Symptoms of Respiratory Disease and Lung Functional Impairment Associated with Occupational Inhalation Exposure to Carbon Black Dust

Masoud NEGHAH¹, Majid Habibi MOHRAZ¹ and Jafar HASSANZADEH²

¹Department of Occupational Health, School of Health and Nutrition and Research Center for Health Sciences and

²Department of Epidemiology, School of Health and Nutrition, Shiraz University of Medical Sciences, Iran

Abstract: Symptoms of Respiratory Disease and Lung Functional Impairment Associated with Occupational Inhalation Exposure to Carbon Black Dust: Masoud NEGHAH, et al. Department of Occupational Health, School of Health and Nutrition and Research Center for Health Sciences, Iran—

Purpose: The aim of this study was to ascertain whether symptoms of respiratory disorders and lung functional impairments are associated with occupational inhalation exposure to carbon black (CB) in a group of rubber workers. **Methods:** The study population consisted of 72 male workers with a past history of and current exposure to CB and 69, randomly selected, healthy unexposed male office workers that served as the referent group. Subjects were interviewed and given standardized respiratory symptom questionnaires to answer. Furthermore, pulmonary function tests (PFTs) were performed before and after the work shift for exposed subjects and once during the work shift for referent subjects. Furthermore, to assess the extent to which workers were exposed to CB, using standard methods, inhalable and respirable dust fractions of CB were measured in different dusty worksites. **Results:** The levels of exposure to inhalable and respirable CB dust were estimated to be 6.2 ± 1.7 and 2.3 ± 0.29 mg/m³ respectively (mean $\pm$ SD). Respiratory symptom questionnaires revealed that regular cough, phlegm, wheezing and shortness of breath were significantly ($p < 0.05$) more prevalent among exposed workers. Furthermore, significant decreases in some preshift and postshift parameters of pulmonary function of exposed workers with a spirometric pattern consistent with restrictive ventilatory disorder were found. **Conclusion:** The findings of this study provide circumstantial evidence to support the notion that exposure to CB

exceeding its current TLV is associated with a significant increase in the prevalence of respiratory symptoms along with both acute, partially reversible and chronic irreversible significant decreases in some parameters of pulmonary function.

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Key words: Carbon black, Respiratory symptoms, Rubber industry, Ventilatory disorders

Carbon black is the powder form of elemental carbon manufactured by the controlled vapor-phase pyrolysis of hydrocarbons¹. Carbon black, unlike diamond and graphite, which are crystalline carbon, is a form of amorphous carbon that has a high surface area to volume ratio². The oil furnace process produces nearly all the world's carbon black, which is used in the manufacture of tires, retread rubber and inner tubes^{3, 4}. According to International Carbon Black Association reports, the total production of carbon black was around 8,100,000 metric tons in 2006⁵.

While material mixing and warehouse workers of tire production industries are probably a main group of carbon black-exposed workers and there are many concerns about the adverse effects of permanent exposure to this substance, the possible effect (s) of this chemical exposure on pulmonary function has not been thoroughly investigated and is subject to debate and controversy.

For instance, while some studies^{3, 6–8}) have shown inhalation exposure to this powder to be associated with chronic bronchitis, symptoms such as cough and phlegm as well as impaired lung function and ventilatory disorders marked by significant decrements in FVC and FEV1, others^{9–13}) have failed to detect such associations.

Gardiner *et al.* showed that carbon black has deleterious effects on the respiratory system and that the symptoms of these effects include productive cough, phlegm and decrements in some of pulmonary functions such as FEV1,

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Correspondence to: M. Neghab, P.O Box 111, Postcode 71645, Shiraz, Iran (e-mail: neghabm@sums.ac.ir)

FEF25–75% and the FEV1/FVC ratio³⁾.

In contrast, Robertson *et al.* showed that there is no association between occupational exposure to this substance and changes in pulmonary functions and that observed decrements in FEV1 and FVC were strongly influenced by age and smoking¹¹⁾.

Additionally, a comprehensive review article has concluded that the majority of carbon black studies have methodological drawbacks such as the validity of the techniques used for health assessment, a limited study population, incorrect subject selection criteria, lack of proper control for confounding variables (smoking, previous exposures etc.) and cross-exposure with other chemicals such as carbon monoxide, vapors, etc.¹⁴⁾.

Therefore, the main purpose of this study was to assess and characterize, after proper control of confounding variables, the pulmonary reactions, if any, associated with occupational inhalation exposure to carbon black among a group of rubber workers.

