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Automatic Generation of XML Files and Their Database Registration from Tabular Form Specifications

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Abstract: Various forms of tables have been used as tools for visualizing and arranging information in many fields. In addition, XML is widely used as a language for exchanging data. We have studied how documents are formally processed with software development tools. In this paper, we propose a system to create and manage tabular specifications based on an attribute graph grammar. A tabular form specification is represented by a marked graph, and its syntax is defined by an attribute NCE graph grammar. We add a new attribute that contains XML source codes of the tabular form specifications. The XML source codes are generated by evaluating the attribute and are automatically registered to the database. The specifications are then retrieved from the database. Our system can perform a characteristic retrieval for software specifications. The results may lead to a considerable improvement in the efficiency of human labor due to the use of a unified formal methodology based on graph theory and advanced retrieval.

Keywords: hiform specifications, XML database, attribute graph grammar, parser, software information

1. Introduction

In recent years, the importance of user interfaces has been steadily increasing with the development of fundamental technology, widespread use of IT devices, and user needs. Under these circumstances, tables for displaying information in a user interface and in documents play an important role. Various tables have been used as tools for visualizing and arranging information in many fields. Such tabular form documents are created, referred to, and managed for their intended use. Many table processing systems have been developed, and tables are often used in computer interfaces and documents [1], [2], [3]. Tabular form specifications are also used for various developments. Conventionally, input, creation, and checking of tabular form specifications are processed manually. Document processing is dependent on human labor, though, and the percentage of processing automatically is comparatively low; therefore, machine-based document processing, such as automatic drawing and editing of tabular form specifications, is considered an important issue in a software development tool. The graph syntax theory aiming for global diagrammatic structures has recently been developed, and therefore, the possibility of automatic diagrammatic processing has arisen. Software documentation often involves tabular forms, such as tabular form specifications, and diagrams, such as program flowcharts. Furthermore, the tabular forms may be classified into two groups, as follows.

(1) nested-structured forms in which items are linked hierarchically to one another.
(2) tessellation-structured forms such as symbol tables and spreadsheets.

This paper deals with (1) (that is, the nested-structured tabular forms) together with their mechanical manipulating problems. In table processing systems, it is necessary to explicitly define both the syntax and drawing conditions. Attribute graph grammars formulate syntactic structures [4] and universally formulate visual structures among items in form using syntax with attribute rewriting rules.

Several models and properties of graph grammars have been investigated by Franck, Della Vigna, and Rozenberg [5], [6], [7], [8], [9]. Franck [5] introduced precedence graph grammars and applied them to nested program tabular forms called PLAN2D. In addition, graph grammars with Neighbourhood Controlled Embedding (NCE graph grammars) [7] have been considered as reasonable models of design and analysis. Adachi et al. have formulated hierarchical program diagrams by applying an attribute graph grammar [10], [11]. In accordance with the development of the graph grammar theory, syntactic graph manipulating systems have also been developed such as DIAGEN [8]. Another system introduced by Nagl et al. in the IPSEN project [8].

On the other hand, XML is widely recognized as one of the most influential standards concerning data exchange on the Web.
Scalable systems can be constructed by using XML, and it is possible to offer diversified services. XML provides a basic syntax that can be used to share information between different kinds of computers, different applications, and different organizations on a global scale. Dejean et al. presented a system for converting PDF documents into a structured XML format [12]. Wang et al. proposed a new machine learning based approach for table detection from generic Web documents [13]. Anslow et al. demonstrated that an XML Data Storage Environment (XDSE) can be used to store program traces [14].

In our project, we have investigated the use of Hierarchical flow CHART description language (Hichart) [15], [16] for algorithms and data structure descriptions, and Hiform [17] for specifications. Hichart is a program flowchart description language that makes it easy to comprehend the program structure and makes it possible to describe a data structure. Hiform is a program specification language based on ISO6592 [18]. A Hiform document is a collection of tabular style templates and is represented by an attribute marked graph. In this paper, we consider Hiform as a tabular form document. Its syntactic structure is formulated by an attribute graph grammar with neighbourhood controlled embedding and dynamic edge relabeling (edNCE graph grammar, where d stands for “directed graphs”) [19], [20], [21], and we developed a global creation and management system for Hiform based on this grammar. XML source codes of Hiform are automatically generated by parsing with the grammar. The XML source codes are automatically registered to a database. That is, we automate XML generation and registration process. Moreover, the specifications are retrieved from the database with a common Web browser. Our system can perform a characteristic retrieval for software development, for example, retrieval by a program name and a date of change. Hiform XML representation makes it easy to display on the Web, change the display style, exchange data and register to a database. A copious amount of data can be displayed in many forms by using XML. We perform parse and attribute evaluation in table processing using a unified formal methodology based on a graph model and graph grammar for tabular forms.

