Association between the Serum Sodium Levels and the Response to Tolvaptan in Liver Cirrhosis Patients with Ascites and Hyponatremia

Manabu Hayashi, Kazumichi Abe, Masashi Fujita, Ken Okai, Atsushi Takahashi and Hiromasa Ohira

Abstract:
Objective Hyponatremia is closely associated with the pathophysiology of cirrhosis. However, the association between the serum sodium level and the response to tolvaptan is unclear. This study evaluated the factors related to the tolvaptan response and the prognosis in cirrhosis patients with ascites and hyponatremia.

Methods We retrospectively reviewed the clinical records of cirrhosis patients hospitalized for treatment with tolvaptan. The associations of patient baseline characteristics with the tolvaptan response after one week and of the characteristics after one-month tolvaptan treatment with the prognosis were analyzed.

Results We analyzed 83 cirrhosis patients with ascites, including 34 patients with hyponatremia. The response rates to tolvaptan in patients with serum sodium <130 mEq/L, 130-135 mEq/L, and >135 mEq/L were 20%, 66%, and 58%, respectively (p=0.22). The serum sodium level was associated with the response to tolvaptan [odds ratio=1.18; 95% confidence interval (CI)=1.02-1.37; p=0.029]. In patients with hyponatremia, the serum sodium level after 1-month tolvaptan treatment was increased compared to baseline (132 mEq/L vs. 136 mEq/L, p=0.006), and an increasing serum sodium level was associated with a lower risk of mortality (hazard ratio=0.85; 95% CI=0.75-0.97; p=0.016). The survival rate was higher in patients with an increase in the serum sodium level after 1 month than in patients with a decreased serum sodium level (p=0.023).

Conclusion Tolvaptan treatment was effective in cirrhosis patients with ascites and hyponatremia, but a low serum sodium level was associated with non-responsiveness to tolvaptan. An increased serum sodium level after one-month tolvaptan treatment may positively influence the mortality risk in cirrhosis patients with hyponatremia.

Key words: tolvaptan, liver cirrhosis, ascites, hyponatremia

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Introduction
Cirrhosis results from fibrosis of the liver. In cases of decompensation, cirrhosis patients develop ascites, spontaneous bacterial peritonitis, hepatic encephalopathy, variceal hemorrhaging, and hepatocellular carcinoma (1). Cirrhotic ascites is treated with aldosterone antagonists and loop diuretics (2). In some cases, patients develop refractory ascites. Patients with refractory ascites are treated with several therapies, including concentrated ascites reinfusion therapy, transjugular intrahepatic portosystemic shunt, and peritoneovenous shunt (3-5). However, the efficacies of these therapies are limited; 15% of patients diagnosed with ascites die within 1 year, and 44% die within 5 years (2). Tolvaptan, an orally active non-peptide vasopressin V2 antagonist, ameliorates both hyponatremia and heart failure through the excretion of electrolyte-free water (6, 7). The use of tolvaptan for fluid retention in liver cirrhosis patients has been approved in Japan. The levels of the water channel aquaporin 2 were found
to be decreased after the administration of tolvaptan in liver cirrhosis patients with ascites (8). The drug’s efficacy and safety for this purpose have been reported (9-11).

Hyponatremia is a frequent complication of cirrhosis caused by systemic vasodilatation and renal water retention (12). Although several studies have investigated the effect of tolvaptan in cirrhosis patients, the association between the response to tolvaptan and hyponatremia in cirrhosis patients have differed across reports (9, 13). The serum sodium (Na) concentration is an important prognostic factor in cirrhosis patients. Tolvaptan improved the serum Na levels in cirrhosis patients with hyponatremia (11), and the normalization of the serum Na level after one week was associated with a favorable outcome of tolvaptan therapy (14). However, the association between the clinical data after more than one week of tolvaptan administration and the patient prognosis is still unclear.

The aim of this study was to clarify the effect of tolvaptan on cirrhosis patients with ascites and hyponatremia. We also evaluated the association between the clinical data recorded after one month of tolvaptan administration and the prognosis in this patient population.

Materials and Methods

Patients and study design

In this retrospective observational study, we reviewed the clinical records of adult cirrhosis patients with ascites who were hospitalized for treatment with tolvaptan at Fukushima Medical University Hospital from September 2013 through September 2017. They had been treated with conventional diuretics, loop diuretics, and/or anti-aldosterone agents along with a salt- and water-restricted diet. The diagnosis of cirrhosis was based on laboratory data, histological findings, and imaging tests, such as ultrasonography, computed tomography, and magnetic resonance imaging. Hyponatremia was defined as serum Na ≤135 mEq/L. Patients without hyponatremia who were treated with tolvaptan were included as the control group. The exclusion criteria were a lack of follow-up data within one week. Among the 85 patients who were initially included in this study, 2 were excluded (1 lost to follow-up within 1 week, and 1 with no data on body weight). A total of 83 patients were ultimately recruited for the analysis in this study.