Materials and Methods

Subjects and study design

This cross-sectional study was carried out at a local rubber factory in Shiraz, Iran. The plant has been producing tires, tubes and flaps since 1935 and currently has 1,800 staff.

The production process begins with the mixing of basic kinds of rubber with process oils, carbon black, pigments, antioxidants, accelerators and other additives, and each of these hazardous chemicals contributes certain properties to what is called a compound. These ingredients are mixed in giant blenders called Banburies. They blend the many ingredients together, producing a black, gum-like material that will be milled again later for use in production of tires.

A total of 72 workers with a past history of and current exposure to CB were studied. They were from three job categories including CB warehouse, loading and Banbury workers.

A Banbury mixer is a closed mixing machine in which materials are mixed together in a properly closed chamber.

In this factory, raw materials such as sulfur, pigments, antioxidants, accelerators, etc. are weighed in an isolated area, equipped with a local exhaust ventilation system outside the Banbury section. Thereafter, they are transported to the Banbury by closed container and injected into the Banbury mixer. Moreover, process oils are injected automatically by the Banbury device into enclosed mixing chambers. Thus, exposure of workers to these materials in the Banbury section could be considered negligible.

In contrast, carbon black is transferred to mixing devices by a semiclosed conveyor from four reservoirs located in the upper part of the Banbury. Due to improper

maintenance of the CB transportation system, significant leakage of CB from the semiclosed conveyers and the direct connection between the CB loading section and Banbury, the workplace environment is heavily contaminated with this chemical. The situation in previous years was even worse, as CB used to be transported by an entirely open system allowing CB particles to be easily dispersed in the workplace. Given the above and the fact that the workers in the carbon black loading and warehouse sections, who were not wearing any respiratory protective devices, were only exposed to carbon black dust, it is expected that the studied subjects are a homogeneous group in terms of their dermal and inhalation exposure to this substance.

Similarly, 69 healthy unexposed subjects were randomly selected from the plant and served as the referent group. The study was conducted in accordance with the Helsinki Declaration of 1964 as revised in 2007¹⁵⁾.

Both the exposed and unexposed subjects were volunteers. No subject refused to participate in the study. All participants signed an informed consent form before commencement of the study.

Measurement of study variables

1) Respiratory illness

Subjects were interviewed, and a respiratory symptom questionnaire, as suggested by the American Thoracic Society¹⁶⁾, with a few modifications, was administered to them at the end of the work shift. This standardized questionnaire included questions regarding respiratory symptoms (presence or absence of regular dry and/or productive cough, wheezing, shortness of breath, etc.), nasal and eye symptoms and smoking habits, as well as the occupational, medical and family history of each subject.

Symptoms of chronic respiratory disorders were defined as a cough with sputum at any time during the day or night for at least three months of the year and for at least two consecutive years.

Information extracted from the questionnaires was then used to obtain symptom prevalence data among the exposed and unexposed groups.

2) Pulmonary function tests

Pulmonary function tests (PFTs) were performed using a portable calibrated vitalograph spirometer (vitalograph, COMPACT, Buckingham, England) on-site, twice for the exposed workers (before commencing work and at the end of the work shift) and once for the referent subjects. The measured parameters included mean percentage predicted vital capacity (VC), forced vital capacity (FVC), forced expiratory volume (FEV1) and peak expiratory flow (PEF). Spirometer calibration was conducted twice a day with a 1-liter syringe in accordance with the spirometer manufacturer's standard protocol. The mean percentage predicted values were based on subject age, weight,

standing height, sex and ethnic background as calculated and adjusted by the spirometer device¹⁷.

Subjects were trained to become familiar with the maneuver and were requested not to take shower or smoke for at least two hours prior to the test.

The weight and standing height of each individual was measured with their usual work clothes. Before the test, they rested in a sitting position for about 5 min. They were then asked to stand in front of the spirometer, as comfortably as possible, and a nose clip was put on. At least three acceptable tests were obtained for each subject. If the variability between the measured values was high, the tests were performed up to five times. The largest volumes (as percentage predicted lung function) were selected for analysis. The percentage predicted lung values were observed capacities as measured by the spirometer device divided by predicted or expected capacities (based on gender, age, weight, height, ethnic background, etc., as calculated and adjusted by the spirometer device) and multiplied by 100.