Tables are very popular diagrams in documents and have been used for descriptions of data lists, software interfaces of database systems (e.g., Ref. [22]), document layout, and so on. Watanabe et al. proposed a document recognition system for tables in 1995 [23]. This system distills letters and the table structure from scanned graphics. Amano et al. proposed a table form document analysis and synthesis system [24], [25]. This proposed system analyzes the table structure based on the string grammar, which is called the document structure grammar, and generates the synthesized documents. This system used a table form represented by a collection of boxes. In Ref. [26], [27], they presented a structure analysis based on a graph grammar. In addition, they proposed TFML [25], [27] based on XML, which contains structure and layout information. The basic structure of TFML reflects the indication pattern of the document. These approaches analyze images of tables and are not sufficiently applicable for the correct arrangement of item cells. Our approach is to formalize tables, and our grammar not only formalizes the graphical images of tables, but also determines the class in program documents. We construct our grammar in consideration of generating program documents and verifying structures of these documents. That is, this study recognizes the right arrangements of item cells in program documents. There have also been a variety of other studies concerning XML and databases. Ohata et al. proposed Java Alias Analysis Tool (JAAT) [28] including an XML database for storing analysis information and a useful GUI for the program maintainers. JAAT can analyze large programs or libraries such as the JDK class library and save internal syntactic and semantic information as an external XML database. The user interface subsystem has two main functions: editing programs and visualizing the results. Our study targeted a class of tabular forms: Hiform, that is, program documents. We formulate the syntactic structure of Hiform by means of an attribute edNCE graph grammar and automatically generate XML source codes with the correct Hiform structures via attribute evaluation with semantic rules for XML generation. Moreover, our system can register the XML documents with the XML and Hiform structures on the database and perform a characteristic retrieval for software specifications.

Our approaches can be applied to general tabular forms. For example, a great deal of data input and checking will be accomplished automatically. The efficiency of human labor is expected to be considerably improved by using a unified formal methodology. The method has enormous significance in terms of the cost of information processing. For example, it has a great potential to achieve complete automatic processing of paper documents such as ledger sheets and financial statements, and this is one possible application of this study being considered. Recently, with financial globalization, there has been a real need to find a faster technique for disclosing and circulating important information. Different formats are used in a variety of enterprises for processes such as financial information disclosure, auditing, tax preparation, reporting to relevant authorities, and analyzing information. Consequently, the flow of data between companies is becoming extremely difficult. Therefore, the development of an efficient data input system is prohibitively difficult. As a result, since input from paper documents is done manually, a large amount of labor is required, and mistakes occur in the process. An electronic document law [29] came into force on April 1, 2005 in Japan. Although the number of companies publishing financial data as electronic data is increasing, automatic data processing is still not in full swing due to the different data formats used by different companies. eXtensible Business Reporting Language (XBRL) [30] is attracting attention as a possible solution to this problem, and applications corresponding to XBRL are expected to be developed and used at various companies. However, many companies have existing data in the form of paper documents or PDF format and, therefore, gearing them for XBRL has become an important problem. This study can be applied to the problem of creating a reasonable solution by defining a graph model and graph grammar for tabular forms, and the forms and contents of tables can be checked. Since the intended data can be extracted using an image recognition technique, it is possible to convert paper documents to XBRL formats, that is, a series of processes of converting can be formally systematized using our methodology.
The rest of this paper is organized as follows. Section 2 explains Hiform documents and reviews graph grammar and parsing for Hiform. Section 3 describes automatic generation of XML files by attribute evaluation using the graph grammar. Section 4 presents a Hiform creation management system based on the graph grammar. Section 5 discusses our system, and Section 6 concludes the paper.

