Association between Airflow Obstruction and the Metabolic Syndrome or Its Components in Japanese Men

Yayoi Funakoshi, Hisamitsu Omori, Shuichi Mihara, Tohru Marubayashi and Takahiko Katoh

Abstract

Background  The aim of this cross-sectional study was to investigate the association between airflow obstruction and the metabolic syndrome (MS) or its components in Japanese men.

Methods  The study included 7,189 male subjects, aged 45-88 years, who underwent spirometric lung function tests at a medical check-up. The spirometric criteria for diagnosis of airflow obstruction were forced expiratory volume in 1 second (FEV₁)/forced vital capacity (FVC) <70%. The severity of airflow obstruction was defined according to the Global Initiative for Chronic Obstructive Lung Disease (GOLD) guideline.

Results  The prevalence of airflow obstruction was 9.0% and the frequency of MS was 25.6%. In logistic regression models adjusting for age, body mass index, smoking, and alcohol, the risk of MS was higher in subjects with airflow obstruction of GOLD stage II-IV compared to those with normal lung function [odds ratio (OR), 1.33; 95% confidence interval (95% CI), 1.01-1.76]. Of the MS components, waist circumference (OR, 1.76; 95% CI, 1.24-2.50) and blood pressure (OR, 1.37; 95% CI, 1.08-1.74) were associated with airflow obstruction of GOLD stage II-IV, after controlling for potential confounders.

Conclusion  Airflow obstruction of GOLD stage II-IV might be associated with MS, waist circumference and blood pressure components in Japanese men.

Key words: airflow obstruction, lung function tests, metabolic syndrome, spirometry


Introduction

Chronic obstructive pulmonary disease (COPD) is a chronic inflammatory disease of the airways that is characterized by chronic airflow obstruction and an abnormal inflammatory response of the pulmonary tissue (1). COPD is a major cause of morbidity and mortality throughout the world (1). In addition, COPD is predicted to become the third leading cause of deaths worldwide by 2020 (2). In Japan, the NIPPON COPD epidemiological (NICE) study in 2004 showed that at least 8.6% of the general population suffered from COPD (3). The Global Initiative for Chronic Obstructive Lung Disease (GOLD) Guidelines recommended effective COPD management and prevention strategies (1). Therefore, it is important to attempt to identify illnesses that are frequently linked with COPD and assess their impact on the way the disease progresses.

Individuals with COPD show evidence of systemic inflammation including coronary artery disease, diabetes, hypertension, osteoporosis, and muscle weakness (1, 4-9). Thus, it is thought that COPD is not only a disease of the lungs but is also a systemic inflammatory disorder (10). Fabbri and Rabe suggested adding the term “chronic systemic inflammatory syndrome”, which includes such entities as COPD, chronic heart failure and metabolic syndrome (MS), to the diagnosis of COPD (6). MS represents a cluster of risk factors (abdominal obesity, atherogenic dyslipidemia, elevated blood pressure, and elevated fasting glucose) that predispose affected patients to systemic inflammation (11). Recently, particular attention has been focused on the finding that MS is common in patients with COPD (12). Sys-
temic inflammation may be related to the pathogenesis of both COPD and MS (10).

A study suggested that airflow obstruction was associated with MS in Chinese (13), however no previous studies have exclusively targeted Japanese. In Japan, COPD is observed more often and is more serious in men than women (3, 14). The aim of this cross-sectional study was to investigate the association between airflow obstruction and MS or its components in Japanese men.

**Methods**

**Subjects**

The study included 7,189 male subjects, aged 45-88 years, who underwent a comprehensive health screening, which included a physical examination, spirometry, chest X-ray and blood tests held between April 2008 and March 2009 at the Japanese Red Cross Kumamoto Health Care Center, Kumamoto, Japan. Data on medical history and lifestyle information were collected by means of interview questionnaires conducted by a public health nurse. All subjects were evaluated by a physician. Subjects with physician-diagnosed asthma or a history of asthma or asthma-like symptoms were excluded from a diagnosis of COPD based on self-reported diagnosis and symptoms as described in the NICE study (3). Subjects were also excluded if they had physician-diagnosed bronchiectasis, tuberculosis or malignancy. Thus, people with a physician-diagnosed asthma or individuals who had other pulmonary diseases were excluded. Subjects with infectious disease, or other diseases associated with systemic inflammation, such as rheumatoid arthritis, connective tissue disorders or inflammatory bowel disease, were also excluded, as these diseases may influence CRP levels. All subjects were clinically stable (no exacerbation during the previous 2 months) at the time of evaluation. Subjects with a history of workplace dust exposure were also excluded. Our research protocol was approved by the Human Ethics Committee of Kumamoto University and Japanese Red Cross Kumamoto Health Care Center. All subjects gave informed consent prior to receiving the spirometric lung function tests.