$\% \text{ predicted lung value} = (\text{observed capacities} / \text{expected capacities}) \times 100.$

Measurement of atmospheric concentrations of CB

To assess the extent to which workers were exposed to CB using the NIOSH method¹⁸, atmospheric concentrations (respirable and inhalable dust fractions) of it were determined in three work areas, and the mean concentration was expressed in mg/m^3 . Air samples were collected using SKC personal air sampling pumps equipped with a cyclone and a Millipore PVC membrane filter ($5 \mu\text{m}$ pores) in order to separate respirable and inhalable fractions of CB dust. Pretest experiments showed that the appropriate sampling time was about 180 min.

Data analysis and statistical procedures

The data were statistically analyzed using the Student's *t*-test, chi-square or Fisher's exact test and logistic and multiple linear regression analysis, where applicable. In all the statistical comparisons, a *p* value of less than 0.05 was considered significant. Experimental (descriptive) results are presented as arithmetic means $\pm$ SD. To conduct the logistic and multiple linear regression analysis, the main exposure variable was considered to be carbon black dust. Other variables such as age, weight, height, smoking habits, education, and marital status were considered as potential confounders, and their simultaneous effects on the prevalence of respiratory symptoms and changes in the parameters of pulmonary function were controlled. The initial model was constructed based on the main exposure variable (carbon black) as well as all potential confounding variables. Using the backward elimination method and keeping the main exposure variable, carbon black, in the model, the final model was obtained. Statistical tests were conducted using SPSS V16.0 on a

personal computer.

Results

The average (mean $\pm$ SD) age (yr), weight (kg), height (cm), duration of exposure (length of employment for referent subjects), length (yr) and severity of smoking and airborne concentrations of CB are presented in Table 1.

As shown, the referent subjects were to some extent older than their exposed counterparts, and the difference was statistically significant. Additionally, the mean atmospheric concentration of CB was higher than the current TLV value of $3.5 \text{ mg}/\text{m}^3$.¹⁸

Unfortunately, there was not any information available concerning the exposure scenarios before we undertook the current study. However, in the absence of local exhaust ventilation systems and given the lack of proper housekeeping programs and the workers' reluctance to wear their masks, the subjects were likely to be exposed to similar concentrations, if not higher, of CB dusts in previous years.

Table 2 shows the frequency of abnormal respiratory findings. As shown, the prevalence of regular cough, phlegm, wheezing and breathlessness were significantly higher in exposed subjects compared with in the referent subjects ($p < 0.0005$).

None of the exposed subjects had a past medical or family history of respiratory illness or any other chest operations or injuries. Likewise, none of the referent subjects had been exposed to CB or other chemicals known to cause respiratory symptoms or ventilatory disorders during employment at the plant or prior to it.

Table 3 illustrates the results of lung function tests. As shown, exposure to CB was associated with a significant reduction in VC, FVC, FEV1, FEV1/FVC and PEF parameters after exposure when compared with the values measured before exposure. Similarly, most parameters of pulmonary function of exposed individuals both before and after exposure were significantly lower than those of unexposed individuals.

The association between exposure to CB and the prevalence of respiratory symptoms is displayed in Table 4. Logistic linear regression analysis including variables of age, weight, height, smoking habit, education and marital status in the model showed that after adjusting for these important confounders, there was a statistically significant association between exposure to CB and respiratory symptoms.

Similarly, the association between exposure to CB and changes in the parameters of pulmonary function is shown in Table 5. Multiple linear regression analysis, including variables of age, weight, height, smoking habit, education and marital status in the model showed that after adjusting for these important confounders, there was a statistically significant association between exposure to CB and VC, FVC, FEV1 and the FEV1/FVC ratio, with exposure to

Table 1. Comparison of demographic variables, smoking habits and level of exposure of studied subjects to carbon black

Variable	Exposed (n=72)	Referent (n=69)	p value [†]
Age (yr) (X ± SD)	32.7 ± 5.97*	35.6 ± 9.64	0.03
Weight (kg) (X ± SD)	75.6 ± 12.58	77.9 ± 11.14	0.26
Height (cm) (X ± SD)	173.6 ± 8.3	176.6 ± 7	0.26
Length of exposure/ employment (yr) (X ± SD)	9.04 ± 4.93	10.41 ± 8.2	0.23
No. (%) smokers	20 (27.8)	15 (21.7)	0.5256
Length of smoking (yr) (X ± SD)	3.16 ± 6.3	3.73 ± 8.34	0.32
Number of cigarettes smoked per day (X ± SD)	2.58 ± 5.43	3.18 ± 9.58	0.32
Inhalable concentration of carbon black (mg/m ³) (X ± SD) ^{††}	6.2 ± 1.7	N/A	N/A
Respirable concentration of carbon black (mg/m ³) (X ± SD) ^{††}	2.3 ± 0.29	N/A	N/A

* Significantly different from its corresponding value in the referent group. [†] Chi-square or Fisher's exact test or independent *t*-test, *p*<0.05. N/A: Not Applicable. ^{††} Number of air samples=6.