2. Definitions for Hiform

In this section, we initially explain Hiform documents [17], [18]. Next, we define its graph grammar [19], [20], [21] and parsing for Hiform [5], [19], [20], [21].

2.1 Hiform Documents [17], [18]

Hiform is a collection of tabular form specifications and includes all items defined in the ISO6592 guideline. Hiform is defined by 17 types of forms. Hiform documents include various items for software development. Figure 1 shows a general document in Hiform.

2.2 Graph Grammar for Hiform [19], [20], [21]

Hiform is characterized by a graph grammar for graph syntax and attribute rules for drawing conditions. In the graph grammar of Hiform, a specification form is represented by a marked graph with a location. We illustrate an example in Fig. 2. This graph is constructed as follows.

1) A node label of the graph shows an item in a tabular form.

2) An edge label shows relations between items. “in” denotes “within,” “ov” denotes “over,” and “lf” denotes “left of.”

The graph grammar for Hiform is called Hiform Nested Graph Grammar (HNGG). This grammar formalizes an arrangement of items by productions and layout information for drawing tabular

![Fig. 1 General document in Hiform.](image)
forms by attributes. HNGG is an attribute edNCE graph grammar and is defined as follows.

HNGG = <G_N,A_N,F_N> generates marked graphs in Hiform. The underlying graph grammar \( G_N = (\Sigma_N, \Delta_N, \Gamma_N, \Omega_N, P_N, S_N) \) is an edNCE context-free graph grammar, where \( \Sigma_N \) is the alphabet of node labels, \( \Delta_N \subseteq \Sigma_N \) is the alphabet of terminal node labels, \( \Gamma_N \) is the alphabet of edge labels, \( \Omega_N \subseteq \Gamma_N \) is the alphabet of final edge labels, \( P_N \) is the finite set of productions, and \( S_N \subseteq \Sigma_N - \Delta_N \) is the initial nonterminal. A production is of the form \( X \rightarrow (D,C) \) with \( X \in \Sigma_N - \Delta_N \), \( D \) is a graph over \( \Sigma_N \) and \( \Gamma_N \), and \( C \subseteq \Sigma_N \times \Gamma_N \times \Sigma_N \times V_D \times \{\text{in, out}\} \) is the connection relation, where \( V_D \) is a set of nodes on \( D \), \( \Lambda_N \) is the finite set of attributes, and \( F_N \) is the finite set of semantic rules.

For example, Fig. 3 illustrates two productions of HNGG. Each production has semantic rules for layout information. HNGG includes 280 productions and 1,248 semantic rules for the definition of marked graphs in Hiform.

2.3 Parsing for Hiform [5, 19, 20, 21]

HNGG has precedence relation for efficient parsing. We gave HNGG precedence relation based on Franck’s precedence relation [5]. Figure 4 shows a part of the precedence relation of HNGG. Precedence relations are determined by a connection relation of each production. Thus, every edge of a marked graph has exactly one of the precedence relations: 

\[ <, =, >, \text{and} < >. \]

We constructed 5,376 relations in HNGG, as shown in Fig. 4. The relations are shown to be pairwise disjoint. Thus, all precedence relations of HNGG are without conflict. Furthermore, all rules are uniquely invertible, and there is no reflexive nonterminal label in HNGG. Therefore, HNGG is a precedence attribute edNCE graph grammar. We use Frank’s parsing algorithm because HNGG is a precedence graph grammar. This algorithm runs in linear time with respect to the number of nodes and edges in an input graph. Consequently, the parsing algorithm of Hiform by HNGG is given by Franck’s linear time parsing algorithm.

The parsing algorithm of Hiform by HNGG repeats Procedure Reduce until an input graph becomes the start graph, which is accomplished by Algorithm 1; otherwise, a production or a handle are not found. Algorithm Reduce searches for a handle in an input graph and repositions this handle to a new node that has a label on the left hand side of the production. The reducing algorithm is composed of four steps.

3. Automatic Generation of XML Files by Attribute Evaluation [31]

Layout problems of tabular forms can be solved by attribute evaluation [5], [11], [19], [20], [21]. In HNGG, we use attributes \( x, y, \) width and height for layout. These attribute values are calculated using a derivation tree, which is output by the parsing algorithm of Hiform. In this section, we describe the XML representation of tabular forms and explain a new attribute \( SXML \), which contains XML source codes. This attribute \( SXML \) is calculated using a derivation tree with layout attributes.

