other stand variables by using multiple linear regression (MLR) or other ordinary regression models. MLR, however, only produces global parameter estimates that cannot reveal spatial variations in stand variables. In this study, we used a geographical weighted regression (GWR) method to investigate local spatial variations in the relationship between stand volume, stand age, and basal area of *Acacia mangium* plantations, and to examine whether a GWR model could provide better prediction accuracy than an MLR model. Stand data and geographical coordinates were obtained from 247 plantation sample plots. We analyzed the data using MLR and GWR methods by formulating a linear model that relates stand volume to stand age and basal area. Performance of the GWR model was compared with the MLR model in terms of their parameter estimates and goodness-of-fit statistics. We found that the GWR model was not only able to reveal local spatial variations in the relationship between stand volume, stand age, and basal area, but it also produced better prediction accuracy than the MLR model. The GWR model reduced AIC by 2%, increased R_{adj}^2 up to 3%, and reduced RMSE by 14%, compared with those of the MLR model. The GWR model, therefore, could be useful for modeling spatial variations in stand attributes that cannot be revealed by ordinary regression models.

Received July 22, 2009; Accepted November 30, 2009

1. Introduction

Information about forest resources is essential for forest managers to manage their forests in appropriate ways. Among others, stand volume is still considered to be an important stand variable (Husch *et al.*, 2003), which is commonly used by forest managers to estimate financial benefits from their forests. Stand volume can also be used to quantify forest biomass by using a volume-biomass model (e.g., Fang *et al.*, 1998). Estimation of stand volume is therefore an important aspect in forest management.

Stand volume can be estimated from other stand variables (e.g., basal area, height, age, and the number of trees) by using a stand volume equation (Clutter *et al.*, 1988, Husch *et al.*, 2003). Traditionally, ordinary linear or nonlinear regression (see e.g., Draper and Smith, 1998) is often used to develop a stand volume equation. For instance, Vélez and Valle (2007) used a simple power model to estimate stand volume from basal area of *Acacia mangium* plantations in Colombia. There is no doubt that a stand volume equation derived from an ordinary regression model has provided a useful tool for forest management. The ordinary regression model, however, has a limitation because it produces a global model that assumes the stationary of model parameters, meaning that the effect of each predictor is constant over the whole study area. For instance, if a global model estimates stand volume from basal area, then the estimated stand volume at a certain location will be the same as that of other locations with the same level of basal area. In reality, it is not always true because the relationship between basal area and stand volume might vary from one location to another as the result of local spatial variations. Because the global model cannot represent spatial variations in the relationships among stand variables, it would produce less accurate predictions. It is therefore the global model would less appropriate for detail spatial forest planning in which

precise information of forest resources at every location is desirable.

One of the promising methods for modeling local spatial variations is geographically weighted regression (GWR, see Fotheringham *et al.*, 2002). The basic idea of GWR is capturing spatial variation by fitting regression models at each location. It means that each location has a set of model parameters that may differ from other locations. Thus, GWR extends a global regression model to account for spatial non-stationary in the relationship between observed variables across space (Fotheringham *et al.*, 2002, Miller *et al.*, 2007).

Although GWR has initially gained popularity in the fields of human geography and socio-economics (Fotheringham *et al.*, 2002, Kupfer and Farris, 2007, Miller *et al.*, 2007), several studies have also confirmed the usefulness of this method for forestry applications. Zhang *et al.* (2004) showed that GWR outperformed ordinary regression for predicting individual tree heights of a forest stand. Zhang and Shi (2004) as well as Kupfer and Farris (2007) provided other evidence that basal area was better predicted by using GWR rather than ordinary regression. Similarly, Wang *et al.* (2005) also concluded that GWR was better than ordinary regression for predicting net primary production, whereas Kimsey *et al.* (2008) confirmed such conclusion when they used GWR for predicting site index.

