Current treatment approaches for NK/T-cell lymphoma

Motoko Yamaguchi, Kana Miyazaki

Extranodal NK/T-cell lymphoma, nasal type (ENKL), is a form of lymphoma characterized by preferential extranodal involvement, Epstein-Barr virus (EBV) association, and geographic diversity in incidence. ENKL tumor cells express P-glycoprotein, which is related to multidrug resistance (MDR). This MDR phenomenon is thought to be the major reason why ENKL is resistant to anthracycline-containing chemotherapies and has led researchers to explore novel therapeutic strategies. Since the early 2000s, next-generation therapies, including upfront radiotherapy, chemotherapy, or concurrent chemoradiotherapy using non-MDR-related drugs, have markedly changed the management of ENKL. However, a recent large retrospective study in Japan revealed several limitations of next-generation therapies, in particular that they resulted in almost no improvement of early disease progression. This review will summarize the current management of ENKL, primarily based on clinical trial results, and provide clues for better future management.

Keywords: NK/T-cell lymphoma, prognostic model, treatment, guidelines

INTRODUCTION

Extranodal natural killer/T-cell lymphoma, nasal type (ENKL) is characterized by preferential extranodal involvement, angioinvasion or destruction, massive necrosis, expression of cytotoxic molecules, and Epstein-Barr virus (EBV) association. It is much more common in Asia and Latin America than in Western countries; it comprises approximately 3 to 10% of all lymphomas in East Asia, but less than 1% in Western countries. The NK-cell origin is more common than the cytotoxic T-cell origin in ENKL. More than 65% of patients with ENKL have lymphomatous involvement in the nasal area.

Before the 2000s, patients with ENKL were traditionally treated with anthracycline-containing chemotherapies, such as cyclophosphamide, doxorubicin, vincristine, and prednisone (CHOP), and the therapeutic outcomes were insufficient. Since the early 2000s, next-generation therapies avoiding the use of anthracyclines have been developed in prospective clinical trials and have markedly changed the management of ENKL. This review summarizes the results of clinical studies of ENKL, with some information on the current management of ENKL in Japan.

CLINICAL FEATURES AT DIAGNOSIS

With the exception of age, the clinical features of ENKL at diagnosis are comparable among countries. The typical clinical features of ENKL at diagnosis are as follows: median age, 40-58 years; male sex, 65%; stage I or II, 70-75%; serum lactate dehydrogenase (LDH) level > upper limit of normal (ULN), 45%; ECOG performance status (PS) > 1, 10-25%; B symptoms present, 35-45%; and nasal involvement, 87%. The median age at diagnosis of patients with ENKL is approximately 10 years younger than that of patients with diffuse large B-cell lymphoma (DLBCL). Of note, the median age in reports from China is approximately 40 years, which is almost 10 years younger than that reported in Japan and the United States.

The diagnostic criteria of ENKL in the 2016 WHO classification are almost the same as those in the 2008 version. However, attention should be paid to discriminate lymphomatoid gastropathy (LyGa) and NK-cell enteropathy from ENKL. Patients with these diseases are negative for EBV-encoded small RNA (EBER), and angioinvasion is rare. LyGa and NK-cell enteropathy are benign diseases and are curable without any treatment.

BASELINE EVALUATION BEFORE TREATMENT

It is often difficult to identify the tumor extension of ENKL by computed tomography (CT) due to its low power resolution. ENKL sometimes involves the skin and subcutaneous tissue in extremities, which are not evaluated by routine CT. Considering that ENKL is a routinely fluorodeoxyglucose (FDG)-avid lymphoma, whole-body positron emission tomography (PET)/CT is the most suitable procedure for staging in ENKL. Magnetic resonance imaging is superior to CT in resolution power and is useful for detecting
lymphomatous involvement in the nasal area, and is frequently used in radiation planning. The international prognostic index (IPI) is valid for the prognostication of ENKL as well as DLBCL or other lymphomas; however, it has the limitation that most patients with localized ENKL are classified in the low or low-intermediate risk categories. Another limitation of the IPI is a lack of consensus regarding how to count the number of sites of extranodal involvement in ENKL. The NK/T-cell lymphoma prognostic index (NK-PI) was proposed as a risk model for ENKL in 2006 (Table 1). NK-PI risk factors include the presence of B symptoms, stage III or IV disease, serum LDH level > ULN, and regional lymph node involvement. The NK-PI has been validated by an international cooperative study and widely used in clinical practice. Some studies have suggested that the NK-PI is not prognostic for patients receiving next-generation therapy because it was established using data from patients who were treated with conventional chemotherapies such as CHOP.