3.1 XML Representation of Tabular Forms

We explain the XML representation of tabular forms with an example of part of Hiform shown in Fig. 5. Figure 6 shows the marked graph corresponding to the tabular form in Fig. 5. Figure 7 is the XML element structure with node labels for the marked graph in Fig. 6. The root element is a (graph) element. Nodes of marked graphs are represented by (node) elements. A (node) element has attributes for a label and layout. A (graph) element has a (node) with label [FORM] as a child element. Descendant elements of the element (node) with label [FORM] is constructed as follows.

1. If there is an “in”-labeled edge from node \( v_1 \) to node \( v_2 \), the element (node) for \( v_1 \) is the child of element (node) for \( v_2 \).
2. If there is an “lf”-labeled edge from node \( v_1 \) to node \( v_2 \), the element (node) for \( v_2 \) is the child of element (node) for \( v_1 \).
3. If there is an “ov”-labeled edge from node \( v_1 \) to node \( v_2 \), the element (node) for \( v_1 \) and the element (node) for \( v_2 \) are siblings.

Therefore, as shown in Fig. 7, the (node) with label [FORM] has
3.2 Attribute Definition and Evaluation for XML

The attribute $S_{XML}$ for XML is computed by referring to other attributes and using a concatenation operator. The XML source codes are generated by evaluating $S_{XML}$. Figure 9 shows a process flow of the XML generation.

First, a derivation tree is generated from a marked graph by parsing using HNGG. Next, layout attributes $x$, $y$, width and height are computed by layout attribute evaluation using layout semantic rules, and a derivation tree with the layout information is obtained. The attribute $S_{XML}$ is computed on the derivation tree with layout attributes by XML attribute evaluation using XML semantic rules. There are 280 semantic rules for XML. For example, Figure 10 illustrates two productions with XML semantic rules.
Attribute evaluation is performed in a bottom-up manner. An algorithm for XML attribute evaluation $S_{XML}$-Evaluate is as follows.

**Algorithm 2 $S_{XML}$-Evaluate ($T_{layout}$)**

**Input:** $T_{layout}$: A derivation tree with layout information

**Output:** $T_{xml}$: A derivation tree with XML source

1. $T_{xml} ← T_{layout}$;
2. $v ←$ the root node of $T_{xml}$;
3. Node-$S_{XML}$-Evaluate ($v$);
4. return $T_{xml}$

**Procedure** Node-$S_{XML}$-Evaluate ($v$)

1. if $v$ has nonterminal label then
   2. for each child node $v_i$ do
   3. Node-$S_{XML}$-Evaluate ($v_i$)
   4. end for
   5. Evaluate $S_{XML}$($v$)
5. end if

**Theorem 1** The time complexity of the algorithm $S_{XML}$-Evaluate is $O(n)$, where $n$ is the number of nodes in a derivation tree.

**Proof.** All nodes in a derivation tree are handled by the procedure Node-$S_{XML}$-Evaluate. The time complexity of the algorithm Evaluate $S_{XML}$ is $O(n)$. Hence, the time complexity of the algorithm is $O(n)$.  

Finally, $S_{XML}$ of the root node in a derivation tree with layout attributes is the XML source code.

We explain the process of generating from the tabular form $T_1$ in Fig. 11 to the XML source as an example. Figure 11 is the tabular form $T_1$ and it’s marked graph. The tabular form $T_1$ is a simple explanatory tabular form.

First, a derivation tree is generated from the marked graph of $T_1$ by parsing using HNGG, and layout attributes $x$, $y$, $width$, and $height$ are computed by layout attribute evaluation using layout semantic rules. Figure 12 is the obtained derivation tree with layout attributes. In Fig. 12, closed circles are terminal nodes, boxes are nonterminal nodes, and strings in square brackets or layout attributes are node labels. Numbers adjacent to node labels are node IDs. $x$, $y$, $w$, and $h$ are attributes $x$, $y$, $width$, and $height$, respectively. Next, the attribute $S_{XML}$ is computed from the derivation tree with layout attributes by using the algorithm $S_{XML}$-Evaluate. The evaluation of attributes is performed in a bottom-up manner. The handling procedure is as follows. In this procedure, the numbers are node IDs, for example, $v_0$ is the node of ID 0.

