Post-Operative Spinal Epidural Hematoma After Thoracic And Lumbar Spinous Process-Splitting Laminectomy For Thoracic And Lumbar Spinal Stenosis

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Ethics and consent to participate
We declare that all protocols involving humans have been approved by the Shimoshizu National Hospital and have been performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. We declare that all participants provided written informed consent before their inclusion in this study.

Conflicts of interest
The authors declare that they have no competing interests. We did not receive grants or external funding in support of our research or preparation of this manuscript. We did not receive payments or other benefits or a commitment or agreement to provide such benefits from any commercial entities. We declare that all protocols involving humans have been approved by the Shimoshizu National Hospital. Approval code: H26’-6

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Post-operative spinal epidural hematoma after thoracic and lumbar spinous process-splitting laminectomy for thoracic and lumbar spinal stenosis

**Objective:** To investigate the risk of epidural hematoma after spinous process-splitting laminectomy (SPSL).

**Methods:** A total of 137 cases (mean age, 72.4 years; 68 men) of SPSL were included. Of these, there were instances (3.7%; mean age, 70.5 years; all male) of postoperative development of new neurologic deficit due to epidural hematoma requiring reoperation. The 133 subjects (72.5 years; 64 men) with normal postoperative course were used as controls, and comparisons were made between both groups using chi-squared and Student's t-tests. Regarding our investigation of risk factors for epidural hematoma, logistic regression was conducted with presence or absence of hematoma as our primary outcome variable, and age, gender, disease duration, number of laminectomies, which levels were decompressed, blood loss, length of case, drain output, coagulopathy, and whether or not there was an intraoperative dural tear were our explanatory variables.

**Results:** All cases of hematoma were single-level laminectomies; there was one case of T9-10 and 3 cases of L2–3. In our direct comparison of both groups (hematoma versus control), the proportion of men was significantly higher in the hematoma group (100% versus 48%, p < 0.05); levels decompressed were also significantly higher (p < 0.05) in the hematoma group, and drain outputs were significantly lower (113 mL versus 234 mL, p < 0.05). From our logistic regression analysis, the levels were significantly higher ($\chi^2 = 15, p = 0.0001$) and the drain outputs were smaller ($\chi^2 = 4.6, p = 0.03$) in the hematoma group.

**Discussion:** Single-level decompression higher than the L2–3 level and reduced drain output were risk factors for spinal epidural hematoma. With this method of spinous process suturing and reconstruction there is less decompression compared with more conventional methods; therefore, the effect of hematoma may be more pronounced at higher vertebral levels with reduced canal width, and drain failure may also occur with this limited space.

**Keywords:** lumbar spinal stenosis, risk factors, spinal epidural hematoma, spinous process-splitting laminectomy
Introduction

Lumbar spinal canal stenosis (LSS) has increased with the aging of the population in recent years. In a large-scale study of 938 patients with a mean age of 66 years, its prevalence was approximately 10%. This condition impairs quality of life and has now become a major social issue.

Although laminectomy for LSS is the most common surgical technique, complications such as muscle atrophy and decreased lumbar strength due to extensive paraspinal muscle detachment, postoperative kyphosis, and intervertebral instability have been reported. Therefore, various techniques for lumbar spinal canal enlargement preserving the posterior supporting tissues have been devised including spinous process osteotomy, fenestration, and unilateral laminotomy for bilateral decompression. Lumbar spinous process-splitting laminectomy (SPSL) is a minimally-invasive technique that can preserve the posterior supporting tissues including the paraspinal muscles and interspinous ligaments.

Neurologic deficit due to epidural hematoma may occur as a complication following spine surgery. Nevertheless, there are few reports on the incidence of postoperative epidural hematoma following SPSL. Accordingly, the purpose of this study is to investigate the risk of epidural hematoma following SPSL.

Materials and Methods

Informed consent was obtained from all participants before the study began. The study protocol was approved by the ethical review committee.