The prognostic index of natural killer lymphoma (PINK) was proposed in 2016 as a prognostic model for patients with ENKL treated with non-anthracycline-containing chemotherapy with or without radiotherapy, or radiotherapy with curative intent. Four independent risk factors of PINK are age > 60 years, stage III or IV disease, distant lymph node involvement, and non-nasal type disease (Table 1). In patients with localized nasal ENKL, the involvement of axillary, infraclavicular, and mediastinal lymph nodes is regarded as distant lymph node involvement, supporting the conventional thought that the treatment used for localized ENKL is not suitable for non-contiguous stage II ENKL. The NK-PI has been replaced by PINK as the pretreatment risk model of ENKL in the National Comprehensive Cancer Network (NCCN) guidelines of peripheral T-cell lymphoma since 2017 version 1.

### Table 1. Three prognostic models for ENKL

<table>
<thead>
<tr>
<th>Risk factors</th>
<th>NK-PI&lt;sup&gt;16&lt;/sup&gt;</th>
<th>PINK&lt;sup&gt;6&lt;/sup&gt;</th>
<th>PINK-E&lt;sup&gt;6&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td>Treatment</td>
<td>Conventional therapy (e.g., CHOP)</td>
<td>Chemotherapy without anthracycline with or without local radiotherapy with curative intent</td>
<td>Four risk factors of PINK</td>
</tr>
<tr>
<td>Risk factors</td>
<td>•B symptoms</td>
<td>•Age &gt; 60 years</td>
<td>•Detectable EBV-DNA</td>
</tr>
<tr>
<td>Risk factors</td>
<td>•Stage III or IV disease</td>
<td>•Stage III or IV disease</td>
<td></td>
</tr>
<tr>
<td>Risk factors</td>
<td>•Serum LDH &gt; ULN</td>
<td>•Non-nasal type</td>
<td></td>
</tr>
<tr>
<td>Risk factors</td>
<td>•Regional LN&lt;sup&gt;*&lt;/sup&gt; involvement</td>
<td>•Distant LN&lt;sup&gt;**&lt;/sup&gt; involvement</td>
<td></td>
</tr>
<tr>
<td>Number of risk factors in each risk group</td>
<td>Group 1: 0</td>
<td>Low: 0</td>
<td>Low: 0 - 1</td>
</tr>
<tr>
<td>Number of risk factors in each risk group</td>
<td>Group 2: 1</td>
<td>Intermediate: 1</td>
<td>Intermediate: 2</td>
</tr>
<tr>
<td>Number of risk factors in each risk group</td>
<td>Group 3: 2</td>
<td>High: 2 - 4</td>
<td>High: 3 - 5</td>
</tr>
<tr>
<td>Number of risk factors in each risk group</td>
<td>Group 4: 3, 4</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Survival in each risk group</td>
<td>OS rate at 3 years</td>
<td>OS rate at 3 years</td>
<td>OS rate at 3 years</td>
</tr>
<tr>
<td>Survival in each risk group</td>
<td>Group 1: 81%</td>
<td>Low: 81%</td>
<td>Low: 81%</td>
</tr>
<tr>
<td>Survival in each risk group</td>
<td>Group 2: 64%</td>
<td>Intermediate: 62%</td>
<td>Intermediate: 55%</td>
</tr>
<tr>
<td>Survival in each risk group</td>
<td>Group 3: 34%</td>
<td>High: 25%</td>
<td></td>
</tr>
<tr>
<td>Survival in each risk group</td>
<td>Group 4: 7%</td>
<td></td>
<td>High: 28%</td>
</tr>
</tbody>
</table>

*Cervical lymph nodes in the case of nasal ENKL.
**Axillary, infraclavicular, and mediastinal lymph nodes in the case of nasal ENKL.

The EBV-DNA copy number in peripheral blood is a valid indicator of both the response to treatment and overall survival (OS) of patients with ENKL. PINK-E, which is a combined model that uses the four risk factors of PINK and detectable pretreatment plasma EBV-DNA levels (Table 1), also predicts survival of ENKL patients.