1. Since $v_0$ has an unhandled child node, handle $v_1$
2. Since $v_1$ has a terminal label, $v_1$ is not evaluated
3. Since $v_0$ has an unhandled child node, handle $v_2$
4. Since $v_2$ has an unhandled child node, handle $v_3$
5. Since $v_1$ has an unhandled child node, handle $v_4$
6. Since $v_4$ has a terminal label, $v_4$ is not evaluated
7. Since $v_3$ has an unhandled child node, handle $v_5$
8. Since $v_5$ has an unhandled child node, handle $v_6$
9. Since $v_6$ has an unhandled child node, handle $v_8$
10. Since $v_8$ has an unhandled child node, handle $v_9$
11. Since $v_9$ has an unhandled child node, handle $v_{10}$
12. Since $v_{10}$ has terminal label, $v_{10}$ is not evaluated
13. Since $v_8$ has no unhandled child node, evaluate $S_{XML}(v_8)$
14. Since $v_5$ has no unhandled child node, evaluate $S_{XML}(v_5)$
15. Since $v_6$ has no unhandled child node, evaluate $S_{XML}(v_6)$
16. Since $v_8$ has an unhandled child node, handle $v_7$
17. Since $v_7$ has an unhandled child node, handle $v_{11}$
18. Since $v_{11}$ has an unhandled child node, handle $v_{12}$
19. Since $v_{12}$ has an unhandled child node, handle $v_{13}$
20. Since $v_7$ has an unhandled child node, handle $v_{15}$
21. Since $v_{15}$ has a terminal label, $v_{15}$ is not evaluated
22. Since $v_7$ has no unhandled child node, evaluate $S_{XML}(v_7)$
23. Since $v_{13}$ has an unhandled child node, handle $v_{14}$
24. Since $v_{14}$ has an unhandled child node, handle $v_{16}$
25. Since $v_{16}$ has an unhandled child node, handle $v_{17}$
26: Since $v_{17}$ has a terminal label, $v_{17}$ is not evaluated
27: Since $v_{16}$ has no unhandled child node, evaluate $S_{XML}(v_{16})$
28: Since $v_{14}$ has no unhandled child node, evaluate $S_{XML}(v_{14})$
29: Since $v_{12}$ has no unhandled child node, evaluate $S_{XML}(v_{12})$
30: Since $v_{11}$ has no unhandled child node, evaluate $S_{XML}(v_{11})$
31: Since $v_{9}$ has no unhandled child node, evaluate $S_{XML}(v_{9})$
32: Since $v_{8}$ has no unhandled child node, evaluate $S_{XML}(v_{8})$
33: Since $v_{7}$ has no unhandled child node, evaluate $S_{XML}(v_{7})$
34: Since $v_{5}$ has no unhandled child node, evaluate $S_{XML}(v_{5})$
35: Since $v_{0}$ has no unhandled child node, evaluate $S_{XML}(v_{0})$

Therefore, nodes of IDs 9, 8, 6, 13, 16, 14, 12, 11, 7, 5, 3, 2, and 0 are evaluated for $S_{XML}$ in that order. As a result, the derivation tree with XML source code is obtained. Figure 13 is the obtained derivation tree with XML source code. The evaluation results of $S_{XML}$ are shown in Fig. 13. Finally, $S_{XML}$ of the root node is the XML source code for tabular form T1. Figure 14 shows the obtained XML source code of T1.

Generated XML files can be browsed by applying the eXtensible Stylesheet Language Transformations (XSLT) stylesheet to them. Figure 15 shows part of the XSLT stylesheet for browsing XML files of Hiform. After the XSLT stylesheet is applied, an XML file of Hiform is converted into an HTML document. The result of displaying an XML file of T1 with Internet Explorer is shown in Fig. 16.

4. Hiform Creation Management System

Since the syntactic structure of Hiform is formulated by HNGG that is a precedence attribute edNCE graph grammar, we developed a Hiform creation management system based on HNGG. Figure 17 illustrates the structure of the system. The system consists of a Hiform editor for Hiform creation and its XML generation, a database registration system for XML database registration, and a database interrogation system for Hiform interrogation. The XML files can be browsed by applying the XSLT stylesheet to them. The following are explanations of the three systems.

