Association of Age and Size of Carotid Artery Intraplaque Hemorrhage and Minor Fibrous Cap Disruption: A High Resolution Magnetic Resonance Imaging Study

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Aim: To investigate the association between the volumes of different aging intraplaque hemorrhage (IPH) and minor fibrous cap disruption (MFCD) in carotid arteries.

Methods: Patients with cerebrovascular symptoms and carotid atherosclerotic plaques determined by ultrasound were recruited and underwent multi-contrast magnetic resonance (MR) vessel wall imaging for carotid arteries. Carotid plaques with IPH on MR imaging were included in the analysis. The age (fresh or recent) and the volume of IPH for each plaque were evaluated.

Results: In total, 41 carotid plaques in 37 patients (mean age 70.2 ± 11.0 years old; 32 males) were eligible for statistical analysis. The absolute volume of fresh IPH in plaques with MFCD was significantly larger than that in plaques without MFCD ($109.83 \pm 75.49 \text{ mm}^3$ vs. $30.54 \pm 20.62 \text{ mm}^3$, $P = 0.002$). Logistic regression showed that the absolute volume of fresh IPH was significantly associated with MFCD before (odds ratio [OR], 1.735; 95% confidence interval [CI], 1.127–2.670; $P = 0.012$) and after adjusting for confounding factors (OR, 1.823; 95% CI, 1.076–3.090; $P = 0.026$). There was no significant association between recent IPH volume and MFCD ($P > 0.05$).

Conclusion: The volume of fresh IPH is independently associated with MFCD in carotid plaques, suggesting that integrity of fibrous cap may change with different age and size of IPH.

Key words: Magnetic resonance imaging, Carotid artery, Atherosclerosis, Stenosis, Cardiovascular diseases

Introduction

Fibrous cap rupture (FCR) has been demonstrated to be significantly related to future ischemic cerebrovascular events¹. Investigators have found that intraplaque hemorrhage (IPH) may stimulate the progression and subsequent FCR of atherosclerotic plaque^{2,3}. Histologically, FCR includes ulceration and minor fibrous cap disruption (MFCD). van Dijk *et al.* reported that, compared with carotid arteries without IPH, the disrupted plaque surface is more prevalent in those with IPH³. It is well established that the IPH can be classified into three ages: fresh IPH (<1 week), the major ingredients including intact red blood cell (RBC), lymphocytes, polymorphonuclear cells, and scattered macrophages; recent IPH (1–6 weeks) that is composed of lytic RBC, macrophage cluster, occasional giant cells, cholesterol crystals, and peripheral angiogenesis;

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and old IPH (>6 weeks) consisting of amorphous debris and hemosiderin⁴). The changes of the metabolites of hemoglobin and inflammatory components in different ages of IPH may affect the occurrence of MFCD. Furthermore, the increasing size of IPH, which means more metabolites of hemoglobin and inflammatory components deposition, may also play a role in the occurrence of MFCD. However, the association between the age and size of IPH and the presence of carotid artery MFCD is less evidenced.

Multi-contrast magnetic resonance (MR) vessel wall imaging was demonstrated to have the capability of accurately assessing the morphology and composition of carotid atherosclerosis plaque⁵). Chu *et al.* claimed that different ages of IPH are distinguishable on the multi-contrast carotid artery MR images according to their signal intensity patterns on T2-weighted imaging⁴). In addition, previous studies have shown that ulceration⁶) and MFCD⁷) can be accurately identified by multi-contrast MR vessel wall imaging as well.

Aim

This study sought to determine the associations between the age and size of IPH and the presence of MFCD in carotid arteries using multi-contrast MR vessel wall imaging techniques.

Methods

Study Population

Patients with recent (<2 weeks) ischemic stroke or transient ischemia attack (TIA) and atherosclerotic plaques in at least one carotid artery determined by ultrasound were recruited and underwent MR vessel wall imaging for bilateral carotid arteries in PLA General Hospital. The carotid plaque was diagnosed by ultrasound when the intima-media thickness is equal to or greater than 1.5 mm. Subjects with IPH in carotid arteries were included in the final statistical analysis. Clinical information including age, gender, history of hypertension, smoking, hyperlipidemia, diabetes, and coronary heart disease was collected from clinical record. The study protocol was approved by the institutional review board, and the written consent form was obtained from each subject.