Tolvaptan was administered at a dose of 3.75 mg, which was increased to 7.5 mg if the response to tolvaptan was insufficient on day 3 after administration. A response to tolvaptan was defined as a decrease in body weight of more than 1.5 kg on day 7 after administration (15). Laboratory data were obtained before treatment and on day 30. Patients were hospitalized for the first week of treatment with tolvaptan. After discharge, tolvaptan treatment was continued, and patients were followed at an outpatient clinic. All patients were treated with tolvaptan for more than 1 month.

We assessed laboratory data, including the total bilirubin (TB), prothrombin time-international normalized ratio (PT-INR), serum albumin (Alb), serum creatinine (Cre), blood urea nitrogen (BUN), and serum Na values, using standard methods. We also assessed the Child-Pugh score, Model for End-Stage Liver Disease (MELD) score, and MELD-Na score (12, 16). The change in the score (Δ) was defined as the difference between scores obtained pretreatment and at one month after treatment. For example, ΔMELD was calculated as the (MELD score on day 30) - (MELD score before treatment).

The rates of patients with hepatocellular carcinoma (HCC) or esophageal varices were evaluated. Patients with HCC beyond the Milan criteria also evaluated (17). The degree of esophageal varices was determined using the criteria of the Japan Society for Portal Hypertension (18). The presence of varices was defined as esophageal or gastric varices greater ≥F2 or having a history of endoscopic injection sclerotherapy for varices. The prognosis was assessed by the survival time until death or liver transplantation after tolvaptan treatment.

We retrospectively reviewed patients’ medical records. This study was performed according to the ethical standards of the Declaration of Helsinki at Fukushima Medical University Hospital, and the use of an opt-out consent method was approved by the ethics committee of the Fukushima Medical University School of Medicine. A website with additional information, including the opt-out consent form, was established for the study.

Statistical analysis

Clinical data are expressed as the median and 25th-75th percentile ranges. Clinical data were compared between groups by the Mann-Whitney U test. Differences in categorical variables were determined using Fisher’s exact test. Multivariate logistic regression analyses were used to assess the predictors of the response to tolvaptan. Predictive factors associated with the response to tolvaptan were used for multivariate analyses. Patients who underwent abdominal paracentesis or albumin infusion within 7 days after tolvaptan administration (n = 14) were excluded when we analyzed the predictive factors associated with the tolvaptan response, as abdominal paracentesis or albumin infusion can affect the decrease in body weight. Correlations between the data were analyzed by Spearman’s rank correlation test. Multivariate Cox proportional hazards regression models were used to assess the predictors of the prognosis. Factors associated with prognosis in cirrhosis patients were used for multivariate analyses. The survival rate was estimated by the Kaplan-Meier method. Differences with a value of p<0.05 were considered statistically significant. The data were analyzed using EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan), which is a graphical user interface for R (The R Foundation for Statistical Computing, version 3.2.1) (19), and a modified version of R commander (version 2.1-7).
between patients with and without hyponatremia (56% vs. 40%). The response rate to tolvaptan did not significantly differ among the groups (p=0.22). We further analyzed the response rate according to the baseline serum Na value: <130 mEq/L, 130-135 mEq/L, and >135 mEq/L. The distribution of the tolvaptan response rate according to the serum Na level is shown in Fig. 1. The response rates to tolvaptan in patients whose serum Na level was <130 mEq/L, 130-135 mEq/L, and >135 mEq/L were 20%, 66%, and 58%, respectively, with no significant differences among the groups (p=0.22).

### Predictors of a response to tolvaptan

The baseline Cre and MELD-Na score were significantly lower, and the serum Na level was significantly higher in responders to tolvaptan than in non-responders (Table 2). We evaluated the predictors of a tolvaptan response after one week in a multivariate analysis (Table 3). The evaluated factors were the gender, age, Child-Pugh score, HCC beyond Milan criteria, Cre, and serum Na, which have been associated with response to tolvaptan. The serum Na level was significantly associated with a response to tolvaptan after 1 week (odds ratio (OR): 1.18, 95% confidence interval (CI): 1.02-1.37, p=0.029).