4.1 Hiform Editor

The Hiform editor has a graph parsing engine, which consists of the following parts.

(1) Productions of HNGG.
(2) Precedence relation table for syntactic parsing.
(3) Semantic rules for layout and XML.

Figure 18 is an execution screen of the Hiform editor. Users can create Hiform and generate its XML file. Syntactic parsing and attribute evaluation are performed in the process flow shown in Fig. 9, and the XML file can be generated. Although a part of Hiform can be created and inner data can be displayed, dialogical editing is not currently implemented.

4.2 Database Registration System

The database registration system registers XML files of Hiform with XML file structures on the MySQL relational database. Since the XML files are automatically registered after parsing, our system checks whether the XML files assort the Hiform format. The system uses a part of the free PHP library PXBASE [32] for XML file registration. The database keeps DOM tree structures. Therefore, registered data can be dealt with using MySQL commands.

4.3 Database Interrogation System

The database interrogation system is a system with which users can browse and retrieve XML documents of Hiform on a database by using a common Web browser. Additionally, users can view history information. The system has the following main features.

(1) The page is divided into condition input and search result parts by frames.
(2) Users can search XML files of Hiform by project name, program name, personal name, or date of issue.
(3) The search results are displayed by one of four display forms: header, table, personal name, or project name.
(4) Users can view, register, and change the history.
(5) Users can directly access XML files of Hiform, which are displayed by a form of Hiform.

Figures 19 and 20 are display screens of search results in the
header form and change history, respectively. Figure 21 shows a display screen of a searched XML file of Hiform. These results are browsed by Internet Explorer.

5. Discussion

Our system parses a given Hiform specification by using HNGG for Hiform and generates a derivation tree. Software information is extracted from the structure and contents of each node of the derivation tree. The system can evaluate various attribute values such as important item of software information, developer, release date, development language, and layout. Finally, the results are automatically output as XML files. Therefore, since the XML files can be displayed with a common Web browser by defining the stylesheet, anyone can easily view the results.

The XML files are automatically registered with the XML file structure on the relational database, and the specifications are retrieved from the database. Our system can perform a characteristic retrieval for software specifications, for example, retrieval by a program name and a date of change. A specification may be changed several times in software development. At that time, a user can interrogate specifications by specifying a particular period on the search form shown in Fig. 19. Change history can also be displayed, as shown in Fig. 20. Moreover, change history list of a project can be displayed, as shown in Fig. 22.

We developed the system based on a formal methodology and the XML files are registered with the XML file structures. Therefore, although we do not implement on the system, there are feasible effective functions, for example, retrieval of Unified Modeling Language (UML).

The diagram of the specification in Fig. 21 is drawn using Scalable Vector Graphics (SVG). Since the XML files are registered with the XML file structure on the database, it is possible to retrieve the specifications that have figures: the node has number symbols and the UML class diagram is connected to particular classes. In this way, users can retrieve and exchange software specifications including text and figures on the Web, and the specifications can be displayed and printed by common Web browsers.

In another instance, since the process from input to registration on the database using graph grammar is automatically performed, tabular forms can be standardized. For example, although the items of the date and project name may be written anywhere, documents can be checked by syntactic parsing for global diagrammatic structures, whether the date and project name are correctly written at the beginning of documents in that order. In this way, the layout can be standardized using a formal methodology. Although it is difficult to check by XML and Document Type Definition (DTD), formulation using graph grammar can achieve form standardization.

Our approaches can be applied to formal tabular form processing system for tabular form documents. The efficiency of human labor is expected to be considerably improved by using a unified formal methodology based on graph theory and advanced retrieval. The methodology has enormous significance in terms of the cost of information processing.

6. Conclusion

We defined an attribute for XML representation in Hiform graph grammar HNGG and developed a Hiform creation management system based on HNGG. The XML files of Hiform are automatically generated by attribute evaluation using the XML semantic rules and are registered with the XML file structures on the relational database. We automated the process from creation to registration. Moreover, our system can perform a characteristic retrieval for software specifications.

Future work is to achieve the characteristic retrieval and standardization we have described in Section 5 and to enable automatic processing of paper documents by using scanners.

References


![Change History of Project](image)


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