**Measurements**

After an overnight fast, blood samples were obtained to measure serum levels of routine medical check-up markers: triglycerides, high-density lipoprotein cholesterol (HDL-C), fasting glucose, C-reactive protein (CRP) and white blood cell count. CRP levels were measured using a high-sensitivity latex assay. Percentage body fat was measured by bioelectrical impedance analysis using BF-220 (TANITA, Tokyo, Japan). Waist circumference was measured at the level of the umbilicus. An average blood pressure was calculated from two measurements with the subjects in a sitting position after 5 min of rest. Body mass index (BMI) was calculated as the weight in kilograms divided by the height in meters squared. A detailed smoking history was obtained and used to define individuals as “never smokers” (those who denied past and current smoking), “former smokers” (those who reported smoking prior to the examination and denied current smoking at examination), or “current smokers” (those who reported smoking at least 1 cigarette a day). Pack-years (packs of cigarettes per day multiplied by smoking years) was used as the smoking index. Alcohol intake was classified into five categories: “non-drinkers”, “1-2 days per week”, “3-4 days per week”, “5-6 days per week” and “everyday drinkers”.

**Metabolic syndrome**

MS was defined in accordance with the revised National Cholesterol Education Program (NCEP)-Adult Treatment Panel III (ATP III) criteria (15) as three or more of the following five components: I) waist circumference, in which the cutoff point was modified for Japanese individuals to 90 cm; II) triglycerides, ≥150 mg/dL; III) HDL-C, <40 mg/dL; IV) blood pressure, systolic blood pressure (SBP) ≥130 mmHg and/or diastolic blood pressure (DBP) ≥85 mmHg; V) fasting glucose, ≥100 mg/dL. Subjects receiving antihypertensive agents or hypoglycemic medication were considered to have the respective components.

**Lung function tests**

Lung function tests were performed using an electric spirometer (DISCOM-21 FX: CHEST MI, Tokyo, Japan) connected to a computer for analysis of data, as described previously (16). Maneuvers were performed according to GOLD recommendation (1) under the supervision of a certified pulmonary technologist. No reversibility test was performed. The spirometric criteria for diagnosis of airflow obstruction were forced expiratory volume in 1 second (FEV1)/forced vital capacity (FVC) <70%. FEV1 % predicted is expressed as percentages of predicted values using the predictive equations published by the Japanese Society of Chest Disease (17). Normal lung function was defined as FEV1/FVC ≥70% and FEV1 ≥80% predicted.

**Classification of severity**

Severity of airflow obstruction was defined analogously to the GOLD guidelines (1) as follows: GOLD stage I: FEV1/FVC <70% and FEV1 ≥80% predicted; GOLD stage II-IV: FEV1/FVC <70% and FEV1 <80% predicted. The subjects were divided into three groups: a control group (normal lung function), GOLD stage I, and GOLD stage II-IV. Subjects with GOLD stage II and higher have consistently been associated with increased mortality in epidemiological studies using pre-bronchodilation spirometry (18-21). Therefore we combined GOLD stages II, III and IV, and compared this group to subjects with normal lung function or GOLD stage I.

**Statistical analysis**

Results are presented as the mean ± standard deviation.
Table 1. Characteristics of the Subjects by Lung Function Status

<table>
<thead>
<tr>
<th></th>
<th>Normal lung function (n=6,544)</th>
<th>Airflow obstruction</th>
<th>p</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>GOLD stage I (n=297)</td>
<td>GOLD stage II–IV (n=348)</td>
<td></td>
</tr>
<tr>
<td>Age (yr)</td>
<td>55.9±7.9</td>
<td>62.3±9.3*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>67.2±9.5</td>
<td>64.0±8.5*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Body fat (%)</td>
<td>22.0±4.7</td>
<td>19.9±4.3*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>BMI (kg/m²)</td>
<td>23.7±2.8</td>
<td>22.7±2.4*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>FEV₁ % predicted</td>
<td>95.8±9.8</td>
<td>89.0±7.8*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>FEV₁/FVC (%)</td>
<td>79.5±4.7</td>
<td>66.1±3.0*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Waist circumference (cm)</td>
<td>85.6±7.6</td>
<td>83.9±6.4*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Triglycerides (mg/dL)</td>
<td>141.2±108.5</td>
<td>127.8±86.8</td>
<td>&lt;0.05</td>
</tr>
<tr>
<td>HDL-cholesterol (mg/dL)</td>
<td>61.2±15.9</td>
<td>63.1±16.0</td>
<td>0.12</td>
</tr>
<tr>
<td>Systolic blood pressure (mmHg)</td>
<td>123.0±16.3</td>
<td>123.7±18.3</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Diastolic blood pressure (mmHg)</td>
<td>77.1±11.2</td>
<td>74.7±10.9*</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Fasting glucose (mg/dL)</td>
<td>106.0±22.1</td>
<td>103.6±18.1</td>
<td>0.18</td>
</tr>
</tbody>
</table>