### Results

#### Patient baseline clinical characteristics

Among the 83 patients with cirrhotic ascites hospitalized for treatment with tolvaptan, 34 were hyponatremic, and 49 were not hyponatremic. The characteristics of the patients are shown in Table 1. The median age of the 83 patients was 67 years. All patients were classified as Child-Pugh grade B or C. Chronic hepatitis C (37%) and alcoholic liver disease (22%) were the leading causes of cirrhosis. Thirty-five patients had HCC (42%), 23 of whom had HCC beyond the Milan criteria (27%). The median follow-up period was 90 days (range: 7-836). During the follow-up period, 33 patients died, and 5 underwent liver transplantation. Among the 33 patients who died, 17 died from liver failure and 16 from HCC. The median serum Na level was 136 mEq/L. Among the 69 patients who did not undergo abdominal paracentesis or albumin infusion within 7 days after tolvaptan administration, 40 (57%) were responders to tolvaptan. The response rate to tolvaptan did not significantly differ between patients with and without hyponatremia (56% vs. 58%, p=1).

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Changes in clinical data from before treatment to day 30 of tolvaptan treatment

In patients with hyponatremia, the PT-INR, serum Na level, and MELD score were significantly elevated, and the Alb level and Child-Pugh score were significantly decreased. Although the MELD score was significantly elevated, the MELD-Na score did not show a significant change after treatment (Table 4). In patients without hyponatremia, no significant changes were observed after treatment.

We next evaluated the correlations between the change in the serum Na level and laboratory data before treatment. The ΔNa was significantly correlated with the baseline serum Na level (r=-0.53, p<0.001) but did not correlate with the Child-Pugh score, BUN, Cre, or BUN/Cre ratio (Table 5).

Predictors of mortality in cirrhosis patients with hyponatremia treated with tolvaptan at day 30

Among the 83 patients, the survival rate was significantly lower in patients with hyponatremia at baseline than in those without hyponatremia (log-rank test: p<0.001). We evaluated the association between the clinical data after one month and the time of mortality in patients with hyponatremia (Table 6). The evaluated factors were the gender, age, Child-Pugh score, HCC beyond Milan criteria, Cre, and ΔNa. In the multivariate analysis, the Child-Pugh score [hazard ratio (HR): 1.66, 95% CI: 1.09-2.55, p=0.018], Cre (HR: 19.36, 95% CI: 3.22-116.0, p=0.001), and ΔNa (HR: 0.85, 95% CI: 0.75-0.97, p=0.016) at day 30 of tolvaptan treatment were significantly associated with mortality. For patients with hyponatremia, the survival rate was significantly higher in the ΔNa >0 group than in the ΔNa ≤0 group (p=0.023, Fig. 2).

Discussion

The present study demonstrated that the response to...
Tolvaptan did not significantly differ between cirrhosis patients with and without hyponatremia, but a low serum Na level was significantly associated with a poor response to tolvaptan in a multivariate analysis. The ΔNa after one-month tolvaptan treatment was significantly associated with the prognosis in cirrhosis patients with ascites and hyponatremia. Furthermore, an increase in the serum Na level after one-month tolvaptan treatment was a predictor of a good prognosis.

There was no significant differences in the response rate between patients with and without hyponatremia, but a significant association was noted between a low serum Na level and the response. Based on these results, we speculate that the response to tolvaptan, as indicated by weight reduction, varies according to the degree of hyponatremia. The change in the serum Na level is known to differ between patients with mild and severe hyponatremia (11). The serum Na level was significantly increased in patients with hyponatremia but not in patients without hyponatremia in our study. Tolvaptan has been shown to improve hyponatremia in patients with cirrhosis (11). However, the efficacy of tolvaptan in patients with cirrhosis and severe hyponatremia appears

### Table 3. Predictors of Response to Tolvaptan in Cirrhosis Patients according to a Multivariate Analysis.

<table>
<thead>
<tr>
<th>Variables</th>
<th>OR (95% CI)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male gender</td>
<td>0.74 (0.20-2.65)</td>
<td>0.64</td>
</tr>
<tr>
<td>Age</td>
<td>1.00 (0.96-1.05)</td>
<td>0.91</td>
</tr>
<tr>
<td>Child-Pugh score</td>
<td>1.16 (0.87-1.54)</td>
<td>0.31</td>
</tr>
<tr>
<td>HCC beyond Milan criteria</td>
<td>1.29 (0.36-4.57)</td>
<td>0.69</td>
</tr>
<tr>
<td>Serum creatinine, mg/dL</td>
<td>0.44 (0.18-1.10)</td>
<td>0.079</td>
</tr>
<tr>
<td>Serum sodium, mEq/L</td>
<td>1.18 (1.02-1.37)</td>
<td>0.029</td>
</tr>
</tbody>
</table>