Carotid Artery MR Imaging

Carotid artery vessel wall imaging was performed on a 3.0-T MR scanner (Signa HDx, General Electric Medical System, Milwaukee, WI, USA) with a 4-channel dedicated phase-arrayed carotid coil. A multi-contrast MR imaging protocol was utilized to acquire three-dimensional time-of-flight (3D TOF) and two-dimen-

sional T1-weighted (T1W) and T2-weighted (T2W) images with the following parameters: 3D TOF: three-dimensional gradient echo, TR/TE 29/2.1 ms, field of view (FOV) 140×140 mm², matrix size 256×256, slice thickness 2 mm, flip angle 20°; T1W: fast spin echo (FSE), TR/TE 800/8.9 ms, FOV 140×140 mm², matrix size 256×256, slice thickness 2 mm, flip angle 90°; and T2W: FSE, TR/TE 3000/57 ms, FOV 140×140 mm², matrix size 256×256, slice thickness 2 mm, flip angle 90°. The MR scan was centered to the bifurcation of carotid arteries associated with cerebrovascular symptoms. The longitudinal coverage was 24 mm (12 slices).

MR Image Analysis

Carotid arteries with IPH were interpreted by two radiologists (C.Y. and Z.X.) who have more than 2 years of experience in cerebrovascular imaging using custom-designed software CASCADE (Vascular Imaging Lab, University of Washington) with consensus. Both radiologists were blinded to clinical information. The image quality of each slice was rated on a 5-point scale dependent on the overall signal-to-noise ratio: (1=poor, 5=excellent)⁸). Carotid MR images with poor image quality were excluded. The lumen and outer wall boundaries were outlined at each axial location. The presence or absence and the size of plaque compositions, such as calcification, lipid-rich necrotic core (LRNC), and IPH were identified and measured according to the published criteria⁹). The presence of IPH was defined as hyperintense on T1W and 3D TOF images compared with the adjacent sternocleidomastoid. The IPH was categorized into two types: fresh IPH: intact RBC with intracellular methemoglobin, which showed hyperintense on T1W/3D TOF images and hypointense or isointense on T2W images; and recent IPH: lytic RBC with extracellular methemoglobin, which showed hyperintense on T1W/3D TOF images and hyperintense on T2W images⁴). The presence or absence of MFCD was determined. The presence of MFCD was defined when the hyperintense of IPH extending into the lumen and the hypointense of a band between the high signal of lumen and juxta-luminal hemorrhage was absent on TOF images^{5, 7}). **Fig. 1** represents examples of MFCD on MR imaging. The morphology of carotid arteries including lumen area, wall area, total vessel area, maximum wall thickness, and mean wall thickness were also measured. The North American Symptomatic Carotid Endarterectomy Trial criteria¹⁰) were utilized to evaluate the degree of carotid artery stenosis on the 3D TOF MRA images after maximum intensity project reconstruction.

Fig. 1. Illustration of minor fibrous cap disruption (black arrows). The MR images on the upper row and the lower row are from two different cases. On TOF images, juxta-luminal dark band, indicating fibrous cap, was absent and the hyperintense of IPH extended to the lumen.

Statistical Analysis

Carotid arteries with ulceration, occlusion, or IPH, which was incompletely covered by MR imaging, were excluded from statistical analysis. The percent volume of fresh, recent, and all IPH was defined as the volume of each type of IPH divided by the vessel wall volume in the slices with corresponding type of IPH. The absolute and percent volumes of different types of IPH were compared between carotid plaques having corresponding type of IPH with and without MFCD using Mann–Whitney ranks analysis. Chi-squared test was used to compare the prevalence of MFCD in carotid plaques with fresh and recent IPHs. Spearman's correlation analysis was performed to evaluate the relationships of the absolute and percent volumes of different types of IPH with the presence of MFCD. Univariate regression was used to calculate the odds ratio (OR) and the corresponding 95% confidence interval (CI) for the absolute and percent volumes of different types of IPH in discriminating the presence of MFCD. Multivariate regression was utilized to determine the associations between the absolute and percent volume of different types of IPH and the presence of MFCD after adjusted for model 1: age and gender; model 2: age, gender, mean wall thickness, and luminal stenosis. C-statistic was conducted to calculate the probability of the absolute volume of different

types of IPH in predicting MFCD before and after adjusted for confounding factors, including age, gender, stenosis, and mean wall thickness. Receiver operating characteristic (ROC) curve and area under the curve (AUC) were analyzed to assess the strength of the absolute volume of different types of IPH in discriminating the presence of MFCD. A P value of <0.05 was considered as statistically significant. The software of SPSS 22.0 (IBM, Chicago, IL, USA) was used to conduct all statistical analysis.

