Tailoring microwave dielectric properties of BaTi$_4$O$_9$ ceramics by addition of Sm$_2$O$_3$

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BaTi$_4$O$_9$ ceramics with Sm$_2$O$_3$ addition were prepared in a form of $x$Sm$_2$O$_3$+BaTi$_4$O$_9$ ($x = 1, 3, 5, 7$ mol %) and the microstructures and microwave dielectric properties of the ceramic samples were characterized. SEM shows when $x$ greater than 3 mol %, Ba$_{2-x}$Ti$_{4-x}$O$_{9-x}$ crystallized in a fiber shape along the crystallographic boundary. With the increase of Sm$_2$O$_3$ addition, the dielectric constant increases from 36.65 to 39.8, while the quality factor decreases from 28000 to 19000, $\tau_f$ from +20.2 to +10.1 ppm/°C. Results of curve fitting of FFRS show extrinsic dielectric loss could account for the increase of dielectric loss, which should be attributed to the substantial increase of Ti$^{3+}$ and Ti$^{4+}$.

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1. Introduction

In the last two decades of 20th century, modern communications have been much speeded up by the rise of microwave dielectric resonators (MDRs) constructed by microwave dielectric ceramics (MDCs). So far as the MDCs are concerned, after 30 years’ development, a serial of pure compounds and composite ceramics with large constants ($\varepsilon_r$), low dielectric loss ($\tan \delta$), $Q = 1/2\delta$, $Q$: quality factor) have been adopted, for instance, BaTi$_4$O$_9$, Ba$_2$Ti$_4$O$_{12}$, Sm$_2$O$_3$ (Ti$_{1-x}$Zr$_x$)$_2$O$_5$ and Ba(M$_{1/3}$M$''_{2/3}$)$_2$O$_3$ ($M'' = Zn$ and Mg: $M'' = Nb$ and Ta).$^{1-4}$

Accompanying the development of the dielectric ceramics is the rapid progress of communications technology, which demands much more high-performance dielectric ceramic systems. Composite ceramics technique provides a promising tool to design dielectric ceramics composites with adjustable dielectric properties. Some dielectric solid solution or composite ceramics such as CaTiO$_3$-based ceramics were developed for microwave applications.$^{5-8}$

BaTi$_4$O$_9$ and BaSm$_2$Ti$_4$O$_{12}$ have long been investigated as single-phased ceramics because of their excellent dielectric properties. BaTi$_4$O$_9$ ceramics possesses a moderate $\varepsilon_r$ ($\sim$38), low dielectric loss ($Qf > 20000$, where $Q$ is quality factor; $Q = 1/2\delta$), and a positive $\tau_f$. In the lanthanide solid solution, only the Sm-containing compounds possess a negative $\tau_f$ together with low dielectric loss ($Qf > 5000$) and a large $\varepsilon_r$ ($\sim$80).$^{9}$

Generally, the dielectric properties of mixed-phased composites could be written as:

\[
\ln \varepsilon = \sum v_i \ln \varepsilon_i
\]

(1)

\[
\tan \delta = \sum v_i \tan \delta_i
\]

(2)

\[
\tau_f = \sum v_i \tau_{fi}
\]

(3)

where $v_i$ is the molar ratio of the $i$th phase; $\varepsilon_i$, $\tan \delta_i$, and $\tau_{fi}$ are the dielectric constant, the dielectric loss and the temperature coefficient of the $i$th phase, respectively. The Formulas indicts that the composite could combine the excellent dielectric properties of at least 2 compounds, so the composite method is successful in elongating the list of dielectric ceramics.