Medication

- Treatment for dyslipidaemia % 8.5 8.8 12.4* <0.05
- Treatment for hypertension % 20.7 18.5 28.7* <0.05
- Treatment for diabetes % 5.4 4.0 8.0 0.06
- Metabolic syndrome % 25.8 16.8* 28.7* <0.001
- Elevated waist circumference % 28.8 17.8* 29.9* <0.001
- Elevated triglycerides % 36.4 30.6 34.2 0.10
- Reduced HDL-cholesterol % 4.4 3.7 5.7 0.39
- Elevated blood pressure % 48.1 47.5 56.3* <0.05
- Elevated fasting glucose % 55.3 52.5 55.7 0.62

Smoking history % <0.001

- Never smokers 33.3 26.6 14.7
- Former smokers 39.7 40.7 39.9
- Current smokers 27.0 32.7* 45.4* <0.001

Pack-years 18.0±19.1 25.3±21.8* 37.5±27.6* <0.001

Alcohol intake % 0.22

- Non-drinkers 22.6 26.3 30.7
- 1–2 days/week 13.6 8.8 10.1
- 3–4 days/week 10.6 6.7 8.0
- 5–6 days/week 13.6 11.1 9.5
- Everyday drinkers 39.6 47.1 41.7

FEV₁ = Forced expiratory volume in one second, FEV₁/FVC = Forced expiratory volume in one second/Forced vital capacity, Pack-years = (number of cigarettes smoked per day × number of years smoked)/20, HDL= high-density lipoprotein.

Airflow obstruction was defined as FEV₁/FVC < 70%.
The severity of airflow obstruction was defined as follows: GOLD stage I: FEV₁/FVC < 70% and FEV₁ ≥ 80% predicted; GOLD stage II–IV: FEV₁/FVC < 70% and FEV₁ < 80% predicted.

Data were analyzed by analysis of variance and post-hoc Tukey test or Mann-Whitney U test and post-hoc Bonferroni adjustment.

The prevalence of airflow obstruction was 9.0% in this study, which was similar to that reported previously in a Japanese male population (3, 14). The prevalences of airflow obstruction in this study population for GOLD stages I-IV were: 4.1% (n=297), 4.4% (n=318), 0.4% (n=26), and 0.1% (n=4), respectively. The characteristics of the subjects by lung function stage are presented in Table 1. Significant differences of lung function status were seen in relation to age, weight, body fat, BMI, FEV₁ % predicted, FEV₁/FVC, waist (SD) and categorical variables are expressed as frequencies. Analysis of variance (ANOVA) with a post hoc Turkey’s test and the Mann-Whitney U test with post-hoc Bonferroni adjustment were used to assess the difference in characteristics by lung function status. Logistic regression model adjusted for age, BMI, smoking, and alcohol intake was used to assess the relationship between airflow obstruction and MS or its components. All analyses were done using IBM SPSS Statistics 18 software.
Table 2. Relationship between Airflow Obstruction and Metabolic Syndrome or Its Components According to Severity of Airflow Obstruction