Results

In total, 56 patients with carotid IPH determined by MR imaging were recruited from December 2009 to December 2012. Of 112 carotid arteries in 56 recruited patients, 71 arteries were excluded because of the absence of IPH ($n=32$), having occlusion ($n=9$), ulceration ($n=20$), insufficient longitudinal coverage for IPH characterization ($n=9$), and poor imaging quality ($n=1$). Finally, 41 carotid arteries in 37 patients (mean age 70.2 ± 11.0 years old; 32 males) were eligible for statistics analysis. Of 37 eligible patients, 31 (83.78%) had ischemic stroke and 6 (16.22%) had TIA. The clinical characteristics of this study population are summarized in [Table 1](#).

Table 1. Clinical characteristics of study population ($n=41$).

	Mean $\pm$ SD or %		<i>P</i> value
	Plaques with MFCD ($n=27$)	Plaques without MFCD ($n=14$)	
Gender, male	85.7%	88.9%	0.768
Age, years old	70.11 $\pm$ 10.67	70.57 $\pm$ 9.59	0.826
Height, cm	169.26 $\pm$ 6.33	168.17 $\pm$ 6.49	0.701
Weight, Kg	69.05 $\pm$ 8.50	70.91 $\pm$ 14.81	0.489
Body mass index, Kg/m ²	24.11 $\pm$ 2.47	25.10 $\pm$ 4.58	0.343
Smoking	17.4%	23.1%	0.686
Hypertension	74.1%	64.3%	0.245
Diabetes	33.3%	21.4%	0.476
Coronary heart disease	29.6%	28.6%	0.757
Hyperlipidemia	37.0%	28.6%	0.782
Low density protein, mmol/L	2.22 $\pm$ 1.51	2.63 $\pm$ 0.89	0.133
High density protein, mmol/L	1.06 $\pm$ 0.51	1.15 $\pm$ 0.28	0.120
Total cholesterol, mmol/L	4.19 $\pm$ 1.91	4.35 $\pm$ 1.03	0.451
Triglyceride, mmol/L	2.06 $\pm$ 1.52	1.21 $\pm$ 0.35	0.200

MFCD: minor fibrous cap disruption.

Table 2. MR imaging characteristics of carotid atherosclerotic plaques ($n=41$).

	Mean $\pm$ SD		<i>P</i> value
	Plaques with MFCD ($n=27$)	Plaques without MFCD ($n=14$)	
Maximum wall thickness, mm	5.11 $\pm$ 1.82	4.45 $\pm$ 1.52	0.169
Mean wall thickness, mm	1.72 $\pm$ 0.32	1.47 $\pm$ 0.40	0.014
Stenosis, %	35.82 $\pm$ 16.46	28.41 $\pm$ 26.93	0.153
Absolute volume			
LRNC, mm ³	345.02 $\pm$ 245.69	246.91 $\pm$ 159.94	0.237
Calcification, mm ³	30.59 $\pm$ 35.33	39.41 $\pm$ 58.52	0.621
* Fresh IPH, mm ³	109.83 $\pm$ 75.49	30.54 $\pm$ 20.62	0.002
* Recent IPH, mm ³	76.57 $\pm$ 98.18	39.02 $\pm$ 28.48	0.920
* All IPH, mm ³	148.30 $\pm$ 94.09	41.69 $\pm$ 37.68	<0.001
Percent volume			
* Fresh IPH, %	21.36 $\pm$ 2.20	10.23 $\pm$ 2.20	0.004
* Recent IPH, %	12.41 $\pm$ 2.92	8.94 $\pm$ 3.61	0.841
* All IPH, %	27.46 $\pm$ 8.72	12.78 $\pm$ 10.06	<0.001

IPH: intraplaque hemorrhage; LRNC: lipid-rich necrotic core; MFCD: minor fibrous cap disruption. *The absolute and percent volumes of each type of IPH were calculated for plaques with corresponding type of IPH.