Therefore, in this article, we attempted to prepare a composite dielectric ceramics, in which small amount of Sm$_2$O$_3$ addition (1–7 mol %) was used to adjust the dielectric properties of BaTi$_4$O$_9$. Many authors paid their attentions to modifying the microwave dielectric properties of BaTi$_4$O$_9$ ceramics by doping technique.$^{10-12}$ Mn$^{2+}$, Sn$^{4+}$, Zr$^{4+}$, Ca$^{2+}$, Sr$^{2+}$ and Pb$^{2+}$ were doped into the lattice of BaTi$_4$O$_9$. In a view of atomic configure, these doping elements could be classified into four types: Mn$^{2+}$ with d-electrons, Sn$^{4+}$ and Zr$^{4+}$ with a d$^0$ or p$^6$ configure, Ca$^{2+}$ and Sr$^{2+}$ with an s$^2$ configure and Pb$^{2+}$ with an s$^2$ configure in their own but layer of their atoms. Interestingly, the former two could decrease the dielectric loss, but the latter two could increase the dielectric constant and loss, simultaneously. On the other hand, those dopants are all equivalent valence doping elements or acceptor doping elements (e.g., Mn$^{2+}$, existing as Mn$^{3+}$ or Mn$^{4+}$ in the lattice after a high-temperature heat-treatment) doped into Ba-sites or Ti-sites. Few works were reported concerning an effect of donor doping on the dielectric properties of BaTi$_4$O$_9$. The microstructure of composite ceramics was characterized by XRD and SEM. The extrinsic or intrinsic dielectric loss was evaluated by the far infrared spectra.

2. Experimental

The raw regents for the sol–gel method are all of A.R grade and used as purchased. A typical process was as followed.$^{13}$ 10 ml tetrabutyl titanate was added slowly to a mixed solution of 50 ml methyloxyethyl-ethanol and 1 ml acetic acid. The content of Sm$_2$O$_3$ was 1, 3, 5 and 7 mol % of that of BaTi$_4$O$_9$. Stoichiometric Ba(Al)$_2$ and Sm(Al)$_2$ was dissolved in a certain amount of water. Under vigorous stirring, the concentrated hydraulic solution was added dropwise to the mixed solution of tetrabutyl titanate, and then the mixed solution turned into a transparent sol. After drying at 60°C for 48 hours, the solution became a dry gel.

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The so-obtained gel was calcined at 1200°C for 4 hours to obtain the precursor powder. The precursor powder was ball-milled for 24 hours and pressed by a 150 MPa cool iso-static press into a disk with a diameter of 12 mm and a thickness of 6 mm. The pressed green bodies were dried in an oven at 80°C for 24 hours, then sintered at 1250–1350°C for 4 or 6 hours.

The densities measurements of the samples were carried out using an Archimedes method. The crystal structure of sintered samples was examined by X-ray powder diffraction with monochromatic Cu Kα1 radiation (MO 3X HF Mac Science, Tokyo, Japan), as shown in Fig. 2. The ceramic bulks were observed by SEM (Hitachi S4700, Japan) electroscope, and their surfaces were analyzed by XPS (EscalabmkII, VG. Co., U.K.). Before measuring dielectric properties, the ceramics was well polished. The microwave dielectric properties were experimentally determined at 7.5 GHz by the resonant cavity method in the TE011 dielectric resonator mode using a HP8363A network analyzer (Agilent, U.S.A.).

IR reflectance spectra were recorded using a Fourier-transform spectrometer (Bruker IFS66v/s) equipped with a fixed-angle specular reflectance accessory (external incidence angle of 11.5°). In the mid-infrared region (400–3000 cm⁻¹), a SiC glow-bar lamp was used as an infrared source along with a Ge-coated KBr beamsplitter and an Ln2O3-cooled HgCdTe detector. In the far-infrared range (50–500 cm⁻¹), a mercury-arc lamp, a 6 μm coated Mylar hypersplitter, and an LHe-cooled Si bolometer were used. An Au reflector was used as measurement reference, and the spectral resolution was 2.0 cm⁻¹.