While those previous studies have used GWR for modeling spatial variations in tree heights, basal area, net primary production, and site index of forest stands, there is still lack of study that used GWR for modeling spatial variations in stand volume, especially for *Acacia mangium* plantations. In this study, we used GWR to investigate local spatial variations in the relationship between stand volume, stand age, and basal of *Acacia mangium* plantations in West Java, Indonesia. We were particularly interested to explore whether local variations of stand age and basal area might give different effects to stand volume and to

examine whether GWR model could provide better prediction accuracy than MLR model.

2. Material and Methods

2.1. Data

This study used forest inventory data collected from *Acacia mangium* plantations located in Bogor, West Java, Indonesia ($6^{\circ} 21'0''$ - $6^{\circ}24'3''$ S, $106^{\circ}26'7''$ - $106^{\circ}29'58''$ E). The total plantation areas is 1466.44 ha, which is mostly located on flat and gently undulating terrains (0-8%) with a mean annual rainfall of 3000 mm. The plantations are usually thinned at 3, 5, and 7 years, which are then harvested at 10-12 years to produce timbers for building and furniture materials (Perum Perhutani, 2006).

Data on stand volume, stand age, and basal area were collected from 247 circular sample plots with sizes ranging from 0.02 to 0.1 ha. To cover the spatial variations of plantations, the sample plots were established systematically (with interval of about 200 m) in 16 compartments within the study area. Besides stand variables data, the geographical coordinates (UTM system at zone 48S) of plot centers were also used in data analysis.

2.2. Statistical analysis

The data were analyzed using ordinary multiple linear regression (MLR) and GWR methods. The results of both methods were then compared and evaluated in terms of their parameter estimates and goodness-of-fit statistics.

We first explored the data set and found that stand volume had strong correlations with stand age ($r = 0.62$) and basal area ($r = 0.94$), while there was no strong correlation ($r = 0.34$) between stand age and basal area. These results suggested that stand age and basal area

were appropriate predictors for stand volume, besides they are easier to measure in the field than other stand variables (e.g, height and site index). The stand volume (response variable) was assumed to be a random variable from a normally distributed population. We formulated the global model using MLR as follows:

$$[1] \quad y_i = \beta_0 + \beta_1 x_{1i} + \beta_2 x_{2i} + \varepsilon_i$$

where y_i is stand volume (m^3/ha), x_{1i} is stand age (years), x_{2i} is basal area (m^2/ha) at sample plot i , β_0 , β_1 , β_2 are model parameters, and ε_i is random error term that follows a normal distribution with mean zero and variance σ^2 . Model parameters were estimated using ordinary least squares (OLS) as commonly used in MLR (see Draper and Smith, 1998):

$$[2] \quad \hat{\beta} = ({}^t\mathbf{X}\mathbf{X})^{-1}{}^t\mathbf{X}\mathbf{y}$$

where t denotes the transpose of a matrix. The analysis of MLR model was performed using R version 2.8.1 (R Development Core Team, 2009).

While global models only produce single coefficient for each parameter (as Eq. [1]), GWR generates local coefficients for each parameter by integrating geographical coordinates of the sample plots (Fotheringham *et al.*, 2002). In GWR, therefore, the relationship between stand volume, stand age, and basal area was formulated as follows:

$$[3] \quad y_i = \beta_{0(u_i, v_i)} + \beta_{1(u_i, v_i)} x_{1i} + \beta_{2(u_i, v_i)} x_{2i} + \varepsilon_i$$

where (u_i, v_i) are geographical coordinates of sample plot i .

It is clear from [1] and [3] that GWR extends MLR model by generating local coefficients for each parameter. GWR estimates local coefficients at a sample point based on its neighboring observations within a certain distance (called as a bandwidth) that are weighted using a weighting function (called as a spatial kernel). Observations closer to

the sample point will give more weight or influence in determining the local coefficients. Thus, the weights of neighboring observations are controlled by a bandwidth (expressed in radius or number of observations), which is either fixed bandwidth or adaptive bandwidth, of a spatial kernel (Fotheringham *et al.*, 2002). In this study, we used the adaptive bandwidth with Gaussian kernel function as follows:

$$[4] \quad w_{ij} = \exp \left\{ -0.5 (d_{ij}/h)^2 \right\}$$

where w_{ij} is a weight for an observation at location j around the sample plot i , d_{ij} is distance between locations i and j , and h is bandwidth. To obtain an optimal bandwidth, we used the minimization of AIC defined as follows (Fotheringham *et al.*, 2002):

$$[5] \quad \text{AIC} = 2n \log (\hat{\sigma}^2) + n \log (2\pi) + n \left\{ \frac{n + \text{tr}(\mathbf{S})}{n - 2 - \text{tr}(\mathbf{S})} \right\}$$

where n is the total number of sample plots, $\hat{\sigma}$ is the estimated standard deviation of the error term, and $\text{tr}(\mathbf{S})$ is the trace of hat matrix $\mathbf{S}$ that maps the vector of estimated values into the observed values (i.e., $\hat{\mathbf{y}} = \mathbf{S}\mathbf{y}$). In our study, the optimal bandwidth was 4.8% of the total sample that is closest to a certain data point (in average about 11 of 247 observations). To ensure the appropriateness of the kernel function, we also tested Gaussian and bi-square with fixed bandwidth kernel functions, but, none of them produced lower AIC than that of the Gaussian kernel with adaptive bandwidth.

The weights derived from the Gaussian kernel function were then used by GWR to estimate local coefficients for each parameter using a weighted least squares regression (Fotheringham *et al.*, 2002):

$$[6] \quad \hat{\beta}_i = (\mathbf{X}^t \mathbf{W}_i \mathbf{X})^{-1} \mathbf{X}^t \mathbf{W}_i \mathbf{y}$$

where $\mathbf{W}_i$ is a spatial weighting matrix of the form:

$$[7] \quad \mathbf{W}_i = \begin{pmatrix} w_{i1} & 0 & \cdots & 0 \\ 0 & w_{i2} & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & w_{in} \end{pmatrix}$$

All calculations of GWR were performed using spgwr package of the R software (Bivand and Yu, 2009). Further statistical theories related to GWR can be found in Fotheringham *et al.* (2002) and some papers (e.g., Zhang *et al.*, 2004, Zhang and Shi, 2004).

The presence of non-stationary in the relationship between stand volume, stand age, and basal area was examined by comparing the model parameters of GWR and MLR. If the inter-quartile range of GWR is greater than the range of $\beta \pm$ standard error (SE) of MLR, this indicates the presence of non-stationary in model parameters (Fotheringham *et al.*, 2002). To illustrate spatial variations in the relationship between stand volume, stand age, and basal area, we mapped the local parameter estimates and model R^2_{adj} . In addition, we further explored correlation between GWR coefficient estimates to examine the possibility of multicollinearity among the local coefficients as studied in detail by Wheeler and Tiefelsdorf (2005). We then compared the goodness-of-fit statistics of MLR and GWR models by using AIC, adjusted coefficient of determination (R^2_{adj}), and root mean square error (RMSE) values. The model with the highest AIC and R^2_{adj} values, but lowest RMSE, was considered to be an appropriate model for predicting stand volume.

3. Results

3.1. MLR model

MLR model showed that stand volume could be well predicted from stand age and basal area (Tab. 1). The model explained about 96% of the total variations in stand volume of *Acacia mangium* plantations.

Table 1. Parameter estimates of the MLR and GWR models for predicting stand volume of *Acacia mangium* plantations

Model	Statistics	Model parameter		
		Intercept (β_0)	Age (β_1)	Basal area (β_2)
MLR	Estimate	-23.0447**	3.3251**	5.6403**
	Standard error (SE)	1.003	0.145	0.094
	$\beta_i - \text{SE}$	-24.048	3.180	5.547
	$\beta_i + \text{SE}$	-22.042	3.470	5.734
GWR	Minimum	-41.030	1.419	3.975
	25% quartile	-24.630	2.841	5.339
	Median	-22.340	3.165	5.640
	75% quartile	-19.060	3.480	6.060
	Maximum	-14.230	6.177	6.901

Note) ** Significant at $p < 0.001$

The regression coefficients for stand age and basal area were positive and significant ($p < 0.001$), meaning that stand volume increased at older stands and higher basal area. Obviously, MLR model only provided single coefficient for each independent variable, whereas variations in stand age and basal area were only measured by their standard errors. Compared to basal area, stand age had a higher standard error, meaning that it was more variable than basal area in their relationship to stand volume.