Imaging Characteristics of Atherosclerotic Plaque

For the 41 carotid plaques with IPH, the maximum and mean wall thickness and luminal stenosis were 4.8 ± 1.6 mm, 1.6 ± 0.3 mm, and $35.2\% \pm 20.1\%$, respectively. Of 41 plaques with IPH, 40 (98%) had fresh IPH, 17 (41%) had recent IPH, 16 (39.0%) had both fresh and recent IPH, and 27 (66%) had MFCD. The mean volume for calcification, LRNC, fresh IPH,

recent IPH, and all IPH of all plaques was 36.25 ± 44.73 , 311.52 ± 223.08 , 80.08 ± 72.73 , 31.82 ± 68.90 , and 111.90 ± 94.00 mm³, respectively.

The Association between Different Types of IPH and MFCD

There were no significant differences in the prevalence of MFCD between plaques with fresh IPH and

Table 3. Associations between the size of different type of IPH and MFCD.

	Presence of minor fibrous cap disruption					
	Univariate regression		Model 1		Model 2	
	OR (95% CI)	<i>P</i>	OR (95% CI)	<i>P</i>	OR (95% CI)	<i>P</i>
Absolute volume of fresh IPH	1.735 (1.127-2.670)	0.012	1.701 (1.117-2.590)	0.013	1.823 (1.076-3.090)	0.026
Absolute volume of recent IPH	1.355 (0.844-2.208)	0.208	1.376 (0.847-2.237)	0.198	1.252 (0.771-2.032)	0.363
Absolute volume of all IPH	2.139 (1.269-3.603)	0.004	2.164 (1.277-3.665)	0.004	2.152 (1.241-3.730)	0.006
Percent volume of fresh IPH	1.600 (1.115-2.295)	0.011	1.607 (1.110-2.326)	0.012	2.220 (1.216-4.054)	0.009
Percent volume of recent IPH	1.428 (0.852-2.394)	0.176	1.450 (0.858-2.449)	0.165	1.296 (0.750-2.239)	0.354
Percent volume of all IPH	2.230 (1.377-3.610)	0.001	2.233 (1.376-3.625)	0.001	2.867 (1.505-5.461)	0.001

IPH: intraplaque hemorrhage. The OR of absolute volume and percent volume of IPH was calculated by using logistic regression models with increment of 20 mm³ and 5 percent, respectively. Model 1 was adjusted for age and sex; Model 2 was adjusted for age, sex, mean wall thickness and stenosis.

those with recent IPH (65% vs. 79%, $P=0.277$). Compared with plaques without MFCD, those with MFCD had significantly larger mean wall thickness, absolute volume, and percent volume of fresh IPH and all IPH (all $P<0.05$, **Table 2**). No significant differences were found in the maximum wall thickness, stenosis, absolute volume of LRNC, calcification, and recent IPH and percent volume of recent IPH between plaques with and without MFCD (all $P>0.05$, **Table 2**). Spearman's correlation analysis revealed that the MFCD was significantly correlated with the absolute volume of fresh IPH ($r=0.483$, $P=0.001$), absolute volume of all IPH ($r=0.669$, $P<0.001$), percent volume of fresh IPH ($r=0.463$, $P=0.003$), and percent volume of all IPH ($r=0.586$, $P<0.001$). There was no significant correlation between the absolute volume ($r=0.246$, $P=0.121$) and percent volume of recent IPH ($r=0.229$, $P=0.156$) and MFCD.

The results of logistic regression analysis are detailed in **Table 3**. Univariate logistic regression showed that the OR of the absolute volume and percent volume of fresh IPH was 1.735 (95% CI, 1.127–2.670, $P=0.012$) and 1.600 (95% CI, 1.115–2.295, $P=0.011$) in discriminating the presence of MFCD, respectively. Multivariate logistic regression showed that, in discriminating the presence of MFCD, the OR of the absolute volume and percent volume of fresh IPH was 1.701 (95% CI, 1.117–2.590, $P=0.013$) and 1.607 (95% CI, 1.110–2.326, $P=0.012$) after adjusting for age and sex (model 1) and 1.823 (95% CI, 1.076–3.090, $P=0.026$) and 2.220 (95% CI, 1.216–4.054, $P=0.009$) after adjusting for age, sex, stenosis, and mean wall thickness (model 2), respectively. In contrast, there were no significant associations between the absolute volume and percent volume of recent IPH and the presence of MFCD before and after adjusting for confounding factors (all $P>0.05$).