A total of 137 cases (mean age, 72.4 years; 68 men) of lumbar SPSL conducted from April 2013 until November 2017 were included.

The patient exclusion criteria were as follows: 1) those who had previous lumbar spinal
surgery and 2) those who had spinal tumors, infectious disease, or spinal trauma; 87 subjects underwent single-level decompression, 40 subjects underwent 2-level decompression, and 10 subjects underwent 3-level decompression. The levels decompressed were T9–10, T12–L1, and L1–2 once each, L2–3 for 23 cases, L3–4 for 26 cases, L4–5 for 97 cases, and L5–S1 for five cases.

Here, we describe a case of single-level (L4–5) decompression, according to the surgical method described by Watanabe et al. as follows: a posterior midline skin incision is made between the L4 and L5 spinous processes. Soft tissue is dissected up to the tip of the L4 and L5 spinous processes using an electric knife. The cortex at the tip of the L4 spinous processes is removed at the midline by approximately 2 cm using a 4-mm diamond burr and a chisel. The spinous process is divided at the base, detached from the lamina using a cob elevator, and retracted using TrimLine (Medtronic, Sofamor Danek, Memphis, TN, USA). The remaining spinous process is removed using Luer bone rongeur forceps. Then, laminectomy is performed. After decompression, a suction drain is placed in the epidural space. After that, the spinous process and interspinal ligament, which were split, are sutured using absorbable thread. The drain is removed on postoperative day 2.

There were four instances (mean age, 70.5 years; all male) of postoperative development of new neurologic deficit due to epidural hematoma requiring reoperation. The 133 subjects (mean age, 72.5 years; 64 men) with normal postoperative course were used as controls, and comparisons were made between both groups using chi-squared and Student's t-tests. Regarding our investigation of risk factors for epidural hematoma, logistic regression was conducted with presence or absence of hematoma as our primary outcome variable, and age, gender, disease duration, number of laminectomies, which levels were decompressed, blood loss, time of surgery, drain output, coagulopathy, and whether or not there was an intraoperative dural tear were our explanatory variables. Regarding which levels were decompressed, we considered 1 point for levels L1–2 or higher, 2 points for L2–3, 3 points for L3–4, 4 points for L4–5, and 5 points for L5–S1. For cases where multiple levels were decompressed, scoring was based on the central decompressed level. For example, we defined 3.5 points for 2-level decompression of

Statistical analyzes were performed with Stat View software (version 5.0, SAS institute, Cary, NC). All data are expressed as mean ± standard deviation. A threshold of p < 0.05 was considered statistically significant.

Results

Descriptive statistics for epidural hematoma patients

Table 1 contains descriptive statistics for the four patients who experienced neurologic deficits due to postoperative epidural hematoma and required reoperation. The frequency of occurrence was 3.7% (4/137), all subjects were men, all were single-level decompressions, and the level of decompression was at L2–3 or higher for all (Table 1).

Results of the statistical analysis

For each item (SPSL group, control group, p-value), the results were as follows: age (70.5, 72.5, p = 0.672), gender (4 male [100%], 64 male [48.2%], p = 0.041), disease duration in months (17.3, 33.1, p = 0.344), number of laminectomies (1, 1.4, p = 0.165), levels decompressed (1.75, 3.7, p = 3.1 × 10^-7), blood loss in mL (54, 68.2, p = 0.609), time of surgery in minutes (129.5, 88.1, p = 0.053), drain output in mL (113.5, 234.6, p = 0.048), coagulopathy (1%–25%, 12%–9%, p = 0.131), and dural tear (1%–25%, 8%–6%, p = 0.284) (Table 2). The SPSL group differed significantly from the control group in that there were more men, the levels decompressed were significantly higher, and the drain outputs were significantly lower (p < 0.05).

From our logistic regression analysis, the levels of decompression were significantly higher ($\chi^2 = 15, p = 0.0001$) and the drain outputs were smaller ($\chi^2 = 4.6, p = 0.03$) in the hematoma group (Table 3).