The relation between the reflection spectrum and the complex dielectric constant can be written as:

\[ R = \left( \frac{\sqrt{\varepsilon} - 1}{\sqrt{\varepsilon} + 1} \right)^2, \]  

(4)

where \( R \) is the reflectance and \( \varepsilon \) is the dielectric constant. The latter can be transformed into a dielectric dispersion spectrum using a classical damped dispersion oscillator model:

\[ \varepsilon = \varepsilon_\infty \prod_{j} \left( \frac{\Omega_{\gamma j,0}^2 - \omega^2 + i\omega \gamma_{\gamma j,0}}{\Omega_{\gamma j,0}^2 - \omega^2 + i\omega \gamma_{\gamma j,0}} \right) \]  

(5)

where \( \omega \) is the angular frequency; \( \varepsilon \) is the dielectric constant; \( \Omega_{\gamma j,0} \) and \( \gamma_{\gamma j,0} \) are the transverse vibration mode, the longitude vibration mode and the damping coefficients of the \( j \)th vibration mode, respectively; and \( \varepsilon_\infty \) is the high frequency dielectric constant, which was evaluated at 3000 cm⁻¹.

The oscillator strength \( \Delta \varepsilon_j \) and the dielectric loss \( \tan \delta_j \) can then be obtained from

\[ \Delta \varepsilon_j = \varepsilon_\infty \left( \frac{\Omega_{\gamma j,0}^2}{\Omega_{\gamma j,0}^2 - 1} \right) \prod_{k \neq j} \left( \frac{\Omega_{\gamma k,0}^2 - \Omega_{\gamma j,0}^2}{\Omega_{\gamma k,0}^2} \right) \]  

(6)

\[ \tan \delta_j = \frac{\Delta \varepsilon_j (\gamma_{\gamma j,0} \times \omega)}{\Omega_{\gamma j,0}^2 \times (\varepsilon_\infty + \sum_k \Delta \varepsilon_k)} \]  

(7)

The dielectric constant and loss were calculated using Eqs. (8) and (9):

\[ \varepsilon' = \varepsilon_\infty + \sum_j \Delta \varepsilon_j \]  

(8)

\[ \tan \delta = \sum_j \tan \delta_j \]  

(9)

where \( n \) is equal to 32 in this study.¹³

### 3.1 XRD patterns

XRD patterns of ceramic samples were shown in Fig. 1. For both patterns of \( x = 1 \) mol % and \( x = 3 \) mol %, there existed only BaTiO₃ phases with a JCPDF No. 34-0070. For the other \( x \) larger than 3 mol %, there existed two phases. Besides the main phase of BaTiO₃, the other phase should be attributed to the pattern of BaSm₂Ti₄O₁₂ with a JCPDF No. 44-0062. In the quasi-tetragonal phase diagram of BaO–TiO₂–Sm₂O₃, BaTiO₃ and BaSm₂Ti₄O₁₂ are two of the most stable compounds.¹⁵

Compared with XRD peaks of pure BaTiO₃, many XRD peaks of Sm₂O₃-doped ceramics shifted toward smaller 2theta, indicating the d values decreased. But, there were no difference for XRD peaks for 1, 3, 5 and 7 mol % samples. This fact might reveal that the solubility of Sm₂O₃ should be lower than 1 mol %.

### 3.2 Density measurement

The densities of ceramic samples were estimated by a linear weighed addition of two separate phases:

\[ d_{\text{theo}} = d_{\text{BaTiO}_3} \times (1 - x) + d_{\text{BaSm}_2\text{Ti}_4\text{O}_{12}} x \]  

(10)

\( d_{\text{theo}} \): theoretical density calculated by Formula (1); \( d_{\text{BaTiO}_3} \): theoretical density of BaTiO₃, 4.54 g/cm³; \( d_{\text{BaSm}_2\text{Ti}_4\text{O}_{12}} \): theoretical density of BaSm₂Ti₄O₁₂, 5.80 g/cm³; \( x \): molar ratio of Sm₂O₃.

The measured and calculated results were shown in Fig. 2. All the measured relative densities were larger than 95%. According to Formula (10), the measured densities should increase with \( x \). But in Fig. 2, the measured densities of 1 and 3 mol % Sm₂O₃ doped samples, which should be ascribed to the formation of defects (point defects or grain boundary). When \( x \) was larger than 3 mol %, the differences between the measured and calculated densities become larger and larger with increasing of \( x \), indicating crystalline boundary volume between BaTiO₃ and BaSm₂Ti₄O₁₂ increased.