The predictive performance of MLR model seemed to be less accurate (Fig. 1a). The model underestimated stand volumes in the low range ($< 12\text{m}^3/\text{ha}$) and high range ($> 60\text{m}^3/\text{ha}$). There were also some obvious outliers in the middle range ($13\text{--}59\text{ m}^3/\text{ha}$), indicating overestimated stand volumes.

3.2. GWR model

Unlike MLR model, GWR model provided varying coefficients for each parameter (Tab. 1). Model intercepts varied from -41.03 to -14.23 , whereas local coefficients for stand age varied from 1.42 to

Figure 1. Scatter plots between predicted and actual stand volumes from (a) MLR and (b) GWR models

6.18, and those for basal area varied from 3.98 to 6.90. The wider range of local coefficients for stand age indicated that local variations in stand age were greater than those in basal area. The local effects of

Figure 2. Spatial distribution of the local (a) intercepts, and (b) coefficients for stand age obtained from the GWR model

basal area to stand volume, however, were greater than those of stand age, because basal area had slightly higher local coefficients.

Spatial distribution of the model intercepts (Fig. 2a) showed that the effects of intercepts to stand volume estimates were different from one location to another. There was, indeed, non-stationary in the model intercepts because the inter-quartile range (-24.630 to -19.060) of the GWR's intercepts was outside the range of $\beta \pm SE$ (-24.048 to -22.042)

Figure 2. (cont.) Spatial distribution of the local (c) coefficients for basal area, and (d) adjusted coefficients of determination (R^2_{adj}) obtained from the GWR model

of the MLR's intercept.

Similar to the global model result, the local coefficients for stand age were also positive, meaning that local stand volumes tended to increase with increasing stand ages. GWR model, however, clearly showed that the effects of stand age to stand volume varied from one location to another (Fig. 2b), indicating that there was a non-stationary

Table 2. Goodness-of-fit statistics of the MLR and GWR models

Model	R_{adj}^2 (%)	RMSE	AIC
MLR	96.09	4.68	1468.35
GWR	90.89–98.87	4.04	1442.24

in stand age across the study area. The inter-quartile range of stand age (2.841 – 3.480), which was outside the range of $\beta \pm \text{SE}$ (3.180 – 3.470) of MLR model, has also confirmed the presence of non-stationary in stand age (Tab. 1).

The local coefficients for basal area were also positive but slightly higher than those for stand age, meaning that local stand volumes increased at stands with higher basal areas. There were obvious clustered patterns in spatial distribution of the local coefficients of basal area (Fig. 2c). For instance, the lower parts of south-west areas had higher basal area effects than the lowest parts of north areas. The non-stationary of basal area was also indicated by the inter-quartile range (5.339 – 6.060) of GWR model that was slightly beyond the range of $\beta \pm \text{SE}$ of MLR model (5.547 – 5.734, Tab. 1).

While the effects of local stand age and basal area could be expressed by their local coefficients, total variations in local stand volumes explained by these stand variables could be quantified by the local adjusted coefficients of determination (R_{adj}^2). The local R_{adj}^2 values varied from 90.89% to 98.87% (Fig. 2d), showing that the majority (about 83%) of sample plots were fitted by GWR with R_{adj}^2 larger than that of MLR model ($R_{adj}^2 = 96.09\%$). The spatial distribution of local R_{adj}^2 values seemed to be similar with that of basal area (Fig. 2d, 2c), i.e., clustered spatial patterns, which indicated that the local variation of stand volume was more influenced by the local variation of basal area than stand age.