OR: odds ratio, CI: confidence interval, HCC: hepatocellular carcinoma

### Table 4. Changes in Clinical Data from before Treatment to Day 30 of Tolvaptan Treatment.

<table>
<thead>
<tr>
<th>Variables</th>
<th>Na ≤ 135 mEq/L</th>
<th>p</th>
<th>Na &gt; 135 mEq/L</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Before treatment</td>
<td>Day 30</td>
<td>Before treatment</td>
<td>Day 30</td>
</tr>
<tr>
<td>Total bilirubin, mg/dL</td>
<td>3.6 (2.3-8.0)</td>
<td>3.3 (2.2-13.4)</td>
<td>0.85</td>
<td></td>
</tr>
<tr>
<td>Prothrombin time-INR</td>
<td>1.38 (1.24-1.60)</td>
<td>1.40 (1.22-1.52)</td>
<td>&lt;0.001</td>
<td></td>
</tr>
<tr>
<td>Serum albumin, g/dL</td>
<td>2.6 (2.2-2.8)</td>
<td>2.4 (2.0-2.8)</td>
<td>0.048</td>
<td></td>
</tr>
<tr>
<td>Serum creatinine, mg/dL</td>
<td>0.91 (0.69-1.18)</td>
<td>0.97 (0.77-1.13)</td>
<td>0.15</td>
<td></td>
</tr>
<tr>
<td>Blood urea nitrogen, mg/dL</td>
<td>19.0 (14.2-27.0)</td>
<td>20.0 (14.0-25.0)</td>
<td>0.55</td>
<td></td>
</tr>
<tr>
<td>Blood urea nitrogen/sodium ratio</td>
<td>21.0 (18.3-24.1)</td>
<td>19.4 (16.0-24.6)</td>
<td>0.60</td>
<td></td>
</tr>
<tr>
<td>Serum sodium, mEq/L</td>
<td>132 (130-134)</td>
<td>136 (133-139)</td>
<td>0.006</td>
<td></td>
</tr>
<tr>
<td>MELD score</td>
<td>14 (10-19)</td>
<td>15 (11-19)</td>
<td>0.008</td>
<td></td>
</tr>
<tr>
<td>MELD-Na score</td>
<td>16 (12-24)</td>
<td>18 (13-22)</td>
<td>0.32</td>
<td></td>
</tr>
<tr>
<td>MELD-Na score</td>
<td>20 (16-24)</td>
<td>18 (13-22)</td>
<td>0.32</td>
<td></td>
</tr>
<tr>
<td>Child-Pugh score</td>
<td>12 (10-13)</td>
<td>12 (10-13)</td>
<td>0.033</td>
<td></td>
</tr>
<tr>
<td>ΔChild-Pugh score</td>
<td>0 (-1-0)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

The values presented are the median values and the 25th-75th percentile ranges.
INR: international normalized ratio, MELD: Model for End-Stage Liver Disease score, Δ: the difference in scores between pretreatment and at 30 days after treatment
Table 5. Correlations between the Change in the Serum Sodium Level and the Pretreatment Laboratory Data.

<table>
<thead>
<tr>
<th>Variables</th>
<th>r</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Serum sodium, mEq/L</td>
<td>-0.53</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>Child-Pugh score</td>
<td>0.22</td>
<td>0.072</td>
</tr>
<tr>
<td>Blood urea nitrogen, mg/dL</td>
<td>0.12</td>
<td>0.33</td>
</tr>
<tr>
<td>Serum creatinine, mg/dL</td>
<td>0.13</td>
<td>0.26</td>
</tr>
<tr>
<td>Blood urea nitrogen/serum creatinine ratio</td>
<td>0.19</td>
<td>0.41</td>
</tr>
</tbody>
</table>

Table 6. Predictors of Mortality in Cirrhosis Patients with Hyponatremia Treated with Tolvaptan at Day 30.

<table>
<thead>
<tr>
<th>Variables</th>
<th>HR (95% CI)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male gender</td>
<td>6.35 (1.08-37.24)</td>
<td>0.040</td>
</tr>
<tr>
<td>Age, years</td>
<td>0.95 (0.90-1.01)</td>
<td>0.13</td>
</tr>
<tr>
<td>HCC beyond Milan criteria</td>
<td>1.21 (0.29-5.03)</td>
<td>0.78</td>
</tr>
<tr>
<td>Child-Pugh score</td>
<td>1.66 (1.09-2.55)</td>
<td>0.018</td>
</tr>
<tr>
<td>Serum creatinine, mg/dL</td>
<td>19.36 (3.22-116.0)</td>
<td>0.001</td>
</tr>
<tr>
<td>ΔSerum sodium, mEq/L</td>
<td>0.85 (0.75-0.97)</td>
<td>0.016</td>
</tr>
</tbody>
</table>

HR: hazard ratio, CI: confidence interval, HCC: hepatocellular carcinoma, Δ: the difference in scores between pretreatment and at 30 days after treatment.