### 3.3 SEM

The SEM photographs were shown in Fig. 3. EDS of 7 mol % Sm₂O₃ doped BaTiO₃ was carried out to identify the different phases in Fig. 3(D). According to the EDS analysis, the needle-shaped grains (marked by black arrows in Fig. 3(C)) had a composition of Ba, Sm and Ti, indicating that those granular should be BaSm₂Ti₄O₁₂. The presence of secondary phase might be due to much smaller ionic radii of Sm³⁺ (0.096 nm) and larger...
ionic charge of Sm$^{3+}$, compared with that of Ba$^{2+}$ (0.127 nm).\(^{16}\) Ba$_2$Sm$_2$Ti$_4$O$_{12}$ phase first crystallized at the boundary of BaTi$_4$O$_{12}$ phases. For those samples with $x$ smaller than 5 mol%, Ba$_2$Sm$_2$Ti$_4$O$_{12}$ phase formed in a form of small particles, while for those with $x = 3$ mol%, it existed in a form of needle-like grains.

3.4 Dielectric properties

The dielectric constant, quality factor and temperature coefficients of Sm-doped BaTi$_4$O$_{12}$ were shown in Fig. 4(a), (b) and (c), respectively. In Fig. 4(a), the dielectric constant increased steadily form 36.65 for undoped sample to 39.8 for $x = 7$ mol %. The variation of dielectric constants could be fitted by the weighed dielectric constant of mixed-phased composite dielectrics. This fact could elucidate that the increase of dielectric constant should be attributed to the presence of Ba$_2$Sm$_2$Ti$_4$O$_{12}$.

Figure 4(b) plotted the measured quality factor varied with the molar ratio of Sm$_2$O$_3$. For $x = 1$ mol % samples, an abrupt decrease of quality factor was about 25% of $Q_f$ values for undoped samples. Such an abrupt increase could not be fitted by the linear weighed dielectric loss of mixed phase dielectrics, which would be discussed in the following paragraphs together with XPS results.

The addition of Sm$_2$O$_3$ also led to a decrease of the temperature coefficient, as shown in Fig. 4(c), because of the negative $\tau_t$ of Ba$_2$Sm$_2$Ti$_4$O$_{12}$. $\tau_t$ reaches to the smallest value of $+10.1$ ppm/°C at $x = 5$ mol %. However, an abrupt decrease of $\tau_t$ about 30% could also be observed for $x = 1$ mol % sample, which might be brought about by variation in microstructure after Sm$_2$O$_3$ doping.
3.5 Far infrared spectra of Sm-doped BaTiO$_3$

The dielectric loss could be classified into two types, i.e., own dielectric loss and non-eigen dielectric loss. The eigen dielectric loss is induced by non-harmonic effects of lattice vibration, the non-eigen dielectric loss by defects, pores, secondary phases and so on. Far infrared reflectance spectrum (FIRS) is often used to characterize the lattice vibration of microwave dielectric ceramics. The FIRS and $\varepsilon''$ of Sm-doped BaTiO$_3$ ceramics were shown in Figs. 5(A) and (B), respectively.

Figures 5(A) and (B) showed that the vibration modes larger than 100 cm$^{-1}$ kept almost unchanged when doping concentrations vary. But those modes below 100 cm$^{-1}$ concerning Ba-O stretching or scissoring vibration modes vary in a disorderly manner with the increasing of Sm$_2$O$_3$ doping. This fact also could attest that some Sm$^{3+}$ ions occupied Ba-sites.