Case Presentation
The case is that of a 72-year-old man with a medical history of diabetes and taking coagulopathy medication (Apixaban) who presented with spinal stenosis at levels L2–3 (Table 1; Nb. 3, Figure 1A). He complained of bilateral thigh pain and intermittent claudication at 200 meters without weakness and urinary disorder. His preoperative JOA score was 20/29 (normal score, 29 points); the visual analogue scale (VAS; from 0 [no pain] to 100 [extreme amount of pain]) for low back pain was 30; the VAS score for leg pain was 80. L2–3 SPSL was performed (Figure 1B). On postoperative day 2, bilateral drop foot was noted. The drain output was only 27 mL. The nerve findings, elicited using the manual muscle test, were as follows (right side/left side): iliotibialis, 5/5; quadriceps, 5-/4; tibialis anterior, 3/3; and extensor hallucis longus, 3/3. Magnetic resonance imaging was obtained emergently, showing epidural hematoma ascending to the L1 level (Figure 1C, D). Emergency hematoma evacuation was performed thereafter, with L1 laminectomy added to remove hematoma stretching from L1 to L2. Lower extremity weakness and leg pain completely recovered 1 month following surgery.

Discussion

In the present study, there were four patients (3.7%) who had occurrence of spinal epidural hematoma after SPSL. The risk factors for spinal epidural hematoma included single-level laminectomy higher than the L2–3 level and reduced drain output. Regarding incidence and risk factors for epidural hematoma following spinal surgery, Kao et al. reported a frequency of 0.16% (25/15,562) \(^7\). Increased diastolic blood pressure, the use of gelfoam, and increased postoperative drain output led to increased risk \(^7\). Awad et al. reported a risk of epidural hematoma of 0.21% (32/14,932), demonstrating that the risk factors included use of NSAIDs (Non-Steroidal Anti-Inflammatory Drugs), Rh-positive blood, age > 60 years, and surgery involving six or more levels \(^8\). Kou et al. reported a risk of 0.1% (12/12,000), demonstrating that the risk factors included multilevel procedures and preoperative coagulopathy \(^9\). Amiri et al. reported a 0.22% (10/4,568) risk of spinal epidural hematoma, demonstrating that the risk factors included increased alcohol consumption, revision surgery, and multilevel surgery \(^10\). Aono et al. reported a risk spinal epidural hematoma of 0.41% (n = 6,356) \(^11\).
In the aforementioned studies, the prevalence of epidural hematoma ranged from 0.1% to 0.4% \textsuperscript{12}. For SPSL postoperative hematoma, Baghdadi et al. reported a 2.7% risk (1/37) \textsuperscript{13}. The frequency in our study was 3.7% (4/137). This prevalence of SPSL postoperative hematoma ranged from 2.7% to 3.7% is about 10 times as frequent as reports \textsuperscript{12} from conventional spine surgery. In the present study concerning SPSL, single-level decompression above L2–3 and reduced drain output were risk factors for spinal epidural hematoma. At these higher levels, the effect of hematoma may be more pronounced as there is reduced canal width, and drain failure may also occur with this limited space. Based on this, we would urge caution when performing this procedure at the level of L2–3 or above. One limitation of our study is that the number of cases is small, requiring confirmation of our findings in a larger population.

**Conclusion**

We investigated the risk of postoperative epidural hematoma in SPSL. Epidural hematoma occurred in 4 out of 137 cases, with a frequency of 3.7%. Single-level decompression above L2–3 and reduced drain output were risk factors. As this technique entails less decompression compared with conventional methods, special attention must be paid to levels superior to L2–3 with narrower canal and potentially poorer drainage potential.