### GENERAL PRINCIPLES OF FRONT-LINE THERAPY

ENKL is an aggressive lymphoma and has traditionally been treated with the same strategy as other aggressive lymphomas, mainly with anthracycline-containing chemotherapy. The reported 5-year OS rates for patients with localized nasal ENKL treated with CHOP followed by involved-field radiotherapy are less than 50%. Tumor cells in ENKL express the multidrug resistance (MDR) 1/ABCB1 gene and its product, P-glycoprotein. This MDR phenomenon is believed to be the major reason why ENKL is resistant to CHOP (-like) chemotherapies that consist mainly of MDR-related agents and has led to the development of novel therapeutic strategies such as upfront radiotherapy with or without non-MDR-related chemotherapy. These therapies have been developed in clinical trial settings since the early 2000s.

Current guidelines recommend treatments including local radiotherapy for newly diagnosed nasal ENKL patients with stage I and contiguous stage II disease with cervical lymph node involvement and chemotherapy with L-asparaginase (L-asp) and/or non-MDR-related agents for newly diagnosed advanced ENKL patients. Due to the rarity of the disease and the difficulty of conducting randomized controlled trials, the optimal treatment regimen has not been determined. Treatment details differ among countries, especially for localized ENKL, and these differences are primarily due to preference and the logistics of radiotherapy. A treatment scheme for ENKL from the 2013 Japanese Society of
Hematology (JSH) guidelines is shown in Fig. 1.

TREATMENT FOR NEWLY DIAGNOSED LOCALIZED NASAL ENKL

Concurrent chemoradiotherapy

Concurrent chemoradiotherapy was the first treatment tested in a qualified clinical trial setting for ENKL. The combination of local radiotherapy and cisplatin or multiagent chemotherapy consisting of non-MDR-related agents is common for the treatment of ENKL.

Concurrent chemoradiotherapy consisting of radiotherapy and DeVIC (dexamethasone, etoposide, ifosfamide, and carboplatin) chemotherapy (RT-DeVIC) was explored in a phase I/II study for patients with newly diagnosed stage IE or contiguous stage IIE nasal ENKL (Japan Clinical Oncology Group [JCOG] 0211). Radiotherapy at a dose of 50 Gy (3-dimensional conformal radiotherapy encompassing the primary site and organ with a 2-cm margin) and 3 cycles of DeVIC are initiated simultaneously (Fig. 2). A two-thirds dose of DeVIC was established as the recommended dose (Table 2). Phase II of the trial, which evaluated 27 patients treated with RT-2/3DeVIC, showed an overall response rate (ORR) of 81%, complete response (CR) rate of 77%, 5-year OS rate of 70% (90% confidence interval [CI]:53-82%), 5-year progression-free survival (PFS) rate of 63%, and 5-year planned target volume control rate of 94% at a median follow-up of 67 months (range, 61-94). The most frequent Grade 3 adverse event was mucositis due to radiotherapy (30%). A concomitant study of the trial demonstrated that the expression of latent membrane protein (LMP) 1 in tumor cells was a favorable prognostic factor.

The results from the JCOG0211 study were confirmed by a retrospective study including patients diagnosed between 2000 and 2013 in 31 institutes in Japan (Next-generation therapy for NK/T-cell lymphoma in East Asia [NKEA] project, Part A). Of 257 patients with localized ENKL, 66% received RT-DeVIC as first-line therapy (RT-2/3DeVIC, 54%; RT-100%DeVIC, 12%; Fig. 3). The proportion of patients receiving RT-DeVIC between 2010 and 2013 was 82%. The baseline clinical characteristics were comparable to those in the clinical trial. With a median follow-up of 5.6 years, the 5-year OS and PFS rates in 150 patients treated with RT-DeVIC in clinical practice were 72% (95% CI, 63-78%) and 61% (95% CI, 52-69%), respectively, confirming the results from the clinical trial. Only two of them...
received consolidative HD-AHSCT. There was no difference in OS or PFS between the RT-2/3DeVIC and RT-100%DeVIC groups in clinical practice, supporting the results in phase I of the trial. The toxicity in practice was comparable to that in the trial. A multivariate analysis identified that an elevated pretreatment serum soluble interleukin-2 receptor level was a strong independent prognostic factor for OS and PFS.