<table>
<thead>
<tr>
<th></th>
<th>Normal lung function (n=6,544)</th>
<th>GOLD stage I (n=297)</th>
<th>GOLD stage II–IV (n=348)</th>
</tr>
</thead>
<tbody>
<tr>
<td>n (%) with metabolic syndrome</td>
<td>1,691 (25.8)</td>
<td>50 (16.8)</td>
<td>100 (28.7)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.43-0.79)</td>
<td>0.58 (0.40-0.73)</td>
<td>1.16 (0.91-1.47)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.51-1.02)</td>
<td>0.72 (0.62-1.43)</td>
<td>1.33 (1.01-1.76)</td>
</tr>
<tr>
<td>n (%) with elevated waist circumference</td>
<td>1,884 (28.8)</td>
<td>73 (17.8)</td>
<td>104 (29.9)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.40-0.73)</td>
<td>0.94 (0.62-1.43)</td>
<td>1.05 (0.83-1.33)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.51-1.02)</td>
<td>0.72 (0.51-1.02)</td>
<td>1.33 (1.01-1.76)</td>
</tr>
<tr>
<td>n (%) with elevated triglycerides</td>
<td>2,381 (36.4)</td>
<td>91 (30.6)</td>
<td>119 (34.2)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.60-0.99)</td>
<td>0.77 (0.69-0.87)</td>
<td>0.91 (0.72-1.14)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.60-0.99)</td>
<td>0.72 (0.60-0.99)</td>
<td>0.91 (0.72-1.14)</td>
</tr>
<tr>
<td>n (%) with reduced HDL-cholesterol</td>
<td>285 (4.4)</td>
<td>11 (3.7)</td>
<td>20 (5.7)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.46-1.56)</td>
<td>0.85 (0.61-1.20)</td>
<td>1.34 (0.84-2.14)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.46-1.56)</td>
<td>0.81 (0.55-1.21)</td>
<td>1.20 (0.74-1.97)</td>
</tr>
<tr>
<td>n (%) with elevated blood pressure</td>
<td>3,148 (48.1)</td>
<td>141 (47.5)</td>
<td>196 (56.3)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.77-1.23)</td>
<td>0.98 (0.77-1.23)</td>
<td>1.39 (1.12-1.73)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.65-1.07)</td>
<td>0.91 (0.70-1.18)</td>
<td>1.20 (0.74-1.97)</td>
</tr>
<tr>
<td>n (%) with elevated fasting glucose</td>
<td>3,621 (55.3)</td>
<td>156 (52.5)</td>
<td>194 (55.7)</td>
</tr>
<tr>
<td>Crude OR (95% CI)</td>
<td>1.00 (0.71-1.13)</td>
<td>0.89 (0.71-1.13)</td>
<td>1.02 (0.82-1.26)</td>
</tr>
<tr>
<td>Adjusted OR (95%)</td>
<td>1.00 (0.70-1.14)</td>
<td>0.89 (0.70-1.14)</td>
<td>1.02 (0.81-1.28)</td>
</tr>
</tbody>
</table>

HDL = high-density lipoprotein.

Adjusted for age, BMI, smoking, and alcohol intake.

Airflow obstruction was defined as forced expiratory volume in one second (FEV1)/forced vital capacity (FVC) < 70%.

The severity of airflow obstruction was defined as follows: GOLD stage I: FEV1/FVC < 70% and FEV1 ≥ 80% predicted; GOLD stage II–IV: FEV1/FVC < 70% and FEV1 < 80% predicted.

Elevated waist circumference: waist circumference ≥ 90 cm; elevated triglycerides: ≥ 150 mg/dL; reduced HDL-cholesterol: < 40 mg/dL, or treatment for dyslipidaemia; elevated blood pressure ≥ 130/85 mmHg, or antihypertensive drug treatment in a patient with a history of hypertension; elevated fasting glucose; ≥ 100 mg/dL, or drug treatment for elevated glucose.

Discussion

In the present study, we found that subjects with airflow obstruction of GOLD stage II–IV had a significantly higher prevalence of MS than those with normal lung function. In addition, we found that of the five components of MS, waist circumference and blood pressure were significantly associated with airflow obstruction of GOLD stage II–IV according to logistic regression models adjusting for age, BMI, smoking, and alcohol. Our results appear to agree with two previous studies, which suggested that airflow obstruction was associated with MS (12, 13). Marquis et al however, in their case-control study of 38 Canadian patients with COPD (23 males, 15 females), found that 60.9% of male patients and 26.7% female patients with COPD had MS as defined by NCEP ATP III criteria (12). Furthermore, Lam et al (13) investigated whether airflow obstruction, in tests done without the use of bronchodilators, was associated with MS as defined by the International Diabetes Federation (IDF) criteria in 7,358 adults (2,008 men and 5,350 women) aged 50 years and older, from Guangzhou, China. Lam et al found that, though the likelihood for MS increased with increasing severity of airflow obstruction, this relationship was not sig-
significant in subjects with airflow obstruction of GOLD stage I or II. We also did not observe a significant association between GOLD stage I airflow obstruction and MS in this study. It is thus possible that advanced airflow obstruction might be associated with MS.

In the present study, we found that among the components of MS, abdominal obesity (waist circumference) and blood pressure were the main effects linked with airflow obstruction. Consistent with our study results, Marquis et al reported that among MS components, abdominal obesity and high blood pressure were more frequent in COPD men (12). High blood pressure was especially frequent in COPD men (82%) (12). Recent analysis of data from 20,296 subjects (11,258 men and 9,038 women) aged ≥45 years in two large combined cohort studies showed that subjects with GOLD stage II-IV had a higher prevalence of hypertension (23).