Suggested that the effect of tolvaptan may decrease as hyponatremia progresses. Given that the response rate of patients with a low serum Na level (<130 mEq/L) was only 20% in this study, starting treatment with tolvaptan before hyponatremia progresses may result in better outcomes.

The serum Na level is associated with the prognosis of liver cirrhosis. The MELD-Na score is an important predictive model of the prognosis for cirrhosis patients (12). A low serum Na level is associated with a poor survival rate in cirrhosis patients (25). Furthermore, the survival rate was significantly lower in patients with hyponatremia at baseline than in those without hyponatremia in this study. Although tolvaptan improved hyponatremia and ascites, two meta-analyses that reviewed trials of vaptans concluded that vaptans did not affect mortality (26, 27). However, 1 meta-analysis included only 1 trial of tolvaptan among 12 trials, while the other included 3 trials of tolvaptan among 16 trials. Therefore, the effect of tolvaptan on mortality has not yet been fully elucidated. Kogiso et al. reported that the normalization of hyponatremia was associated with a favorable outcome in cirrhosis patients treated with tolvaptan (14). They speculated that serum Na correction may be associated with an improved survival through a reduction in NO production and complications (14). In the present study, the serum Na level was significantly elevated after one-month treatment with tolvaptan, and a lower serum Na level was associated with an increased risk of mortality. Furthermore, elevation of the serum Na level after treatment with tolvaptan was a predictor of a good prognosis. An increased serum Na level may positively influence the mortality risk in cirrhosis patients with hyponatremia. Changes in the serum Na level were correlated with the baseline serum Na level but not correlated with the Child-Pugh score, BUN, Cre, or BUN/Cre ratio in this study. Even if patients had a high Child-Pugh score or a low renal function, their serum Na level had the potential to increase after one-month treatment with tolvaptan.

The pathways of hyponatremia development and water retention in cirrhosis patients may overlap. Hyponatremia re-
sults from either water retention or a loss of electrolytes. In patients with cirrhosis, systemic vasodilation and arterial underfilling are the main mechanisms leading to hyponatremia and portal hypertension (28). Subsequently, the increase in circulating vasodilators plays an important role in the pathogenesis of splanchnic vasodilation (29). In contrast, antidiuretic hormone (ADH) regulates serum osmolality. ADH is released when hypovolemia occurs, binding to the V2 receptor and increasing water reabsorption via aquaporin-2 (30). Therefore, another major factor responsible for hyponatremia is the increased production of ADH due to non-osmotic hypersecretion related to circulatory dysfunction in advanced cirrhosis (31). Consistent with our present results, these reports suggest that the serum Na level is associated with ascites in cirrhosis patients.

Several limitations associated with the present study warrant mention. First, this was a single-center, retrospective study. A multicenter, prospective study is needed to confirm these findings. Second, the number of patients included in this analysis was small. While future studies in a larger number of patients and adding other predictive factors are desirable, our results suggest that the serum Na level is closely associated with the effect of tolvaptan in cirrhosis patients with ascites and hyponatremia. Third, we did not analyze other predictive factors of the response to tolvaptan, such as the urinary sodium excretion and urine Na/K ratio (22, 23, 32). We also failed to analyze the association between the response to tolvaptan and the urinary electrolyte excretion, as there were few patients in whom urinary electrolytes had been measured. In addition, we did not analyze the symptom reduction by tolvaptan. Hiramine et al. found that two indicators—symptom reduction and body weight loss—were sufficient to evaluate the response to tolvaptan. Hiramine et al. found that two indicators—symptom reduction and body weight loss—were sufficient to evaluate the response to tolvaptan. Hiramine et al. found that two indicators—symptom reduction and body weight loss—were sufficient to evaluate the response to tolvaptan.

**Conclusion**

In conclusion, tolvaptan treatment was effective in cirrhosis patients with ascites and hyponatremia, but a low serum Na level was a predictor of a poor response to tolvaptan. The serum Na level after one month of tolvaptan treatment was associated with the prognosis in cirrhosis patients with ascites and hyponatremia. Therefore, treatment with tolvaptan before hyponatremia progresses may lead to better outcomes in cirrhosis patients with ascites.

The authors state that they have no Conflict of Interest (COI).

**References**