Since the mid-2000s, a study group in Korea (Consortium for Improving Survival of Lymphoma, CISL) has conducted three multicenter phase II studies of concurrent chemoradiotherapy followed by non-anthracycline-containing chemotherapy (Fig. 2).30-32 In these treatments, cisplatin was selected for the concurrent chemoradiotherapy as a radiation sensitizer, and the median dose of radiotherapy was reduced to approximately 40-45 Gy (Fig. 2). The first study was a phase II trial of concurrent chemoradiation (CCRT) combined with 3 courses of VIPD (etoposide, ifosfamide, cisplatin, and dexamethasone) chemotherapy for untreated localized nasal ENKL.30 This study enrolled 30 patients and demonstrated a CR rate of 80%, and the estimated 3-year OS and PFS rates were 86 and 80%, respectively, at the median follow-up of 24 months (range, 17–37). The estimated 3-year local control rate was 93%. Two patients died of infection during VIPD chemotherapy. The next trial tested CCRT followed by 2 courses of VIDL (etoposide, ifosfamide, dexamethasone, and L-asp; Table 2) chemotherapy, and high-dose autologous hematopoietic stem cell transplantation (HD-AHSCT) was added for patients with two or three NK-PI risk factors.31 The CR rate and the 5-year OS rate were 87% and 73%, respectively, and toxicity was acceptable. A subsequent phase II study evaluated CCRT-MIDLE (methotrexate, vinblastine, doxorubicin, dexamethasone, cisplatin, etoposide, and L-asp).

Table 2. Examples of chemotherapeutic regimens in new-generation therapies of ENKL

<table>
<thead>
<tr>
<th>Regimen (interval)</th>
<th>Drug</th>
<th>Dose (/day)</th>
<th>Route</th>
<th>Day</th>
</tr>
</thead>
<tbody>
<tr>
<td>2/3DeVIC30</td>
<td>CBDCA</td>
<td>200 mg/m²</td>
<td>IV</td>
<td>1</td>
</tr>
<tr>
<td>(3 weeks)</td>
<td>ETP</td>
<td>67 mg/m²</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td></td>
<td>IFM</td>
<td>1.0 g/m²</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td></td>
<td>DMS</td>
<td>40 mg</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td>VIDL31</td>
<td>ETP</td>
<td>100 mg/m²</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td>(4 weeks)</td>
<td>IFM</td>
<td>1.2 g/m²</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td></td>
<td>DMS</td>
<td>40 mg</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td></td>
<td>L-asp</td>
<td>4,000 IU/m²</td>
<td>IM</td>
<td>8, 10, 12, 14, 16, 18, 20</td>
</tr>
<tr>
<td>SMILE39</td>
<td>MTX</td>
<td>2 g/m²</td>
<td>IV (6 hours)</td>
<td>1</td>
</tr>
<tr>
<td>(4 weeks)</td>
<td>Leucovorin</td>
<td>15 mg x 4</td>
<td>IV or PO</td>
<td>2, 3, 4</td>
</tr>
<tr>
<td></td>
<td>IFM</td>
<td>1,500 mg/m²</td>
<td>IV</td>
<td>2, 3, 4</td>
</tr>
<tr>
<td></td>
<td>Mesna</td>
<td>300 mg/m² x3</td>
<td>IV</td>
<td>2, 3, 4</td>
</tr>
<tr>
<td></td>
<td>DMS</td>
<td>40 mg</td>
<td>IV or PO</td>
<td>2, 3, 4</td>
</tr>
<tr>
<td></td>
<td>ETP</td>
<td>100 mg/m²</td>
<td>IV</td>
<td>2, 3, 4</td>
</tr>
<tr>
<td></td>
<td>L-asp</td>
<td>6,000 U/m²</td>
<td>IV</td>
<td>8, 10, 12, 14, 16, 18, 20</td>
</tr>
<tr>
<td></td>
<td>G-CSF</td>
<td></td>
<td>SC or IV</td>
<td>6 - WBC &gt; 5,000/µL</td>
</tr>
<tr>
<td>AspaMetDex48</td>
<td>L-asp</td>
<td>6,000 U/m²</td>
<td>IM</td>
<td>2, 4, 6, 8</td>
</tr>
<tr>
<td>(3 weeks)</td>
<td>MTX</td>
<td>3 g/m²</td>
<td>IV</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>DMS</td>
<td>40 mg</td>
<td>PO</td>
<td>1-4</td>
</tr>
<tr>
<td>P-gemox46</td>
<td>GEM</td>
<td>1,000 mg/m²</td>
<td>IV</td>
<td>1,8</td>
</tr>
<tr>
<td>(3 weeks)</td>
<td>OXP</td>
<td>130 mg/m²</td>
<td>IV</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Peg-asp</td>
<td>2,500 IU/m²</td>
<td>IM</td>
<td>1</td>
</tr>
<tr>
<td>GDP47</td>
<td>GEM</td>
<td>1,000 mg/m²</td>
<td>IV</td>
<td>1,8</td>
</tr>
<tr>
<td>(3 weeks)</td>
<td>CDDP</td>
<td>25 mg/m²</td>
<td>IV</td>
<td>1-3</td>
</tr>
<tr>
<td></td>
<td>DMS</td>
<td>20 mg</td>
<td>PO</td>
<td>1-4, 11-14</td>
</tr>
</tbody>
</table>