Table 3. Coefficients of correlation
between local GWR coefficient estimates

	Intercept(β_0)	Age(β_1)	Basal area(β_2)
Intercept(β_0)	1.000	-0.589	-0.223
Age(β_1)		1.000	-0.647
Basal area(β_2)			1.000

Compared to MLR model, GWR model performed better in predicting local stand volume as indicated by their goodness-of-fit-statistics (Tab. 2). GWR model reduced AIC by 2% (about 26 scores), increased R^2_{adj} up to 3%, and reduced RMSE by 14%. The scatter plot between predicted and actual stand volumes (Fig. 1b) also showed that GWR model made remarkable improvements in the prediction of stand volume compared with that of MLR model (Fig. 1a). In addition, GWR model did not produce strong correlations between the local coefficient estimates (Tab. 3), indicating that multicollinearity among the local coefficients might not exist.

4. Discussion

The results showed that the effects of stand age and basal area were not constant over the study area, which resulted in the variability of stand volume of *Acacia mangium* plantations. This is reasonable because stand volume tends to vary from one location to another depending on their site productivities, which can be affected by natural and management factors (Skovsgaard and Vanclay, 2008). Although we lack of site index data to measure site productivity, the variations of stand volume in the lower, middle and higher ranges of Fig. 1 could indicate that site productivity varied over the study area. It was difficult to observe natural factors inherent to the plantation sites, but

we recognized that thinning seems to be a possible management factor contributed to the variability of stand conditions. During the field-work, we observed that thinning varied considerably in their intensity and timing. Although the company has scheduled thinning periodically when stands reach 3, 5, and 7 years old, some stands at those ages were not thinned due to budget constraints. Such thinning practice would create variability in basal area and volume growths of the plantations, even within stands at a particular age. Vélez and Valle (2007) observed that frequent low thinning has caused the low growth of basal area and volume of *Acacia mangium* plantations in Colombia.

It is not surprising that MLR model produced less accurate predictions because this classical regression model does not take into account spatial variations in the stand variables. The MLR model only fitted a single function (Tab. 1) to all observations from various locations, hence its predictions might be close to the actual stand volumes at a certain location but it would be bias at other locations whose higher spatial variations in their basal area and stand age. Indeed, the model ignores a reality that stand volumes tend to vary according to local site conditions. It is reasonable if MLR model underestimated stand volume at the lower and higher ranges but it overestimated stand volume at the middle range (Fig. 1a), because this model does not consider the local spatial variations of stand variables. Similar result was also reported by Wang *et al.* (2005) who observed that MLR model underestimated net primary production (NPP) in the higher range but it overestimated NPP in the lower range.

On the other hand, GWR model indeed accounted for local spatial variations in the stand variables because it predicted stand volumes using appropriate local parameters derived from only several neighboring observations (instead of all observations as used in MLR model) within the bandwidth. A location dominated with smaller trees would have

different model parameters to another location dominated with larger trees (Fig. 2), so that their predicted stand volumes would be different as well. Accordingly, local variations in site productivity of the plantations can be captured adaptively by GWR model, which resulted in more accurate predictions compared with those of MLR model. This result is consistent with previous studies (e.g., Zhang *et al.*, 2004, Zhang and Shi, 2004, Wang *et al.*, 2005, Kupfer and Farris, 2007, Kimsey *et al.*, 2008), which proved that GWR model produced better prediction accuracy than classical regression techniques. In our study, about 83% of the local R^2_{adj} (Fig. 2d) was higher than the global R^2_{adj} ($= 0.961$), indicating that GWR model produced a better explanatory ability with a greater accuracy (Fig. 1b) than MLR model. The model does not only account for the effects of stand age and basal area (as MLR model does), but it also integrates local spatial information that inherent to the stand variables (that cannot be captured by MLR model). These results are expected because forest managers will have more accurate stand volume estimates, hence uncertainty in estimating timber benefits could be reduced accordingly.