Table 2. Frequency (%) of respiratory symptoms among exposed and nonexposed subjects

Symptoms	Exposed (n=72)	Nonexposed (n=69)	p value [†]
Cough	23.6*	1.44	0.0001
Phlegm	41.66*	5.79	0.0001
Productive cough	25*	2.89	0.0001
Wheezing	25*	1.44	0.0001
Dyspnea	31.9*	0	0.0001

[†] Chi-square or Fisher's exact test. * Significantly different from its corresponding value for in the nonexposed group.

CB resulting in an average of 4 to 9 units of decrement in these parameters.

Discussion

The aim of this study was to evaluate possible pulmonary reactions associated with occupational inhalation exposure to CB.

Given the data provided, apart from age, there were no significant differences in the major confounding variables of weight, height, length of employment, number of smokers, length of smoking and severity of smoking between the exposed and unexposed groups.

Interestingly, despite the fact that the subjects in the unexposed group were, on average, three years older than their exposed counterparts, the prevalence of respiratory symptoms as well as decrement in parameters of

pulmonary function in the exposed group were significantly higher than in the unexposed group. Similar observations have been made by others^{3, 6–8, 19–21}).

Additionally, as there were no significant differences between the number of smokers and years smoked in both groups, it is unlikely that cigarette smoking accounts for a significantly higher prevalence of respiratory symptoms among the exposed subjects. This conclusion is further supported by the results presented in Table 4, which show that when the effects of potential confounders of age, weight, height, smoking habits, education and marital status in the binary logistic regression analysis were controlled, significant associations were present between exposure to CB and the prevalence of respiratory symptoms.

Measurement of lung function before and after a

Table 3. Percentage predicted lung function among exposed and nonexposed subjects

Parameters	Exposed (n=72)		Nonexposed (n=69)	<i>p</i> value Preshift vs. postshift	<i>p</i> value Preshift vs. non-exposed	<i>p</i> value Postshift vs. non-exposed
	Preshift	Postshift				
VC	77.76 ± 13.29 [†]	73.97 ± 11.97 ^{*††}	82.84 ± 10.18	0.0002	0.0059	0.0001
FVC	75.7 ± 16.09 [†]	71.86 ± 12.95 ^{*††}	80.89 ± 10.5	0.0017	0.0123	0.0001
FEV1	76.13 ± 16.3 [†]	72.25 ± 14.98 ^{*††}	82.73 ± 13.71	0.0029	0.0044	0.0001
FEV1/FVC	100.81 ± 9.34	98.3 ± 12.33 ^{*††}	101.92 ± 9.71	0.005	0.2455	0.0271
PEF	75.97 ± 15.96	69.66 ± 20.57 [*]	73.31 ± 23.34	0.0005	0.233	0.153

*Significantly different from preshift values (paired *t*-test, *p*<0.05). ^{†,††} Significantly different from nonexposed values (independent *t*-test, *p*<0.05).

Table 4. Association between exposure to carbon black and prevalence of respiratory symptoms

Outcome	β	Odds ratio	<i>p</i> value ^{††}
Wheezing	3.314	27.49	0.002
Productive cough	2.258	9.565	0.004
Cough	2.846	17.22	0.007
Phlegm	2.452	11.607	0.0001

^{††} Binary logistic regression. β : Odds ratio.

Table 5. Association between exposure to carbon black and changes in the parameters of pulmonary function

Parameter	B	SE	<i>p</i> value ^{††}
VC	-8.868	1.817	0.0001
FVC	-9.037	1.991	0.0001
FEV1	-8.952	2.373	0.0001
FEV1/FVC	-4.129	1.89	0.031
PEF	-4.51	3.729	0.229

^{††} Multiple linear regression model. B: Average units of decrement in each parameter of pulmonary function of exposed subjects compared to their unexposed counterparts. SE: Standard error.

working shift forms the basis of testing for acute, partially reversible and chronic irreversible effects of CB.