**References**


Figure Legends

Figure 1: A 72-year-old man before and after a lumbar L2–3 spinous process-splitting laminectomy: Magnetic resonance imaging (A, C, D–C) and CT (B). (A) Preoperative
T2-weighted sagittal MRI showing L2–3 spinal stenosis; (B) Postoperative 3-D CT reconstruction of the lumbar spine; arrow at the level of L2–3 decompression; (C) Postoperative T2-weighted sagittal MRI showing epidural hematoma (arrow head) ascending to the level of L1; (D) T2-weighted axial MRI showing the L2–3 level in the setting of postoperative paralysis.
Table 1

<table>
<thead>
<tr>
<th>No.</th>
<th>Age</th>
<th>Gender</th>
<th>No. of laminectomy</th>
<th>Level of decompression</th>
<th>Drain output(ml)</th>
<th>Time of onset(day)</th>
<th>Urinary disturbance</th>
<th>MMT (onset)</th>
<th>MMT (final)</th>
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<tr>
<td>1</td>
<td>74</td>
<td>Male</td>
<td>1</td>
<td>L2/3</td>
<td>200</td>
<td>8</td>
<td>+</td>
<td>5</td>
<td>5</td>
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<tr>
<td>2</td>
<td>55</td>
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<td>1</td>
<td>T9/10</td>
<td>2</td>
<td>3</td>
<td>−</td>
<td>3〜4</td>
<td>5</td>
</tr>
<tr>
<td>3</td>
<td>72</td>
<td>Male</td>
<td>1</td>
<td>L2/3</td>
<td>27</td>
<td>2</td>
<td>−</td>
<td>3</td>
<td>5</td>
</tr>
<tr>
<td>4</td>
<td>81</td>
<td>Male</td>
<td>1</td>
<td>L2/3</td>
<td>225</td>
<td>1</td>
<td>+</td>
<td>0〜1</td>
<td>3</td>
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**MMT**: manual muscle test
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<th></th>
<th>Epidural hematoma</th>
<th>Control</th>
<th>P-value</th>
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<tr>
<td>No. of patients</td>
<td>4</td>
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<td></td>
</tr>
<tr>
<td>Age</td>
<td>70.5 ± 11.0</td>
<td>72.5 ± 9.5</td>
<td>0.672</td>
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<tr>
<td>Disease duration</td>
<td>17.3 ± 15.6</td>
<td>33.1 ± 0.2</td>
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</tr>
<tr>
<td>Gender (No. [%] of Males)</td>
<td>4 (100)</td>
<td>64 (48.1)</td>
<td>0.041</td>
</tr>
<tr>
<td>No. of laminectomy</td>
<td>1 ± 0</td>
<td>1.4 ± 0.6</td>
<td>0.165</td>
</tr>
<tr>
<td>Levels decompressed</td>
<td>1.75 ± 0.5</td>
<td>3.7 ± 0.7</td>
<td>3.1 × 10^{-7}</td>
</tr>
<tr>
<td>Blood loss</td>
<td>54 ± 27.3</td>
<td>68.2 ± 55.0</td>
<td>0.609</td>
</tr>
<tr>
<td>Time of surgery</td>
<td>129.5 ± 75.8</td>
<td>88.1 ± 40.7</td>
<td>0.053</td>
</tr>
<tr>
<td>Drain output</td>
<td>113.5 ± 115.2</td>
<td>234.6 ± 119.4</td>
<td>0.048</td>
</tr>
<tr>
<td>No. (%) of Coagulopathy</td>
<td>1 (25)</td>
<td>12 (9)</td>
<td>0.131</td>
</tr>
<tr>
<td>No. (%) of Dural tear</td>
<td>1 (25)</td>
<td>8 (6)</td>
<td>0.284</td>
</tr>
<tr>
<td></td>
<td>$\chi^2$</td>
<td>$P$-value</td>
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<tr>
<td>--------------------------------</td>
<td>-----------</td>
<td>------------</td>
<td></td>
</tr>
<tr>
<td>Age</td>
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<tr>
<td>Gender</td>
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<tr>
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<td><strong>0.0001</strong></td>
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<tr>
<td>Dural tear</td>
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<td>0.998</td>
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