In contrast with our results, Lam et al detected no association between airflow obstruction and hypertension, and found that among the five components of MS, only central obesity was significantly associated with airflow obstruction (13). In addition, with regard to severity of airflow obstruction, a significant relationship was only observed in subjects with airflow obstruction of GOLD stage II or higher after controlling for potential confounders. Another cross-sectional study in France also revealed that abdominal obesity was positively related to both obstructive and restrictive lung function impairment (24). For mechanical effects of obesity on lung function, respiratory muscle weakness in obesity has been attributed to muscle inefficiency, a result of reduced chest wall compliance or lower operating lung volumes or both (25). As an alternative hypothesis to a mechanical problem, Lam et al suggested that the inflammatory response in MS is particularly related to central obesity, as demonstrated by elevated levels of CRP in those with higher waist circumference (13), however, they did not study the relationship between levels of CRP and airflow obstruction. Waist circumference is correlated with both subcutaneous adipose tissue and intraabdominal adipose tissue. Intraabdominal adipose tissue is an active endocrine organ, which correlates positively with circulating levels of the proinflammatory adipocytokines, IL-6, tumor necrosis factor-alpha (TNF-alpha), and leptin, and negatively with levels of adiponectin, which regulates insulin sensitivity and could exert anti-inflammatory activities (26). The role of adipose tissue in the pathogenesis of chronic respiratory diseases remains poorly understood, but adipose tissue may act as an additional source of systemic inflammation (24, 27).

In this study, subjects with airflow obstruction (GOLD stage I or II-IV) had greater smoking exposure and pack-years than those with normal lung function. In addition, subjects with airflow obstruction of GOLD stage II-IV showed a significantly higher CRP and white blood cell count than those with normal lung function (Fig. 1). Gan et al reported a systematic review and meta-analysis of 14 reports which confirmed the strong association between COPD and biological markers of systemic inflammation such as CRP, fibrinogen, white blood cell count and tumor necrosis factor-alpha (TNF-alpha) (8). Among the markers of systemic inflammation, CRP has been most widely studied. CRP levels seem to relate to outcomes in COPD. In a cohort of 1,302 subjects with airflow obstruction, selected from the Copenhagen City Heart Study, subjects with baseline CRP greater than 3 mg/L had a higher risk of death from COPD, compared with subjects with a baseline CRP less than or equal to 3 mg/L adjusted for age, sex, FEV1% predicted, smoking, and ischemic heart disease during 8 years of follow-up (28). In addition, a previous study of data from 65 subjects (10 non smokers, 11 healthy smokers, 17 stage 0 COPD, 10 stage I COPD, 17 stage II-IV COPD) showed that relative neutrophil counts were significantly higher in stage II-IV COPD than in all other groups (29). Ishizaka et al found that in the cross-sectional data from 3687 Japanese men, an elevated white blood cell count is a risk factor for MS defined by the Japanese criteria (30). Cassatella described that in addition to the traditional functions such as phagocytosis,
degranulation and production of superoxide, neutrophils can express a variety of inflammatory mediators (31). It remains unclear whether systemic inflammation is a cause of COPD or merely a marker, but systemic inflammatory markers may have important pathophysiological and therapeutic implications in subjects with COPD (10). Attenuation of systemic inflammation may offer new perspectives in the management of COPD patients in order to reduce the burden of exacerbations. On the other hand, consistent with a previous study (13), we did not find any association among airflow obstruction of GOLD stage I, MS or its components, CRP and white blood cell count. A concern has been raised that GOLD stage I is not necessarily a COPD risk factor (32). Further studies are needed to answer the question on this point.

The current study had some limitations. First, the present study did not employ reversibility testing, as it was unacceptable to the authors’ Institutional Review Board with there being no high suspicion of disease. FEV1, and other respiratory indices obtained without bronchodilation are good markers of overall health (33). The degree of reversibility of airflow limitation is no longer recommended for diagnosis, differential diagnosis with asthma (1). A modified GOLD definition omitting bronchodilation has been widely adopted by population based epidemiological studies (2).

Second, we assessed only men, because there were too few females with airflow obstruction. Relative to men, advanced COPD in women is characterized anatomically by smaller airway lumens and disproportionately thick airway walls, and emphysema that is less extensive, manifest by a smaller hole size and less peripheral involvement (34). Further studies about the sex differences in association between airflow obstruction and MS are required in Japanese females.

In conclusion, our results suggest that airflow obstruction of GOLD stage II-IV was associated with MS, and in particular, waist circumference and blood pressure components in Japanese men.

Acknowledgement
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Conflict of Interest
None of the authors have a conflict of interest to declare in relation to this work.

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