In discriminating the presence of MFCD, ROC curve analysis showed that the absolute volume for all IPH had the highest AUC (AUC=0.907, 95% CI 0.805–1.000), followed by fresh IPH (AUC=0.794, 95% CI 0.658–0.930), and recent IPH (AUC=0.638, 95% CI 0.462–0.813) (**Fig. 2**). After adjusted for confounding factors including age, gender, stenosis, and mean wall thickness, the AUC for absolute volume of all, fresh, and recent IPH was 0.905 (CI% 0.802–1.000), 0.822 (CI% 0.692–0.953), and 0.728 (CI% 0.555–0.901), respectively (**Fig. 2**). **Fig. 3** is an example showing the occurrence of MFCD in a carotid plaque with fresh IPH.

Discussion

This study investigated the relationship between the age and size of IPH and MFCD in carotid arteries. We found that the volume of fresh IPH in carotid plaques with MFCD was significantly larger than that in plaques without MFCD. Significant correlations can be found between the absolute volume and percent volume of fresh IPH and the presence of MFCD before and after adjusted for confounding factors. However, significant associations were not observed between the size of recent IPH and the presence of MFCD. Our findings indicate that the size of fresh IPH might be an independent indicator for MFCD in carotid atherosclerotic plaques.

In the present study, the volume of fresh IPH was found to be significantly associated with the presence of MFCD. Previous study has shown that IPH was a strong predictor for FCR⁽¹¹⁾ in carotid atherosclerotic plaques. However, in the above study, the role of IPH in different age and size in predicting FCR has not been investigated. Investigators have reported that the presence of IPH will stimulate the progression of

plaque^{12, 13}). However, to the best of our knowledge, there is no reported evidence on the association between the size of fresh IPH and plaque progression. An MR imaging and histology comparison study showed that the major ingredients of fresh IPH include intact RBC, lymphocytes, polymorphonuclear cells, and scattered macrophages⁴). The inflammatory activity within atherosclerotic plaque with IPH will lead to the instability of plaque surface¹⁴). In the initial phase of IPH, leukocytes, particularly neutrophils, and plasma zymogen were conveyed in plaque with the RBC entrance. These two components of fresh IPH were the key sources of proteases, such as coagulation proteases, leukocyte serine proteases, and gelatinase, which accounted for a major part of the proteolytic degradation of fibrous cap¹⁵). Neutrophil gelatinase and serine protease are mainly conveyed by bleeding within plaques, which are capable of degrading the extracellular matrix. Besides, serine proteases were found to play a role in degrading atheroprotective proteins released by smooth muscle cells¹⁶). The increases of the size and complexity of the plaque due to IPH will subsequently increase the amount of activated proteases within plaque¹⁷). Therefore, with increases of fresh IPH in plaque, the subsequently potent inflammatory action in this plaque will aggravate the disruption of fibrous cap.

The biomechanical propriety of IPH might play a role in the vulnerability of plaque surface¹⁸). It has been shown that IPH had a close connection with enhanced stress over fibrous cap^{19, 20}). According to previous investigators, because of the different ingredients appearing during aging of IPH, the mechanical impact of IPH on fibrous cap will change dynamically. It is reported that the stress over fibrous cap increases from 118 kPa (79–189) to 159 kPa (114–253) if simulation was done by replacing the lipid pool with fresh IPH. And a 30% decrease was observed if the fresh IPH was replaced by recent or old IPH. The pathomechanical explanation is that the fresh IPH is inclined to undergo massive deformations that increase the stress over the plaque surface under blood pressure loading²¹). The biomechanical mechanism behind the relationship between the age of IPH and MFCD needs further investigation.