CBDCA, carboplatin; CDDP, cisplatin; DMS, dexamethasone; ETP, etoposide; G-CSF, granulocyte colony-stimulating factor; GEM, gemcitabine; IFM, ifosfamide; IM, intramuscular; IV, intravenous; L-asp, L-asparaginase; MTX, methotrexate; OXP, oxaliplatin; PEG-asp, pegaspargase; PO, orally; SC, subcutaneous; WBC, white blood cell.
### Fig. 2. Examples of concurrent chemoradiotherapy for localized ENKL

<table>
<thead>
<tr>
<th>Therapy Type</th>
<th>Treatment Details</th>
<th>Schedule</th>
</tr>
</thead>
<tbody>
<tr>
<td>RT-2/3DeVIC</td>
<td>RT (50-50.4 Gy)</td>
<td>w 1-9</td>
</tr>
<tr>
<td></td>
<td>2/3DeVIC (DMS/ETP/IFM/CBDCA)</td>
<td></td>
</tr>
<tr>
<td>CCRT-VIPD</td>
<td>RT (med. 40 Gy)</td>
<td>w 1-9</td>
</tr>
<tr>
<td></td>
<td>CDDP VVIPD x3 (ETP/IFM/CDDP/DMS)</td>
<td>3-5w</td>
</tr>
<tr>
<td>CCRT-VIDL</td>
<td>RT (40-50.4 Gy)</td>
<td>w 1-9</td>
</tr>
<tr>
<td></td>
<td>CDDP VIDL x2 (⇒HD-AHSCT) (ETP/IFM/DMS/L-asp)</td>
<td>3w</td>
</tr>
<tr>
<td>CCRT-MIDLE</td>
<td>RT (36-44 Gy)</td>
<td>w 1-9</td>
</tr>
<tr>
<td></td>
<td>CDDP MIDLE x2 (MTX/IFM/DMS/L-asp/ETP)</td>
<td>3w</td>
</tr>
</tbody>
</table>

CBDDCA, carboplatin; CCRT, concurrent chemoradiation; CDDP, cisplatin; DMS, dexamethasone; ETP, etoposide; HD-AHSCT, high-dose chemotherapy with autologous hematopoietic stem cell transplantation; IFM, ifosfamide; L-asp, L-asparaginase; med., median; RT, radiotherapy; w, week.

### Localized ENKL (n = 257) vs. Advanced ENKL (n = 101)

#### Localized ENKL (n = 257)
- RT-2/3DeVIC: 54%
- RT-DeVIC: 66%
- Others: Sequential CRT 15%, RT-100%DeVIC 12%, RT-CHOP-like Chemo. 5%

#### Advanced ENKL (n = 101)
- SMILE: 20%
- Other L-asp-containing chemo: 7%

**Fig. 3.** First-line therapy among patients with ENKL diagnosed between 2000 and 2013 in 31 institutes in Japan’s chemo., chemotherapy; ENKL, extranodal NK/T-cell lymphoma, nasal type; CRT, concurrent chemoradiotherapy; L-asp, L-asparaginase; RT, radiotherapy.
ifosfamide, dexamethasone, L-asp, and etoposide), which was designed to reduce early progression through the upfront use of L-asp and a more intensive chemotherapeutic regimen; however, early progression was still documented.32

Among these four treatments, as well as other concurrent chemoradiotherapies, RT-2/3DeVIC and CCRT-VIDL seemed to be best in terms of efficacy and acceptable toxicity, although it is difficult to determine the best treatment using randomized control trials. RT-2/3DeVIC is characterized by a short treatment duration (9 weeks) and the availability of long-term follow-up data, whereas CCRT-VIDL has the benefit of a lower dose of radiotherapy. Consolidative HD-AHSCT is not recommended when RT-(2/3) DeVIC is selected for first-line therapy, although upfront HD-AHSCT for patients who respond to initial therapy is generally feasible.35

**Sequential chemoradiotherapy**

Sequential chemoradiotherapy combined with CHOP-like chemotherapy has limited efficacy for ENKL.3,4 Several regimens with non-MDR-related agents and/or L-asp have been developed.