Despite the advantages, there are some possible shortcomings of GWR method. First, GWR (as also the case for other spatially-based methods) requires more data than MLR or other classical regression models. Unlike MLR, which is non spatially-based method, GWR also requires sample plots data with known geographical coordinates in order to predict local coefficients at a certain location based on neighboring observations. It should not be a serious problem, however, if the existing forest inventory provides extensive data in which the geographical coordinates of sample plots could be easily measured by using a GPS (Global Positioning System) device. Second, GWR could not directly produce predictions at un-sampled locations, unless the locations have known values of each independent variable (e.g., elevation,

aspect, and normalized different vegetation index). It is therefore we could not able to produce surface map showing the spatial distribution of stand volumes over the study area, because there were no data for the stand age and basal area at un-sampled locations. When data for each independent variable are available at every location, such as those derived from a digital elevation model (DEM), it is possible to produce a map showing continuous predictions over space as demonstrated by Kupfer and Farris (2007) for predicting basal area as well as Kimsey *et al.* (2008) for predicting site index. When such data are unavailable, however, it is still possible to produce a surface map of a predicted variable by using geostatistics as demonstrated by Tiryana *et al.* (2009) for predicting carbon stocks in the study area. The last issue is that GWR may produce multicollinearity among local coefficients that can invalidate their interpretation (Wheeler and Tiefelsdorf, 2005). In our study, there were only moderate negative correlations between the local coefficient estimates (Tab. 3), so that the GWR results are still reliable. Nevertheless, as discussed by Wheeler and Tiefelsdorf (2005), GWR method would be more suitable for exploring spatial variations in stand attributes, but, it would be less appropriate for generating continuous spatial predictions.

5. Conclusion

This study showed that GWR model was able to reveal spatial variations in the relationship between stand volume, stand age, and basal area. The effects of stand age and basal area to stand volume varied considerably from one location to another, which might be caused by differences in thinning. Because the GWR model accounted for the local variations in the stand variables, it could produce better prediction accuracy than MLR model. It reduced AIC by 2%, increased R^2_{adj} up to 3%, and reduced RMSE by 14%. The GWR models would there-

fore be useful for exploring spatial variations in stand attributes, which could not be revealed by using ordinary regression models.

Acknowledgement

We gratefully acknowledge Perum Perhutani (especially Division of Forest Planning at Bogor) who permitted us to use the forest inventory data. We also thank Mr. Prianto (Bogor Agricultural University) and some staffs of Perum Perhutani at Tenjo forest district for their assistances during the field survey. Valuable comments and suggestions from a reviewer and editors have greatly improved the content of this manuscript.

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地理的加重回帰を用いた *Acacia mangium* 人工林林分材積の空間的な変動のモデル化

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要約: 林分材積は重回帰 (MLR) モデルや他の通常の回帰モデルを用いることによって、他の林分変数から推定することができる。しかし、MLR では対象地全体を対象としたパラメータしか推定できないため、林分変数の空間的な変動を示すことができない。本研究では、地理的加重回帰 (GWR) を用いて、*Acacia mangium* 人工林における林分材積と、樹齢、胸高断面積との関係の空間的な変動を調べるとともに、GWR モデルが MLR モデルより予測精度が良いかどうかを調べた。人工林内に設置された 247 個の区画から林分データと地理座標を得た。林分材積を樹齢と胸高断面積に関連させる線形モデルを定式化し、MLR と GWR を用いてデータを解析した。パラメータの推定値と適合度検定統計量によって GWR モデルと MLR モデルを比較した。その結果、GWR モデルは林分材積と樹齢、胸高断面積との関係の空間的な変動を示すことができるだけでなく、MLR モデルより予測精度が良いことが示された。GWR モデルは MLR モデルと比較し、AIC を 2% 減少させ、 R^2_{adj} を 3% まで増加させ、RMSE を 14% 減少させた。したがって、GWR モデルは、普通の回帰モデルによって示すことができない林分属性の空間的な変動をモデル化する上で有用であるといえる。

キーワード: 林分材積, 空間変動, 地理的加重回帰, 地理的加重回帰 (GWR)