In the present study, the volume of all IPH had a greater AUC than that of fresh IPH in discriminating the presence of MFCD. This indicates that the recent IPH may also play a role in the rupturing progress of fibrous cap. Histologically, the recent IPH includes lytic RBC with extracellular methemoglobin and cluster of macrophages. Macrophages within plaque were able to secrete lytic enzymes that may be responsible for the impairing of the fibrous cap and subsequent rupture of the plaque surface²²). Therefore, the higher density of macrophages in plaques, especially under the fibrous

Fig. 2. The ROC curves for the volume of different ages of IPH in predicting MFCD. Figures A and B represent the AUC values of different types of IPH before and after adjusted for confounding factors, respectively.

AUC: area under the curve; Fresh IPH: the volume of fresh IPH; Recent IPH: the volume of recent IPH; All IPH: the volume of all IPH.

cap, is attributed to the plaques' rupture^{23, 24}). In addition, the hemoglobin released from the RBCs in the recent IPH plays a part in destabilizing the plaque because of its potent pro-inflammatory nature, thereby promoting the formation of reactive oxygen species²⁵).

Fig. 3. The MR images from a 62-year-old male patient showed eccentric plaque with fresh IPH (white arrows) and minor fibrous cap disruption (black arrow) on the right common carotid artery. A large volume of fresh IPH can be seen on volume rendering (VR) image (white arrow).

However, according to the results in the present study, compared with fresh IPH, the roles recent IPH played in destabilizing the plaque are weaker. On the other hand, the increase of volume of all IPH including fresh and recent IPH would augment the size of LRNC. The volume of LRNC was found to be the strongest predictor for FCR^{26, 27}. With the increase of IPH volume regardless of its age, the biomechanical environment of plaque surface may alter. Teng *et al.* demonstrated that the stretch was high around the ruptured plaque site in the plaque with juxta-luminal hemorrhage²⁸. For carotid plaques, the larger volume of IPH to have, the more interface of IPH to conjunct with plaque surface.

In previous studies, most of the investigators believed that MFCD was one of the sequelae of plaque progression stimulated by IPH. However, in a recent histological study²⁹, it was assumed that fissured MFCD might be a potential resource of IPH that was usually underestimated. In the above study, investigators found a track of blood in the fissured area of plaque surface and it expended to the LRNC and the matrix of calci-

fications²⁹. Similar phenomenon has been reported in an intravascular ultrasound study showing flowing blood in coronary plaque fissures³⁰. In the above histological study, 63% of plaques with MFCD had fresh IPH. Therefore, it seems to be controversial whether the MFCD is a sequela or a potential resource of IPH.

Our study has several limitations. First, the sample size of this study was small. Future studies with larger sample size are suggested. Second, a few of the arteries were excluded because of the incomplete coverage of IPH. 3D MR imaging techniques, such as magnetization-prepared rapid acquisition gradient echo (MP-RAGE) and simultaneous non-contrast angiography and IPH, could be potentially used to provide a sufficient longitudinal coverage in future studies. Third, in the present study, T1W and TOF imaging sequences were the key techniques to characterize IPH. It has been demonstrated that the MP-RAGE imaging technique exhibited a higher sensitivity and specificity in the identification of IPH compared with T1W and TOF imaging^{31, 32}. Fourth, the old IPH and its association with MFCD were not determined in this study. It is because

that there are difficulties in differentiating hemosiderin from calcification using the current MR vessel wall imaging techniques. Yang *et al.* utilized susceptibility weighted imaging to evaluate the calcification within plaque in femoral arteries³³). This technique may have the potential to distinguish hemosiderin from calcifications. Fifth, the in-plane spatial resolution of this study was 0.55 mm × 0.55 mm, which is limited in the identification of small fibrous cap disruption. High spatial resolution may improve the identification of MFCD. Finally, this is a cross-sectional study that cannot capture the nature of plaques with fresh IPH progressing to disrupted lesions. Prospective studies may provide a clue to it.

Conclusion

The volume of fresh IPH is independently associated with MFCD in carotid plaques, suggesting that the age and size of IPH may play a role in reducing the stability of fibrous cap. Larger fresh IPH may reflect higher possibility of occurrence of MFCD in atherosclerotic plaque.

Acknowledgements

None.

Conflicts of Interest

None.

Notice

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Abbreviations

MR: magnetic resonance
LRNC: lipid-rich necrotic core
IPH: intraplaque hemorrhage
MFCD: minor fibrous cap disruption
FCR: fibrous cap rupture
OR: odds ratio
CI: confidence interval
ROC curve: receiver operating characteristic curve
AUC: area under the curve

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