A single-center phase II study of sandwiched chemoradiotherapy with LVP chemotherapy (L-asp, vincristine, and prednisolone) in 26 patients with newly diagnosed localized ENKL reported that the ORR and CR rate were 89% and 81%, respectively.36 Hematological toxicity was mild. The 5-year OS and PFS in 25 patients evaluated were both 64%.37 A prospective observational study of sandwiched chemoradiotherapy with SMILE (steroid = dexamethasone, methotrexate, ifosfamide, L-asp, and etoposide) chemotherapy (Table 2)38 included 29 patients with newly diagnosed nasal ENKL.39 The CR rate and ORR after 2 or 3 courses of SMILE were 69% and 86%, respectively. Hematological toxicity was manageable, although two treatment-related deaths were documented. The NCCN guidelines included sequential chemoradiotherapy using SMILE chemotherapy as an option for the first-line treatment of localized ENKL.

Several sequential chemoradiotherapies with gemcitabine-containing chemotherapies have been reported to exhibit lower toxicity and promising efficacy. A phase II study of sandwich chemoradiotherapy with GELOX (gemcitabine, L-asp, oxaliplatin) in 27 patients reported a 5-year OS of 85% and a 5-year PFS of 74% with a median follow-up of 63 months (range, 31-83).40

**Radiotherapy alone**

Early studies reported that radiotherapy alone yields a CR rate of > 65% and a 5-year OS rate of 40%.41 Radiotherapy alone was believed to be insufficient to achieve a high cure rate for patients with localized ENKL; however, recent studies have reported more favorable therapeutic outcomes than before. For example, a large retrospective study conducted in China including 1,273 patients with localized ENKL reported that the 5-year OS and PFS rates of patients who received radiotherapy alone were 70% and 65%, respectively. Progress in radiation planning and procedure during the last decade may be associated with the improved efficacy of radiotherapy alone.42,43 The large retrospective study in China suggested that long-term remission after radiotherapy alone can be expected among patients having none of the following risk factors: age > 60 years, performance status (ECOG) > 1, stage II disease, serum LDH level > ULN, or primary tumor invasiveness (PTI: invasion to adjacent tissue/ organs).3 The PTI was the strongest prognostic factor among them and was assessed by each participating institute in the study. Establishment of objective diagnostic criteria for PTI is awaited to identify patients who can be cured by radiotherapy alone.

**CENTRAL NERVOUS SYSTEM (CNS) PROPHYLAXIS FOR LOCALIZED NASAL ENKL**

Some reports have recommended CNS prophylaxis during the front-line treatment of localized nasal ENKL. The largest retrospective study evaluating the significance of CNS prophylaxis in patients with ENKL, with 208 patients diagnosed between June 1995 and March 2008, reported that 12 patients (5.76%) experienced CNS relapse during the follow-up period and that NK-PI Group 3/4 was an independent risk factor for CNS relapse.44 Based on a systematic review including this study, there is a Level C recommendation that patients with ENKL in NK-PI Group 3/4 should be regarded as having a high risk for CNS dissemination.45 As most reports evaluating the risk of CNS relapse in ENKL included patients who were treated with anthracycline-containing chemotherapies, further studies are needed to confirm this recommendation. In terms of RT-DeVIC, CNS prophylaxis seems to be not mandatory because only one patient who had massive stage I disease experienced CNS relapse in the JCOG0211 study.29

**TREATMENT FOR ADVANCED-STAGE OR RELAPSED/REFRACTORY ENKL**

The outcomes of newly diagnosed stage IV, relapsed or refractory ENKL treated with CHOP-like chemotherapy were extremely poor. For example, a retrospective study in Japan reported that the ORR achieved by conventional therapy was 36% for newly diagnosed stage IV ENKL and <10% for relapsed or refractory ENKL.46 These outcomes have been improved by new non-MDR-related chemotherapeutic regimens with L-asp such as SMILE3,47 and AspaMetDex (L-asp, methotrexate, and dexamethasone)48 (Table 2).