To the best of authors' knowledge, the distinction between possible acute and chronic respiratory effects of exposure to CB in comparison with an appropriate referent group has not been examined by others. In the present study, in order to differentiate between these effects, the parameters of pulmonary functions were measured before and after exposure to CB, and the results were compared within the exposed group and with those of the referent group. As shown in Table 3, there were statistically significant differences in most parameters of pulmonary function before and after exposure. These differences cannot be attributed to circadian variation because the circadian rhythm causes variations in the opposite direction^{22, 23}. These results are thought to support the

hypothesis that there are acute adverse effects of exposure over the course of a work shift. Therefore, the acute reversible changes in PFTs after a single work shift could be attributed to CB exposure.

Similarly, when the results of pulmonary function tests of exposed subjects were compared with those of the referent group, significant differences were noted. However, the differences were more pronounced when the values for after exposure were compared with those of the referent subjects. These findings indicate that exposure to CB is associated with acute, partially reversible and chronic irreversible ventilatory disorders.

These conclusions are also further supported by the results displayed in Table 5, which show that after adjusting for important confounders, including age, weight, height, smoking habit, education and marital

status, in the multiple linear regression analysis, significant associations were present between decrements in most parameters of pulmonary function and exposure to CB.

The nature of pulmonary disorder associated with exposure to CB deserves comment. The spirometric pattern of ventilatory disorders found in our study is compatible with those of restrictive pulmonary impairment. Because FVC is reduced in restrictive pulmonary disorders, but the expiratory flow rate, usually measured by FEV1, is normal or reduced proportionately, the ratio of FEV1/FVC is nearly normal²⁴.

These findings are in line with the observations of Gardiner *et al*⁶.

A study of European CB workers also reported that there is a significant association between occupational exposure to CB and irreversible decrements in some parameters of pulmonary function such as FEV1, FEF25–75% and the FEV1/FVC ratio³. Similarly, Robertson *et al.* showed that there was an inverse relationship between forced vital capacity (FVC), forced expiratory volume in 1 second (FEV1) and exposure to CB dust. However, when confounding variables such as age and smoking were controlled, the noted relationship vanished, and the authors concluded that occupational exposure to CB dust was not associated with decrement in pulmonary function parameters¹¹. Similar findings have been reported by Crosbie *et al.*, who revealed using multiple regression analysis that the observed decrements in the pulmonary function parameters of CB-exposed workers were related to the effects of smoking and age¹³.

Our findings are qualitatively similar to two other studies that had been conducted in tire manufacturing factories by Oleru *et al.* and Peters *et al.* In these studies, comparison of CB-exposed workers with unexposed groups showed significant decreases in some of pulmonary function parameters^{19, 20}. Interestingly, the workers' exposure to respirable CB dust in the present study was similar to that in the study of Peters *et al.*

A cause and effect relationship cannot be established from cross-sectional studies, such as the present study. Therefore, due to this inherent limitation, one might argue that the respiratory symptoms and lung functional impairments observed in this study may not necessarily be causally linked with carbon black exposure. While true, a few lines of evidence indicate that these outcomes are very likely to be the results of exposure to carbon black.

First, the exposed subjects, when employed, were free from any preexisting medical conditions, particularly those involving respiratory symptoms.

Second, apart from carbon black, the exposed individuals had no history of exposure to other chemicals known to cause respiratory disorders prior to their employment in the plant or during the course of their employment, thereafter.

Third, despite the fact that the unexposed subjects were significantly older (on average 3 yr) than their exposed counterparts, respiratory symptoms and reduced ventilatory capacities were significantly more prevalent in the exposed subjects than in the referent subjects. Additionally, given the fact that, apart from age, the distribution of the confounding variables was similar in both the exposed and unexposed groups (the reason why none of the confounders remained in the final model), one would expect that the effect of age would underestimate the difference noted between the groups.

Fourth, although the exposed subjects performed significantly better in their spirometry test when their exposure to CB ceased, the differences between the exposed and unexposed subjects for most parameters of pulmonary function remained significant (Table 3). Based on this observation, one might tentatively conclude that the ventilatory disorders noted in this study consist of acute, partially reversible, and chronic irreversible impairments.

Conclusions

In conclusion, the findings of this study provide circumstantial evidence to support the notion that exposure to CB exceeding its current TLV is associated with significantly increased prevalence of respiratory symptoms as well as both acute, partially reversible and chronic irreversible significant decreases in some parameters of pulmonary function, with a spirometric pattern consistent with restrictive ventilatory disorders. Therefore, engineering control measures such as local exhaust ventilation and the use of appropriate respirators are recommended to eliminate or reduce workers' exposure to CB to protect them from developing more severe respiratory diseases in the future.

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