The parameters used in curve-fitting were listed in Table 1, and Table 2. The calculated dielectric constants and the dielectric loss of 1 mol% Sm-doped BaTiO$_3$ ceramics are 38.52 and 1.70E$^{-4}$, respectively, which agreed very well with those (38.16 and 1.77E$^{-4}$) of undoped BaTiO$_3$ ceramics. This fact indicated that the increase of dielectric loss should not be attributed to the anharmonic effect of vibration modes after Sm$_2$O$_3$ doping, but to the microstructural variation introduced by substitution of Ba$^{2+}$ by Sm$^{3+}$.

3.6 XPS analysis

In order to analyze the microstructural variation of BaTiO$_3$ after addition of Sm$_2$O$_3$, XPS analysis of undoped or 1% Sm$_2$O$_3$ doped samples was carried out, as shown in Figs. 6(a) and (b).

### Table 1. Parameters used in curve fitting process

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*Calculated at the measured frequency: 7.1 GHz

### Table 2. Calculated and measured dielectric properties of 1 mol% Sm$_2$O$_3$-doped BaTiO$_3$ ceramics

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<td>$\varepsilon$</td>
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<td>$\tan \delta$</td>
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Compared with undoped BaTiO$_3$ ceramics, 1 mol% Sm$_2$O$_3$-doped BaTiO$_3$ ceramics had a main binding energy peak nearer to the lower energy bar. Figure 6(a) could be fitted by 4 peaks, i.e., Ti$^{3+}$/2 at 457.65 eV, Ti$^{2+}$/2 at 463.21 of Ti$^{4+}$ and Ti$^{3+}$/2 at 455.32, Ti$^{2+}$/2 at 461.22 of Ti$^{3+}$. But Fig. 6(b) of Sm$_2$O$_3$-doped BaTiO$_3$ could not be fitted by those four peaks, but by six peaks. The six peaks were 454.66, 457.11, 458.62, 461.14, 463.00, 464.43 eV. The peaks at 454.66 and 461.14 eV corresponded with the binding energy of Ti$^{3+}$/2, Ti$^{2+}$/2 of Ti$^{4+}$, respectively. The peaks at 457.11 and 463.00 eV were the binding energy of Ti$^{3+}$/2, Ti$^{2+}$/2 of Ti$^{3+}$, respectively. And the peaks at 458.62 and 464.43 eV were the binding energy of Ti$^{3+}$/2, Ti$^{2+}$/2 of Ti$^{3+}$, respectively.

The substitution of Ti$^{4+}$ (0.68 nm) by Ti$^{3+}$ (0.77 nm) and Ti$^{2+}$ (0.90 nm) would bring about a great increase in unit cell volume, which could cancel out the increase by introduction of Sm$^{3+}$ into Ba$^{2+}$ sites. Also, XRD patterns had testified the fact. Such an abrupt increase of Ti$^{3+}$ and Ti$^{2+}$ content should be attributed to the doping of Sm$_2$O$_3$: after the doping of Sm$_2$O$_3$, equation of charge balance should be written as:

\[
\text{Sm}_2\text{O}_3 + 3\text{Ti}^{2+} \rightarrow 2\text{Sm}^{3+} + 3\text{Ti}^{3+} + 3\text{Ti}^{4+} + 3\text{O}^2- + 3\text{e}^-
\]
4. Conclusions

Sm$_2$O$_3$-doped BaTi$_4$O$_9$ ceramics was molded via a cool isostatic press process and sintered into disks at 1200°C for 6 hours. XRD and SEM were used to characterize the structural variation after Sm$_2$O$_3$ doping. The adding of Sm$_2$O$_3$ led to an increase of dielectric constant and dielectric loss, but a decrease of temperature coefficient. Curve fitting of FIRS was used to analyze the effect of anharmonic vibration modes on the dielectric loss, and the measured dielectric loss corresponded with the undoped samples. Results of XPS analysis showed that the increase of Ti$^{3+}$ and Ti$^{2+}$ in 1% Sm$_2$O$_3$-doped BaTi$_4$O$_9$ ceramics should be the cause of the increase of dielectric loss.

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References


Fig. 6. XPS spectra of pure (a) and 1 mol% Sm-doped (b) BaTi$_4$O$_9$ ceramics.