A phase II study of SMILE chemotherapy for newly diagnosed relapsed/refractory ENKL evaluated in 38 patients reported that the ORR and CR rate after 2 cycles of SMILE were 79% and 45%, respectively, and the 5-year OS rate was 47%.49 Myelosuppression was common and severe, causing more than half of the patients to experience Grade 3/4 infections. A multicenter phase II study of AspaMetDex50 for
relapsed or refractory ENKL in 19 patients with a history of CHOP-like chemotherapy stopped early because of excellent efficacy. The CR rate and ORR were 61% and 78%, respectively, and the median OS was 12 months.\(^{49}\) SMILE and AspaMetDex are recommended for the first-line treatment of advanced ENKL in the NCCN guidelines.\(^{18}\) In the United States, pegaspargase is used instead of conventional E. coli L-asp and is administered as one dose per cycle.\(^{51}\)

There are several reports concerning the toxicity of SMILE. A retrospective study in Singapore reported that one of 10 patients with ENKL who received SMILE died of septic shock.\(^{52}\) A retrospective study in Korea evaluated SMILE chemotherapy for patients with newly diagnosed stage IV disease in clinical practice and reported frequent treatment-related death and disease progression during the regimen.\(^{53}\) Another retrospective study in Korea noted that SMILE chemotherapy was rarely completed in patients with newly diagnosed advanced ENKL aged 60 years or more.\(^{54}\) A modified regimen of SMILE administration of 3 weeks per cycle and seven doses of daily L-asp demonstrated severe toxicity.\(^{55}\) As the half-life of L-asp is 1.2 days, L-asp in SMILE should not be given daily to reduce adverse drug reactions. On the other hand, the NKEA Part A reported that SMILE was manageable and that the common grade 3 or 4 non-hematologic adverse events observed during SMILE were not infection but abnormal liver tests.\(^{7}\) In Japan, there is a consensus among hematologists that SMILE chemotherapy is not suitable for elderly or frail patients who do not fulfill the inclusion criteria of the SMILE phase II study.\(^{7}\) Basically, SMILE is not selected for patients with a low lymphocyte count (< 500 /µL).\(^{38}\) Granulocyte colony-stimulating factor is started from day 6 of SMILE as per the protocol, irrespective of white blood cell count. This community consensus in Japan may have reduced the incidence of severe infection due to SMILE.

Gemcitabine-based chemotherapy is generally less toxic than L-asp-containing regimens. Several regimens have been explored by study groups in China. One of the regimens, P-gemox (gemcitabine, oxaliplatin and pegaspargase; Table 2), was originally designed as a two-week regimen and was modified to a three-week regimen.\(^{56}\) A retrospective study of P-gemox in 117 patients with relapsed or refractory ENKL reported that the ORR was 89%. A retrospective study of GDP (gemcitabine, cisplatin, and dexamethasone; Table 2) in 41 patients with newly diagnosed stage IV and relapsed/refractory ENKL reported that the ORR and the 1-year PFS rate were 83% and 55%, respectively.\(^{57}\) There is little information on the use of gemcitabine-based regimens for ENKL outside China, possibly because of the lower incidence of the disease and the limited use of oxaliplatin and pegaspargase for the treatment of ENKL in clinical practice. The promising therapeutic outcomes of gemcitabine-based chemotherapy need further evaluation.

### MANAGEMENT AFTER FIRST-LINE THERAPY

There is no consensus on the best consolidative therapy in patients with disseminated ENKL who responded to induction therapy. A retrospective study in Korea reported the limitations of a treatment approach of SMILE followed by HD-AHSCT.\(^{53}\) Experts in Hong Kong recommended allogeneic HSCT based on experiences in practice.\(^{58}\) Only HD-AHSCT is listed in the flow chart of the treatment of ENKL in the European Society of Medical Oncology Clinical Practice guidelines.\(^{25}\) Based on the results of a retrospective analysis using data from the Japan Society for hematopoietic cell transplantation lymphoma working party\(^{59}\), the JSH guidelines recommend HD-AHSCT or allogeneic HSCT for patients in the first CR who are eligible for SCT and recommend HSCT as an option for patients who do not demonstrate CR (Fig. 1).\(^{25}\)

The most established marker for disease monitoring in ENKL is the EBV-DNA load in the peripheral blood.\(^{19-21}\) It is widely used in current clinical practice, although the test is not reimbursed by the health insurance system in some countries, including Japan. A predictive model combining the Deauville score of FDG-PET and EBV-DNA load after frontline next-generation therapy was proposed by the Asia Lymphoma Study Group.\(^{40}\) The model stratified the following three groups of different treatment-failure probabilities: a low-risk group (post-treatment EBV negativity and post-treatment Deauville score of 1–2), a high-risk group (post-treatment EBV negativity with a Deauville score 3–4, or post-treatment EBV positivity with a Deauville score 1–2), and treatment failure (Deauville score of 5 or post-treatment EBV positivity with a Deauville of score 3–4). Frequency of treatment failure in the last group was 100% in the study.

A relapse of ENKL more than 10 years after the initial CR is rare. A retrospective study identified 10 patients with very late relapse ENKL. The patients were diagnosed between 1980 and 2009, and the time from initial diagnosis to relapse ranged from 11 to 29 years. Nine had nasal ENKL, and the sites of relapse were systemic in two patients and in the nasal area in 8 patients.\(^{62}\) As no patient in the study received next-generation ENKL therapy, it is not known whether very late ENKL relapse could occur after treatment with the next-generation therapies.

### TREATMENT FOR EXTRANASAL ENKL

The prognosis of patients with extranasal ENKL treated with conventional approaches is suboptimal.\(^{3}\) The skin and soft tissue are the second most common primary sites of involvement in ENKL. A retrospective study including 48 patients suggested survival benefits of radiotherapy among patients with localized cutaneous involvement alone.\(^{62}\) The gastrointestinal tract is the third most common site of involvement in ENKL, and the large intestine is frequently involved.\(^{63,64}\) Intestinal perforation can be a complication of chemotherapy. Treatment for extranasal ENKL is considered to be the same as that for nasal ENKL in the current...
practice; however, it is unknown whether the next-generation treatments improve its clinical outcome. There are some reports regarding patients with extranasal ENKL who experienced a relapse in the nasal area, suggesting that nasal and non-nasal ENKL are different clinical forms of the same disease process. 65,66

NOVEL TREATMENT APPROACHES

To improve local control and reduce late toxicities due to radiotherapy for localized nasal ENKL, there has been an increased use of intensity-modulated radiotherapy or other modern radiotherapy. 43 Concurrent chemoradiotherapy with intra-arterial infusion of chemotherapy showed promising efficacy for localized nasal ENKL. 67 Some new agents for other types of lymphomas or cancers have been tested in ENKL. A prospective observational study of pembrolizumab, an anti-PD-1 antibody, for relapsed or refractory ENKL after L-asp-containing chemotherapy reported very promising efficacy in seven patients. The ORR was 100%, and five achieved CR after the median seven courses of pembrolizumab (range, 2 - 13). Among them, two were EBER positive, and EBV-DNA was detectable in the peripheral blood of one patient after the treatment. 68 As ENKL cells frequently express PD-L1, 69 this approach will be more actively explored in the near future. Moreover, there have been several reported cases of ENKL that have demonstrated the promising efficacy of the anti-CD38 antibody daratumumab, 70 the anti-CD30 antibody-drug conjugate brentuximab vedotin, 71,72 lenalidomide, 40 and vorinostat. 73 Eleven patients with active ENKL received LMP-cytotoxic T lymphocytes as an adjuvant therapy, and three of them achieved long-term remission. 74

Next-generation sequencing has revealed genes frequently mutated in patients with ENKL, including BCOR, 75 DDX3X, 76 JAK3, STAT3, and STAT5B. There are conflicting results regarding the frequency of JAK3 mutations in ENKL. 75 A recent study identified a specific JAK3 mutation in ENKL that can induce lymphomagenesis in vitro. 77 These findings warrant the development of new treatment approaches targeting components of the JAK-STAT pathway.

FUTURE PERSPECTIVE

The OS of patients with localized ENKL has improved since the early 2000s. 7 Continuous supportive care for late toxicities, such as mucositis and dry mouth, or secondary malignancies, is needed for long-term survivors. The 1-year PFS has not improved, and approximately 20% of patients die or experience relapse in the first year after diagnosis. The 5-year OS (24%) and PFS (16%) in patients with advanced ENKL in the NKEA Part A remain dismal. 7 These outcomes clearly indicate the current limitations of the next-generation therapy for ENKL. More innovative, risk-stratified therapeutic approaches should be explored.

Evidence generated in East Asia has been referred to in the management of ENKL in Western countries. There are considerable differences in the logistics of radiotherapy and the availability of new drugs among countries. The development of innovative treatment approaches could be advanced through international cooperation utilizing the advantages of each country.

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